

# Strata Schemes (Freehold Development) Regulation 2002

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New South Wales

## Status Information

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### Authorisation

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New South Wales

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# Strata Schemes (Freehold Development) Regulation 2002



New South Wales

## Part 1 Preliminary

### 1 Name of Regulation

This Regulation is the *Strata Schemes (Freehold Development) Regulation 2002*.

### 2 Commencement

This Regulation commences on 1 September 2002.

**Note—**

This Regulation replaces the *Strata Schemes (Freehold Development) Regulation 1997* which is repealed on 1 September 2002 under section 10 (2) of the *Subordinate Legislation Act 1989*.

### 3 Definitions

In this Regulation:

**plan** means a plan lodged in the office of the Registrar-General for registration as a strata plan, a strata plan of subdivision, a strata plan of consolidation or a building alteration plan.

**section 88B instrument** means an instrument of a kind that:

- (a) under clause 24, is required to accompany a plan that creates an easement, profit à prendre, restriction or positive covenant, or
- (b) under clause 25, is required to accompany a plan that releases an easement or profit à prendre,

under section 88B of the *Conveyancing Act 1919*.

**signatures form** means an approved form for signatures used in connection with the lodging of a plan.

**the Act** means the *Strata Schemes (Freehold Development) Act 1973*.

**Note—**

Section 3 (1) of the *Real Property Act 1900* defines **approved form** as a form approved by the Registrar-General for the purposes of the provision of the *Real Property Act 1900* or any other Act in relation to which the expression is used. Section 6 (1) of the *Strata Schemes (Freehold Development) Act 1973* requires that Act to be read and construed as if it formed part of the *Real Property Act 1900*.

#### 4 Notes

Notes included in this Regulation do not form part of this Regulation.

#### 5 Application of other instruments

- (1) The provisions of this Regulation apply in addition to the provisions of:
  - (a) Division 3 of Part 3 of, and Schedules 4 and 4A to, the *Conveyancing (General) Regulation 1998* (which deal with instruments under section 88B of the *Conveyancing Act 1919*), and
  - (b) the *Real Property Regulation 1998*, and
  - (c) any regulation replacing either of those Regulations.
- (2) The provisions of this Regulation prevail in the event of any inconsistency between those provisions and the provisions referred to in subclause (1).

#### Note—

This Regulation includes provisions concerning plans for land the subject of a strata scheme. All such land is under the provisions of the *Real Property Act 1900*. That Act, and the regulations under that Act, include provisions concerning the preparation and lodgment of dealings for such land (including provisions requiring the payment of fees).

## Part 2 Location plans, schedules of unit entitlement and floor plans

#### 6 Location plans: sections 8, 8A and 9

- (1) A location plan must be in the format of approved form 2 and show the following:
  - (a) the external boundaries, and the lengths of the external boundaries, of the parcel,
  - (b) except as provided in subclause (2), the projection onto a horizontal plane of the external limits of:
    - (i) the building,
    - (ii) any other structural feature used in the plan to define boundaries of lots or parts of lots,
    - (iii) any lots or parts of lots not within the building,
  - (c) if:
    - (i) any part of the building, or

- (ii) in the case of a lot that is not within the building but is defined by linear measurement from a part of the building or from a part of some other structural feature, any part of that lot,  
  
is within 2 metres of a boundary of the parcel, the perpendicular distances from that part of the building or from that part of the structural feature, to that boundary of the parcel (being perpendicular distances that correspond to the connections referred to in clause 8 (1) (b) or (c)),
  - (d) the identity of:
    - (i) the building, by reference to the street number, the material of its external construction and the number of floors or levels, and
    - (ii) any other structural feature used in the plan to define lots or parts of lots, by reference to its nature and the material of its construction,
  - (e) the identities of all adjoining lands,
  - (f) if any encroachment exists, such survey information as the Registrar-General may require to indicate the relationship of the encroachment to the parcel boundary.
- (2) In the case of a proposed stratum parcel, the matter to be shown on a location plan by means of the projection referred to in subclause (1) (b) is to include the following information instead of that required by subclause (1) (b):
- (a) the perimeter of the site of the building of which the proposed stratum parcel forms part,
  - (b) in relation to that perimeter, the external limits of:
    - (i) the building, and
    - (ii) the proposed stratum parcel,
  - (c) in relation to the boundaries of the proposed stratum parcel, such elevations, sections, levels and planes as in the Registrar-General's opinion are necessary to illustrate:
    - (i) the part of the building that will be the subject of the proposed stratum parcel, and
    - (ii) any other structural feature used in the plan to define boundaries of lots or parts of lots, and
    - (iii) any proposed lots or parts of lots not within the building.
- (3) All linear connections shown on a location plan must be referred to a stated surface of a floor, wall, ceiling or structural feature.

- (4) A location plan must comply with the requirements set out in Schedule 1 (in the case of a plan lodged by hand) or 2 (in the case of a plan lodged electronically).

**7 Schedules of unit entitlement: sections 8, 10 and 11**

- (1) A schedule of unit entitlement (other than a schedule of unit entitlement referred to in section 8A of the Act) must set out:
- (a) in vertical columns in numerical sequence, a reference to the number of each lot in the strata scheme, and
  - (b) opposite each lot number, in whole numbers (excluding zero), the proposed unit entitlement of that lot, and
  - (c) the proposed aggregate unit entitlement as the numerical total of the proposed unit entitlement of all lots in the strata scheme.
- (2) Despite subclause (1) (a), the references to successively numbered lots having the same unit entitlement may be grouped in abbreviated form instead of being set out in vertical columns.

**8 Floor plans: sections 8, 8A and 9**

- (1) A floor plan must be in the format of approved form 2, on a separate sheet from the location plan, and show the following:
- (a) by continuous lines, the boundaries of lots or whole separate parts of lots, so that boundaries defined by walls or other structural features are shown by a consistent thick line and boundaries defined by lines only are shown by a consistent thin line,
  - (b) if the boundary of a lot is defined by reference to the surface of a structural feature, other than the surface of a floor or ceiling, linear connections to that surface and such linear dimensions of that boundary as the Registrar-General may require,
  - (c) if the boundary of a lot is defined by reference to the surface of a floor or ceiling, such vertical connections and notations as are necessary to define that boundary,
  - (d) notations sufficient to ensure that each cubic space forming the whole of a lot or a whole separate part of a lot is fully defined (provided that if it is intended that a lot boundary is to be defined in accordance with the formula set out in section 5 (2) (a) of the Act, no notation need be made for the purpose of defining that boundary).
- (2) All linear connections shown on a floor plan must be referred to a stated surface of a floor, wall, ceiling or structural feature.
- (3) No reference is to be made in a floor plan to the relationship of boundaries of lots to



boundaries of the parcel, except to the extent required by subclause (4).

- (4) For the purposes of sections 6 (3) (a) and 14 (4) (a) of the Act, so much of an encroachment as is intended for use with a proposed lot is to be indicated in a floor plan in such manner as the Registrar-General may require.
- (5) Subclauses (3) and (4) do not apply to a floor plan for a stratum parcel.
- (6) A floor plan must comply with the requirements set out in Schedule 1 (in the case of a plan lodged by hand) or 2 (in the case of a plan lodged electronically).

### **Part 3 Strata plans, strata plans of subdivision, strata plans of consolidation and building alteration plans**

#### **9 Strata plans: section 8**

- (1) The first sheet of a strata plan must bear so much of the information and certification referred to in the approved form as is relevant to the plan.
- (2) The second and subsequent sheets of the plan must be signed by a duly authorised officer of the local council or by the accredited certifier (as appropriate) and by the surveyor by whom the plan has been prepared.
- (3) However, certificates and signatures are not required to appear on a strata plan if they are provided on a signatures form that complies with the requirements set out in Schedule 3.
- (4) Each lot must be numbered consecutively, beginning with lot 1 and ending with a lot number corresponding with the total number of lots in the plan. If a numbered lot is shown as consisting of more than one part, each part must be described as part of that numbered lot.

#### **Note—**

Section 8 of the Act provides that a strata plan must include a location plan, a floor plan, a schedule of unit entitlement, the by-laws being adopted for the scheme, the name of the body corporate and the address at which documents may be served on the body corporate.

#### **10 Strata plans of subdivision: sections 8A and 9**

- (1) A strata plan of subdivision must be in the approved form.
- (2) The first sheet of the plan must bear so much of the information and certification referred to in the approved form as is relevant to the plan.
- (3) The second and subsequent sheets of the plan must be signed by a duly authorised officer of the local council or by the accredited certifier (as appropriate) and by the surveyor by whom the plan has been prepared.

- (4) However, certificates and signatures are not required to appear on a strata plan of subdivision if they are provided on a signatures form that complies with the requirements set out in Schedule 3.
- (5) Each lot resulting from the subdivision must be numbered consecutively, the lowest lot number being greater by one than the highest number of any existing lot in the strata scheme. If a numbered lot is shown as consisting of more than one part, each part must be described as part of that numbered lot.

#### **11 Strata plans of consolidation: section 12**

- (1) A strata plan of consolidation must be in the approved form and must include a floor plan.
- (2) The first sheet of the plan must bear so much of the information and certification referred to in the approved form as is relevant to the plan.
- (3) The second and subsequent sheets of the plan must be signed by the surveyor by whom the plan has been prepared.
- (4) However, certificates and signatures are not required to appear on a strata plan of consolidation if they are provided on a signatures form that complies with the requirements set out in Schedule 3.
- (5) Each lot resulting from the consolidation must be numbered consecutively, the lowest lot number being greater by one than the highest number of any existing lot in the strata scheme. If a numbered lot is shown as consisting of more than one part, each part must be described as part of that numbered lot.

#### **12 Building alteration plans: section 14**

- (1) A building alteration plan must be in the approved form and must include a floor plan and, if the Registrar-General so requires, a plan in the nature of a location plan.
- (2) The first sheet of the plan must bear so much of the information and certification referred to in the approved form as is relevant to the plan.
- (3) The second and subsequent sheets of the plan must be signed by the surveyor by whom the plan has been prepared.
- (4) However, certificates and signatures are not required to appear on a building alteration plan if they are provided on a signatures form that complies with the requirements set out in Schedule 3.
- (5) The Registrar-General may permit specified survey information of an encroachment, sufficient to define the perimeter of a parcel, to be shown on a location plan.
- (6) Each lot must be numbered and identified in accordance with its existing numbering

and identity in the strata scheme.

### **13 Alteration of plans lodged by hand**

- (1) The Registrar-General may at his or her discretion and after giving notice to such persons as he or she may think fit:
  - (a) number or re-number any lots in a plan lodged by hand, whether before or after registration, and
  - (b) before registration of a plan lodged by hand, supply omissions and correct obvious errors in the plan.
- (2) If an alteration to a plan lodged by hand is to be made before registration of the plan and the alteration is not made by the Registrar-General under subclause (1), the alteration must be authenticated by the plan or original signatures form being signed and dated:
  - (a) by the surveyor by whom the plan has been prepared, and
  - (b) if the alteration concerns the definition of a lot boundary or affects the proportional unit entitlement of any lot in the strata scheme, by a duly authorised officer of the local council or by the accredited certifier (as appropriate).

### **14 Alteration of plans lodged electronically**

- (1) The Registrar-General may, at his or her discretion and after giving notice to such persons as he or she may think fit:
  - (a) before or after registration of a plan lodged electronically, direct that any lots in the plan be numbered or renumbered, and
  - (b) before registration of a plan lodged electronically, direct that anything omitted from the plan be added to the plan or that any obvious error in the plan be corrected, and
  - (c) require a replacement plan in a Tagged Image File Format (TIFF) approved by the Registrar-General to be submitted showing alterations in accordance with any directions given under paragraph (a) or (b).
- (2) If the alteration is to be made before registration of the plan and either concerns the definition of a lot boundary or affects the proportional unit entitlement of any lot in the strata scheme:
  - (a) the original signatures form is to be endorsed with a statement identifying the alteration, and
  - (b) the endorsed signatures form is to be re-signed by a duly authorised officer of the local council or by an accredited certifier (as appropriate), and

- (c) a new image of the endorsed and re-signed signatures form is to be created and lodged with the Registrar-General.

## **Part 4 Staged development**

### **15 Strata development contracts**

- (1) The description in a strata development contract referred to in section 28C (2) (c) of the Act must deal separately with each of the following matters in relation to each stage of the development:
  - (a) the types of buildings proposed, the proposed uses of the lots in the buildings, the proposed building style, and the proposed height and density of the buildings,
  - (b) any common property amenities that will be provided,
  - (c) the number of lots to be created,
  - (d) details of access and construction zones and accompanying rights over common property and development lots,
  - (e) the manner in which it is proposed to landscape the parcel,
  - (f) building materials and finishes to be used,
  - (g) details of any vertical staging, and of the insurance cover that applies to any such staging,
  - (h) whether the developer's liability for expenses relating to the use or maintenance of the common property is to be determined by unit entitlement or differently and details of how the liability is to be determined, if it is to be determined differently,
  - (i) details of any by-laws, management agreements, covenants, easements or dedications that will be created or entered into.
- (2) The description in a strata development contract referred to in section 28C (2) (d) of the Act must deal separately with each of the following matters in relation to each stage of the development:
  - (a) the types of buildings proposed, the proposed uses of the lots in the buildings, the proposed building style, and the proposed height and density of the buildings,
  - (b) the maximum number of lots to be created,
  - (c) details of any vertical staging, and of the insurance cover that applies to any such staging,
  - (d) whether the developer's liability for expenses relating to the use or maintenance of the common property is to be determined by unit entitlement or differently and

details of how the liability is to be determined, if it is to be determined differently,

- (e) details of any by-laws, management agreements, covenants, easements or dedications that will be created or entered into.

#### **16 Execution by developer on behalf of body corporate**

If a dealing, plan or other instrument is executed by a developer on behalf of a body corporate under section 28N (3) of the Act for the purpose of giving effect to a decision about a development concern:

- (a) the execution must be in the approved form, and
- (b) a statutory declaration in the approved form specifying the circumstances in which the instrument was executed must be lodged by hand in conjunction with each dealing, plan or other instrument, whether or not any of those instruments is lodged electronically.

#### **17 Notices relating to development concerns**

A motion that relates to a development concern must be identified by the matter “(THIS MOTION RELATES TO A DEVELOPMENT CONCERN—SEE SECTIONS 28N, 28O AND 28P OF THE STRATA SCHEMES (FREEHOLD DEVELOPMENT) ACT 1973)” appearing after the proposed wording of the motion in the following notices and requisitions:

- (a) a notice served on the secretary of the council of the body corporate requiring inclusion in the agenda of the next general meeting of the body corporate of such a motion,
- (b) a notice served on the secretary or, in the absence of the secretary, another member of the council of the body corporate requiring the convening of an extraordinary general meeting to consider such a motion,
- (c) a requisition served on the secretary or, in the absence of the secretary, another member of the council of the body corporate requiring a meeting of the council to be convened to consider such a motion,
- (d) notice of a meeting of the body corporate or of the council of the body corporate at which such a motion is to be considered.

#### **18 Insurance for vertical staged development**

- (1) For the purposes of clause 8 of Schedule 1BA to the Act, a policy of indemnity must indemnify the developer against at least the following:
  - (a) contract works claims up to a value at least equivalent to the cover provided by the body corporate’s damage policy maintained under section 83 or 84 of the [Strata Schemes Management Act 1996](#), subject only to such deductibles, exclusions and other terms and conditions as are reasonable and appropriate for

contract works insurance,

(b) public liability claims up to a value of at least \$15,000,000, subject only to such deductibles, exclusions and other terms and conditions as are reasonable and appropriate for public liability insurance.

(2) This clause does not affect any obligation of a developer to effect and maintain insurance required by or under any other law, such as the [Workers Compensation Act 1987](#).

(3) In this clause:

**contract works claim** means a claim for accidental damage to buildings and works for the time being forming part of the parcel (including buildings erected and works carried out under the strata development contract), arising out of or resulting from the carrying out of the permitted development.

**public liability claim** means a claim for damages because of death or personal injury for which the developer is liable as an occupier of the parcel.

## Part 5 Signatures form

### Note—

If a plan is lodged by hand but the signatures and consents required are not endorsed on the plan, or a plan is lodged electronically, the signatures and consents required must be endorsed on the form approved by the Registrar-General for signatures (the **signatures form**) and that form must be lodged in the same way as the plan. (See sections 16 (2A) and 27 (3A) of the Act.)

### 19 Content of the signatures form

- (1) The signatures form must repeat the plan heading and the surveyor's reference in the appropriate panels on each sheet of the approved form.
- (2) The signatures form must contain all certificates required by the Registrar-General, endorsed in the appropriate panels on that form.

### 20 Signatures form to comply with Schedule 3 or Schedules 3 and 4 requirements

- (1) A signatures form that is lodged by hand at the office of the Registrar-General must comply with the requirements set out in Schedule 3.
- (2) A signatures form can be lodged electronically only if:
  - (a) the plan to which it relates is also lodged electronically, and
  - (b) the signatures form complies with the requirements set out in Schedule 3 and the requirements set out in Schedule 4 are complied with.

## **21 Refusal to accept a signatures form**

The Registrar-General may refuse to accept a signatures form that, in the opinion of the Registrar-General, does not comply with, or is not lodged in accordance with, this Part.

## **22 Registration of a signatures form**

On registration of a plan that is accompanied by a signatures form, the signatures form is to be registered in the register of plans referred to in the [Conveyancing \(General\) Regulation 1998](#).

## **Part 6 Miscellaneous**

### **23 Notations relating to easements, profits à prendre, restrictions on the use of land and positive covenants**

- (1) A notation referring to an intention to create or release an easement or profit à prendre, or to create a restriction or positive covenant, must not be entered on a plan unless it is intended that it is to be created or released pursuant to section 88B of the [Conveyancing Act 1919](#).
- (2) However, a plan may designate the site of a proposed easement, profit à prendre, restriction or positive covenant that is intended to be created (otherwise than by registration of the plan) by an instrument of grant or reservation, or the proposed varied site of an existing easement or profit à prendre that is intended to be varied by an instrument of variation, if:
  - (a) the designation of the site of the proposed easement, profit à prendre, restriction or positive covenant, or of the proposed variation of existing easement or profit à prendre, includes the word “proposed” or an abbreviation of that word, and
  - (b) no other statement of intention to create or vary the easement or profit à prendre, or to create the restriction or positive covenant, is entered elsewhere on the plan.
- (3) The designation of the site of a proposed easement, profit à prendre, restriction or positive covenant in accordance with subclause (2) does not, for the purposes of section 88B of the [Conveyancing Act 1919](#), indicate in the prescribed manner an intention to create an easement.
- (4) A notation referring to the proposed varied site of an existing easement or profit à prendre must not be entered on a plan unless it is intended that the easement or profit à prendre is to be varied pursuant to section 47 (5A) of the [Real Property Act 1900](#).

### **24 Indication of creation of easement**

- (1) If a plan is intended, on registration, to create an easement, profit à prendre, restriction or positive covenant pursuant to section 88B of the [Conveyancing Act](#)

*1919:*

- (a) a statement of intention to create the easement, profit à prendre, restriction or positive covenant must be legibly printed in the panel provided on the approved form, and
  - (b) the site of any proposed easement must be shown in the plan drawing area of the approved form with sufficient indication of the nature of the easement to distinguish it from any other easement intended to be created on registration of the plan, and
  - (c) if any proposed easement is to be limited in height or depth, the levels of the limits must be defined as required by the Registrar-General.
- (2) A statement of intention referred to in subclause (1) (a) must neither incorporate the text of the easement, profit à prendre, restriction or positive covenant nor specify the lots intended to be benefited and burdened.
- (3) The plan must be accompanied by a section 88B instrument in the approved form that complies with Division 3 of Part 3 of, and the requirements set out in Schedule 4 to, the *Conveyancing (General) Regulation 1998* and is lodged in the same manner as the plan. If the instrument is lodged electronically, the requirements set out in Schedule 4A to that regulation must also be complied with.

**25 Indication of release of easement**

- (1) If a plan is intended, on registration, to release an easement or profit à prendre (in respect of some or all of the land to which it applies) pursuant to section 88B of the *Conveyancing Act 1919*:
- (a) a statement of intention to release the easement or profit à prendre must be legibly printed in the panel provided on the approved form, and
  - (b) sufficient information must be shown on the plan, or included in the relevant section 88B instrument, to indicate the extent of the release.
- (2) The plan must be accompanied by a section 88B instrument in the approved form that complies with Division 3 of Part 3 of, and the requirements set out in Schedule 4 to, the *Conveyancing (General) Regulation 1998* and is lodged in the same manner as the plan. If the instrument is lodged electronically, the requirements set out in Schedule 4A to that regulation must also be complied with.

**26 Lodgment of plans by hand**

- (1) A person lodging a plan by hand for registration at the office of the Registrar-General must produce the plan at that office in such manner as may be approved by the Registrar-General.



- (2) The original plan must be accompanied by:
  - (a) a completed plan lodgment form in the approved form, and
  - (b) one print of each sheet of the plan (each sheet being a positive reproduction on a light background), and
  - (c) completed plan checklists in the approved form, if required by the Registrar-General, and
  - (d) a signatures form, if adopted, as set out in Schedule 3.
- (3) If the Registrar-General so requires, a plan must also be accompanied by:
  - (a) in the case of a strata plan, the certificate of title or Crown grant for the land comprising the parcel, and
  - (b) in the case of a strata plan of subdivision or a strata plan of consolidation, the certificates of title for the land comprised in the plan and for the common property comprised in the strata scheme, and
  - (c) in the case of a building alteration plan, the certificate of title for the common property comprised in the strata scheme.
- (4) The Registrar-General will not require a plan to be accompanied by a certificate of title or Crown grant if evidence is furnished to his or her satisfaction that the certificate of title or Crown grant is in his or her custody, and that he or she has authority to use that instrument in connection with registration of the plan, or that notice has been served under section 15 (1) (d) of the Act.
- (5) If an original of a plan bears evidence of a strata certificate, the print of each sheet of the plan referred to in subclause (2) (b) must contain particulars of the certificate under the original signature of the duly authorised officer of the council or of the accredited certifier (as appropriate) who gave the certificate.

## **27 Lodgment of plans electronically**

- (1) An authorised person lodging a plan electronically for registration in the office of the Registrar-General must lodge the plan in accordance with the e-plan system established by section 195AA of the *Conveyancing Act 1919* or otherwise with the consent of the Registrar-General.
- (2) Plan lodgment details must be provided in the manner required by the Registrar-General. The plan must comply with the requirements set out in Schedule 2 and be lodged in accordance with the relevant requirements of that Schedule.
- (3) The plan file must be accompanied by files comprising:
  - (a) a completed approved form for signatures and such instruments and data files as

the Registrar-General may require,

(b) completed plan checklists in the approved form, if required by the Registrar-General.

(4) The following original documents must be lodged by hand at the office of the Registrar-General, and may not be lodged electronically:

(a) in the case of a strata plan, the certificate of title or Crown grant for the land comprising the parcel,

(b) in the case of a strata plan of subdivision or a strata plan of consolidation, the certificates of title for the land comprised in the plan and for the common property comprised in the strata scheme,

(c) in the case of a building alteration plan, the certificate of title for the common property comprised in the strata scheme,

(d) such consents in writing to the registration of the plan signed by a lessee, caveator, judgment creditor or other person, as may be required by the Registrar-General,

(e) such other certificates of title, office copies of court orders, powers of attorney, statutory declarations and other original documents as may be required by the Registrar-General.

(5) The Registrar-General will not require a certificate of title or Crown grant to be lodged if evidence is furnished to his or her satisfaction that the certificate of title or Crown grant is in his or her custody, and that he or she has authority to use that instrument in connection with registration of the plan, or that notice has been served under section 15 (1) (d) of the Act.

## **28 Lodgment of other documents electronically**

Where a strata plan, a strata plan of subdivision, a strata plan of consolidation or a building alteration plan that the Registrar-General permits to be lodged electronically is accompanied by other documents, those documents must also be lodged electronically and comply with the requirements set out in Schedule 5, except those documents referred to in clause 27 (4).

## **29 Surveyors' certificates**

A certificate given by a surveyor under section 14 (1) (d) of the Act must be in the approved form.

## **30 Councils' strata certificates and notices**

(1) A strata certificate issued or notice given by a local council under section 37 (1), (1A),

(2), (3), (4) or (5) of the Act must be in the approved form.

- (2) A notice under section 37 (2) of the Act must be accompanied by a copy of a plan illustrating the proposed subdivision, identified by the signature of the duly authorised officer of the council who signed the notice.
- (3) A local council must keep (as part of the register kept by the council under clause 264 or 265 of the *Environmental Planning and Assessment Regulation 2000*) a record of the following:
  - (a) the date of issue of each strata certificate issued by the council under section 37 of the Act,
  - (b) the date of issue of each strata certificate issued by an accredited certifier under section 37A of the Act in relation to a building or proposed building within the area of the council.
- (4) A local council must keep the following documents for each strata certificate issued by it under section 37 of the Act, or by an accredited certifier under section 37A of the Act, in relation to a building or proposed building within the area of the council:
  - (a) a copy of the strata certificate,
  - (b) a copy of the proposed strata plan, strata plan of subdivision or notice of conversion to which the strata certificate relates,
  - (c) copies of any related documents submitted to the council by the applicant for the strata certificate in connection with the application.
- (5) A local council must make the documents kept by it under subclause (4) available for inspection at its principal office, free of charge, during the council's ordinary office hours. A copy of any such document may be made on payment of a reasonable copying charge set by the council.

### **31 Accredited certifier certificates**

- (1) A strata certificate issued by an accredited certifier under section 37A of the Act must be in the approved form.
- (2) An accredited certifier must within 7 days after issuing a strata certificate send a copy of the following documents to the consent authority that granted the relevant development consent and to the local council (if the local council is not the consent authority):
  - (a) the strata certificate,
  - (b) the proposed strata plan, strata plan of subdivision or notice of conversion concerned,

(c) any other related documents submitted to the accredited certifier by the applicant for the strata certificate in connection with the application.

(3) Clause 205 (Record keeping by accredited certifiers) of the *Environmental Planning and Assessment Regulation 2000* applies (as a requirement of this Regulation) in respect of strata certificates and applications for strata certificates in the same way as it applies in respect of certificates and applications for certificates referred to in that clause.

### **32 Body corporate certificates**

(1) A certificate given by a body corporate under section 9 (3) (d) (i), 11 (b), 13 (2) (b) (i), 19 (3) (b), 28 (4) or 37 (2), (4) (a) or (5) (a) of the Act must be in the approved form.

(2) For the purpose of identification, a plan in respect of which a certificate is given under section 37 (2) of the Act must be signed by each person who attested the affixing of the seal of the body corporate on the certificate.

### **33 Category 1 fire safety provisions: section 37**

For the purposes of section 37 of the Act, the following provisions of the *Building Code of Australia* are prescribed as **Category 1 fire safety provisions**, namely, EP1.3, EP1.4, EP1.6, EP2.1, EP2.2 and EP3.2 in Volume One of that Code and P2.3.2 in Volume Two of that Code.

### **34 Periods for retention of documents: section 49**

For the purposes of section 49 (2) (c) of the Act, the period prescribed is the period of 12 months commencing with the day on which the plan or other document was registered or recorded.

### **35 Fees**

(1) The fees specified opposite the matters listed in Schedule 6 are payable to the Registrar-General in respect of those matters.

(2) A fee is payable before the service to which the fee relates is provided or at such time, and in accordance with such conditions, as the Registrar-General may agree with the person paying the fee.

### **36 Savings**

Any act, matter or thing that, immediately before the repeal of the *Strata Schemes (Freehold Development) Regulation 1997*, had effect under that Regulation continues to have effect under this Regulation.

## **Schedule 1 Requirements for plans lodged by hand**

(Clauses 6, 8 and 26)

### **1 Material on which plan to be drawn**

- (1) Each plan sheet must consist of a polyester film, or some other medium approved by the Registrar-General.
- (2) A plan must be drawn on one side of a plan sheet only, and must be drawn on a matt surface.
- (3) Each plan sheet must be free from blemishes and creases.

### **2 Plan sheet dimensions**

Each plan sheet must have external dimensions of 420 millimetres by 297 millimetres (standard A3 size).

### **3 Margins**

- (1) A margin of at least 10 millimetres must be left around the plan drawing area of each plan sheet.
- (2) No printing, writing or other notation (other than directions or notations authorised by the Registrar-General) must appear in, or extend into, the margin.

### **4 Lettering**

- (1) Unless the Registrar-General otherwise approves, all words must be in the English language, and all letters, figures and symbols appearing on a plan must be in a font style that is:
  - (a) dense and black in colour, and
  - (b) in upper case only (except as otherwise provided by this Schedule), and
  - (c) open in formation and construction, and
  - (d) in an upright style.
- (2) Unless the Registrar-General otherwise approves or this Schedule otherwise allows, all symbols must be letters.

### **5 Use of colouring and edging prohibited**

Neither colouring nor edging are to be used on a plan sheet.

### **6 Clarity of detail**

The plan must be drawn in a manner and to a scale that allows all details and notations to

be clearly reproduced by the copying processes used by the Registrar-General.

## **7 Alterations**

- (1) A plan may be altered only by striking through the matter to be altered.
- (2) In particular, a plan may not be altered by the use of correction fluid or by rubbing, scraping or cutting the surface of the plan sheet.
- (3) The Registrar-General may require a plan sheet to be replaced if, in the opinion of the Registrar-General, any alteration on the sheet will render it unsuitable for copying.

## **8 Information to be included on plan sheets**

- (1) Each plan sheet in a series of plan sheets must be numbered consecutively as part of the series (for example, the first and second sheets in a plan that is made up of 5 sheets must be numbered "Sheet 1 of 5 sheets" and "Sheet 2 of 5 sheets", respectively).
- (2) Each sheet of a location plan or floor plan must contain a north point (directed upwards).
- (3) No information (other than the plan and any separate diagrams and tabulations of dimensions relating to the plan) is to appear within the plan drawing area of a plan sheet.
- (4) Any signature or seal that cannot satisfactorily be shown on the plan sheet may be shown on an additional plan sheet, except where the signature or seal is provided on a signatures form that complies with the requirements set out in Schedule 3.

## **9 Linear dimensions**

- (1) Linear measurements must be expressed in metres without any accompanying symbol.
- (2) If a length of less than one metre is shown, the decimal point must be preceded by the numeral "0".

## **10 Area dimensions**

- (1) Area measurements must be expressed as follows:
  - (a) areas of less than one hectare must be expressed in square metres, accompanied by the symbol "m<sup>2</sup>",
  - (b) areas of one hectare or more must be expressed in hectares (using not more than 4 significant figures), accompanied by the symbol "ha",
  - (c) areas of 10,000 hectares or more must be expressed in square kilometres,

accompanied by the symbol “km<sup>2</sup>”.

(2) The total area of a lot:

- (a) must be shown within or relevant to the most significant part of the lot, and
- (b) must be the exact mathematical total of the areas shown elsewhere in the plan within or relevant to the component parts of that lot.

### **11 Bearings and angles**

- (1) Bearings must not be shown on any plan sheet unless they form part of permitted survey information.
- (2) Angular relationships must be established by linear dimensions and rectangular offsets only, and not by use of angular dimensions, except in the case of an angular dimension of 90°, which may be shown as such on a floor plan.

### **12 Reduction ratio**

There must be a statement on each sheet of a location plan or floor plan of the reduction ratio at which the plan is drawn.

### **13 Identification of new or proposed easements, profits à prendre, restrictions and positive covenants**

- (1) A location plan must contain sufficient information to define the site of:
  - (a) any easement, profit à prendre, restriction or positive covenant that is intended to be created as a consequence of the registration of the plan and that affects common property not within a building, and
  - (b) any easement or profit à prendre intended to be partially released as a consequence of the registration of the plan, and
  - (c) any proposed easement (other than an easement referred to in paragraph (a) or (b)), profit à prendre, restriction or positive covenant, or proposed variation or partial release of an easement or profit à prendre, that affects common property not within a building,

and, where necessary, contain sufficient information to indicate the relationship of any such easement, profit à prendre, restriction or positive covenant to the boundaries of any affected parcel or lot.

(2) A floor plan must contain sufficient information to define the site of:

- (a) any easement, profit à prendre, restriction or positive covenant intended to be created as a consequence of the registration of the plan that affects a lot in the plan or common property within a building, and

(b) any proposed easement over a lot in the plan or common property within a building.

(3) If a proposed easement is in respect of an existing tunnel, pipe, conduit, wire or other similar object which is underground or is within or beneath an existing building, it is sufficient to indicate on the location plan or floor plan (as the case may be) the approximate position of the easement.

#### **14 Identification of existing easements, profits à prendre, restrictions and positive covenants on location plans**

(1) A location plan must:

(a) contain sufficient information to define the site, nature and origin of any existing easement, profit à prendre, restriction or positive covenant affecting a parcel, and

(b) wherever possible, show the relationship of the easement, profit à prendre, restriction or positive covenant to the boundaries of the parcel.

(2) If an easement is in respect of an existing tunnel, pipe, conduit, wire or other similar object which is underground or is within or beneath an existing building, it is sufficient to indicate on the location plan the approximate position of the easement.

(3) A floor plan may show sufficient information to define the site of an existing easement that is located within a building if the Registrar-General agrees that the enjoyment of the easement would be reliant on its position being shown in such a manner.

(4) In this clause:

**origin**, in relation to an existing easement, means the Gazette reference or registration number of the instrument or plan by which the easement was granted, reserved, notified or otherwise created.

## **Schedule 2 Requirements for plans lodged electronically**

(Clauses 6, 8 and 27)

### **1 File type in which plan to be created**

(1) Each plan sheet must be created in a Tagged Image File Format (TIFF) approved by the Registrar-General.

(2) Each image must be created to the following specifications:

(a) **Size**—true to the approved form size (standard A3),

(b) **Colour**—must be black and white (monochrome),

(c) **Resolution**—200 dots per inch (dpi),



(d) **Compression**—CCITT Group 4.

(3) A plan comprising more than one sheet must be created as a multipage file.

## **2 Plan sheet dimensions**

Each plan sheet must have external dimensions of 420 millimetres in width by 297 millimetres in length (standard A3 size).

## **3 Margins**

- (1) A margin of at least 10 millimetres must be left around the plan drawing area of each plan sheet.
- (2) No printing, writing or other notation (other than directions or notations authorised by the Registrar-General) must appear in, or extend into, the margin.

## **4 Lettering**

- (1) Unless the Registrar-General otherwise approves, all words must be in the English language, and all letters, figures and symbols appearing on a plan must be in a font style that is:
  - (a) dense and black in colour, and
  - (b) in upper case only (except as otherwise provided by this Schedule), and
  - (c) open in formation and construction, and
  - (d) in an upright style.
- (2) Unless the Registrar-General otherwise approves or this Schedule otherwise allows, all symbols must be letters.

## **5 Use of colouring and edging prohibited**

Neither colouring nor edging are to be used on a plan sheet.

## **6 Clarity of detail**

- (1) The plan must be drawn to a scale and the image created in a manner that allows all details and notations to be clearly reproduced by the copying processes used by the Registrar-General.
- (2) The Registrar-General may require a plan file to be resubmitted if, in the opinion of the Registrar-General, the plan image does not comply with subclause (1).

## **7 Alterations**

- (1) A plan image must not be altered.

- (2) Any alterations must be made to the Computer Aided Drafting (CAD) software plan file and a new image created.

## **8 Information to be included on plan sheets**

- (1) Each plan sheet in a series of plan sheets must be numbered consecutively as part of the series (for example, the first and second sheets in a plan that is made up of 5 sheets must be numbered "Sheet 1 of 5 sheets" and "Sheet 2 of 5 sheets", respectively).
- (2) Each sheet of a location plan or floor plan must contain a north point (directed upwards).
- (3) No information (other than the plan and any separate diagrams and tabulations of dimensions relating to the plan) is to appear within the plan drawing area of a plan sheet.

## **9 Linear dimensions**

- (1) Linear measurements must be expressed in metres, without rounding or any accompanying symbol.
- (2) If a length of less than one metre is shown, the decimal point must be preceded by the numeral "0".

## **10 Area dimensions**

- (1) Area measurements must be expressed as follows:
  - (a) areas of less than one hectare must be expressed in square metres, accompanied by the symbol "m<sup>2</sup>",
  - (b) areas of one hectare or more must be expressed in hectares (using not more than 4 significant figures), accompanied by the symbol "ha",
  - (c) areas of 10,000 hectares or more must be expressed in square kilometres, accompanied by the symbol "km<sup>2</sup>".
- (2) The total area of a lot:
  - (a) must be shown within or related to the most significant part of the lot, and
  - (b) must be the exact mathematical total of all areas shown on the plan as within that lot.

## **11 Bearings and angles**

- (1) Bearings must not be shown on any plan sheet unless they form part of permitted survey information.

- (2) Angular relationships must be established by linear dimensions and rectangular offsets only, and not by use of angular dimensions, except in the case of an angular dimension of 90°, which may be shown as such on a floor plan.

## **12 Reduction ratio**

There must be a statement on each sheet of the reduction ratio at which the plan is drawn.

## **13 Identification of new or proposed easements, profits à prendre, restrictions and positive covenants**

- (1) A location plan must contain sufficient information to define the site of:
- (a) any easement, profit à prendre, restriction or positive covenant that is intended to be created as a consequence of the registration of the plan and that affects common property not within a building, and
  - (b) any easement or profit à prendre intended to be partially released as a consequence of the registration of the plan, and
  - (c) any proposed easement (other than an easement referred to in paragraph (a) or (b)), profit à prendre, restriction or positive covenant, or proposed variation or partial release of an easement or profit à prendre, that affects common property not within a building,

and, where necessary, contain sufficient information to indicate the relationship of any such easement, profit à prendre, restriction or positive covenant to the boundaries of any affected parcel or lot.

- (2) A floor plan must contain sufficient information to define the site of:
- (a) any easement, profit à prendre, restriction or positive covenant intended to be created as a consequence of the registration of the plan that affects a lot in the plan or common property within a building, and
  - (b) any proposed easement over a lot in the plan or common property within a building.
- (3) If a proposed easement is in respect of an existing tunnel, pipe, conduit, wire or other similar object which is underground or is within or beneath an existing building, it is sufficient to indicate on the location plan or floor plan (as the case may be) the approximate position of the easement.

## **14 Identification of existing easements, profits à prendre, restrictions and positive covenants on location plans**

- (1) A location plan must:

- (a) contain sufficient information to define the site, nature and origin of any existing easement, profit à prendre, restriction or positive covenant affecting a parcel, and
  - (b) wherever possible, show the relationship of the easement, profit à prendre, restriction or positive covenant to the boundaries of the parcel.
- (2) If an easement is in respect of an existing tunnel, pipe, conduit, wire or other similar object which is underground or is within or beneath an existing building, it is sufficient to indicate on the location plan the approximate position of the easement.
- (3) A floor plan may show sufficient information to define the site of an existing easement that is located within a building if the Registrar-General agrees that the enjoyment of the easement would be reliant on its position being shown in such a manner.
- (4) In this clause:

**origin**, in relation to an existing easement, means the Gazette reference or registration number of the instrument or plan by which the easement was granted, reserved, notified or otherwise created.

## 15 Signatures not to appear

The plan drawing sheets are not to show any signatures or seals.

**Note—**

All signatures and seals must be shown on the signatures form.

## Schedule 3 Requirements for signatures form

(Clause 20 (1))

**Note—**

When a signatures form is adopted, all signatures and seals must be shown on the form. No signatures or seals are to appear on the plan drawing sheets, except as provided in clause 26 (5). The completed signatures form must be lodged with and in the same manner as the plan.

### 1 Use of approved form

- (1) A signature form must be in the approved form.
- (2) Any signatures, seals or certificates that cannot satisfactorily be shown on one sheet may be shown on one or more additional sheets in the approved form.

### 2 Paper

The paper used must be:

- (a) white and free from discolouration and blemishes, and
- (b) not less than 80 grams per square metre, and

- (c) 297 millimetres in length by 210 millimetres in width (standard A4), or such other paper as may be approved by the Registrar-General.

### **3 Margins**

- (1) The sheets used must have clear margins of not less than 10 millimetres on each side and top and bottom.
- (2) Typewriting, printing, writing or seals (other than directions or notations authorised by the Registrar-General) must not extend into a margin.

### **4 Lettering**

- (1) The text of a signatures form must be clearly printed or written:
  - (a) across the width of each panel on the sheet of paper used, and
  - (b) on one side only of each sheet.
- (2) All text must be clear and legible and in dense black ink or dense dark blue ink. The lines must not overlap. A carbon copy, or a copy in which the typewritten characters blur or spread or are liable to mark or damage an adjacent sheet, will not be accepted.
- (3) Handwriting and any imprint of a seal must be clear and legible and in dense black ink or dense dark blue ink.

### **5 Alterations**

- (1) Alterations must be made by striking through the matter intended to be altered and not by rubbing, scraping or cutting the surface of the paper or by using correction fluid.
- (2) Signatures or initials acknowledging alterations by interlineation or the striking through of matter must be placed in the margin as near as practicable to the alteration.

### **6 Information to be included on multiple sheets**

If the signatures form comprises more than one sheet:

- (a) each sheet other than the first sheet must repeat the heading on the first sheet, the strata certificate number and date of endorsement and the surveyor's reference, and
- (b) each sheet must be numbered sequentially in the top right hand corner of each sheet as "Sheet ..... of ..... sheets".

## Schedule 4 Requirements for lodging signatures form electronically

(Clause 20 (2))

### 1 File type in which image of document to be created

- (1) Each sheet of the completed paper signatures form complying with Schedule 3 that bears original signatures and seals is to be scanned by the lodging party and an image created in a Tagged Image File Format (TIFF) approved by the Registrar-General.
- (2) Each image must be created to the following specifications:
  - (a) **Size**—true to the approved form size (standard A4),
  - (b) **Colour**—must be black and white (monochrome),
  - (c) **Resolution**—200 dots per inch (dpi),
  - (d) **Compression**—CCITT Group 4.

### 2 Multiple sheets

An image of a signatures form comprising more than one sheet must be created as a multipage file.

### 3 Lodging procedure

- (1) The TIFF image of the completed signatures form is to be lodged electronically together with the TIFF image of the plan.
- (2) The standard of the electronic file received by the Registrar-General must be acceptable to the Registrar-General.

#### Note—

The completed paper signatures form, bearing original signatures and seals, must be retained by the lodging party for a period of at least 12 months following the date of registration of the plan because the lodging party may be required, under section 78 of the Act, to produce that form to the Registrar-General within that period.

## Schedule 5 Requirements for lodging other documents electronically

(Clause 28)

### 1 File type in which image of document to be created

- (1) Where a document other than a signatures form is required to be lodged electronically with a plan, such as:
  - (a) a strata development contract, or
  - (b) a strata management statement, or

(c) by-laws, or

(d) any other documents required by the Registrar-General,

each sheet of the completed paper document is to be scanned by the lodging party and an image created in a Tagged Image File Format (TIFF) approved by the Registrar-General.

(2) Each image must be created to the following specifications:

(a) **Size**—true to the approved form size (standard A4),

(b) **Colour**—must be black and white (monochrome),

(c) **Resolution**—200 dots per inch (dpi),

(d) **Compression**—CCITT Group 4.

## 2 Multiple sheets

An image of a document comprising more than one sheet must be created as a multipage file.

## 3 Lodging procedure

(1) The TIFF image of the completed document is to be lodged electronically together with the TIFF image of the plan.

(2) The standard of the electronic file received by the Registrar-General must be acceptable to the Registrar-General.

### Note—

The completed paper document, bearing original signatures and seals, must be retained by the lodging party for a period of at least 12 months following the date of registration of the plan because the lodging party may be required, under section 78 of the Act, to produce that form to the Registrar-General within that period.

## Schedule 6 Fees

(Clause 35)

	\$
1 On lodgment of a plan for registration	625.00
And, in addition, for each hour or part of an hour in excess of the first 4 hours occupied in the examination	64.00
In addition, for the preparation and supply of a certificate of title for common property in a strata scheme	64.00
In addition, for each lot shown on the plan	64.00

	And, if the plan is accompanied by a copy of the proposed by-laws for the strata scheme, an additional	128.00
	And, if the plan is accompanied by a section 88B instrument in which only one easement, restriction on the use of land, positive covenant or profit à prendre is to be created, irrespective of the number of lots burdened or benefited, an additional	64.00
	And, if the plan is accompanied by a section 88B instrument in which the combined number of easements, restrictions on the use of land, positive covenants or profits à prendre to be created is 2 or more, an additional	128.00
	And, if the plan is accompanied by a section 88B instrument in which only one easement is to be released, irrespective of the number of lots burdened or benefited, an additional	64.00
	And, if the plan is accompanied by a section 88B instrument in which the number of easements to be released is 2 or more, an additional	128.00
	And, if the plan is a strata plan of consolidation—for each folio of the Register to be consolidated, an additional	15.00
2	On lodgment of a substituted plan or any sheet of such a plan	64.00
3	On lodgment of a section 88B instrument in substitution for another such instrument or part of such instrument	Such fee as would be appropriate to the instrument as an original lodgment fee
4	On lodgment of an application to amend a plan	64.00
	In addition, if the application involves the amendment of a certificate of title or folio of the Register:	
	(a) for the first certificate or folio	64.00
	(b) for each certificate or folio after the first	10.00
5	For examining a plan before lodgment	687.50
	In addition, for each hour or part of an hour in excess of the first 4 hours occupied in the examination	70.40
6	On lodgment of a notification of change of by-laws	64.00
7	On lodgment of a notice of conversion	64.00
8	On lodgment of a notification of change of address for service of notices on an owners corporation	64.00
9	On lodgment of an order varying a strata scheme	64.00
10	On lodgment of an application for an order terminating a strata scheme	64.00
	In addition, for each hour or part of an hour occupied in examining the application	106.00



11	On lodgment of an order terminating a strata scheme	64.00
12	On lodgment of a certificate that the initial period has expired, given by an owners corporation pursuant to section 9 (3) (d) (i), 13 (2) (b) (i) or 28 (4) (b) of the Act	64.00
13	On lodgment of a strata management statement	64.00
14	On lodgment for registration of a strata development contract	128.00
15	On lodgment for registration of an amendment to a strata development contract	64.00
16	For supplying a copy, available from the Document Copy Service, of a strata development contract or part of a strata development contract	4.00
17	For supplying a copy of a strata development contract or part of a strata development contract in response to a requisition requiring dispatch of information by post, facsimile or other means approved by the Registrar-General	22.00
18	For supplying a copy, available from the Document Copy Service, of any by-laws lodged with a strata plan	4.00
19	On lodgment of any document not otherwise referred to in this Schedule	64.00