



New South Wales

Liquor Regulation 2018

under the

Liquor Act 2007

His Excellency the Governor, with the advice of the Executive Council, has made the following Regulation under the *Liquor Act 2007*.

PAUL TOOLE, MP
Minister for Racing

Explanatory note

The object of this Regulation is to remake, with some changes, the provisions of the *Liquor Regulation 2008*, which is repealed on 1 September 2018 by section 10 (2) of the *Subordinate Legislation Act 1989*.

This Regulation makes provision with respect to the following:

- (a) fees payable under the *Liquor Act 2007* (**the Act**), including application fees, licence transfer endorsement fees, fees in connection with matters relating to the responsible service of alcohol, and periodic licence fees,
- (b) applications for licences and authorisations under the Act, including requirements relating to advertising applications and community impact statements,
- (c) miscellaneous provisions relating to licences and licensed premises, including provisions relating only to on-premises licences and requirements to display certain notices,
- (d) requirements with respect to the responsible service of alcohol,
- (e) harm minimisation measures in connection with the supply of liquor,
- (f) the declaration of certain precincts as prescribed precincts for the purposes of the Act, and special licence conditions that apply to licensed premises within prescribed precincts,
- (g) special events extended trading periods for hotels and clubs,
- (h) offences under the Act and this Regulation that may be dealt with by means of a penalty notice,
- (i) other miscellaneous matters.

This Regulation is made under the *Liquor Act 2007*, including sections 4 (1) (definitions of **evidence of age document**, **liquor**, **non-proprietary association**, **person authorised to sell liquor** and **tertiary institution**), 6 (1) (d), (f) and (l) and (8), 11 (1) (b), 11A (6) and (10), 13, 15A (3), 17 (3), 20C (1), 21, 25 (7), 26 (4), 28 (3), 33 (1) (d) and (e), (3) (b) and (5) (definition of **producers' market or fair**), 35 (2) (b), 40 (4) (b)–(d), 41 (3), 42 (1) (b), 44 (1), 45 (4), 46A (2) (d), 47J (c), 48 (6) and (7), 49B (2), 51 (2) (b)–(d), (5) and (10), 53 (3) (b)–(e) and (5), 56 (2) (d), 58A, 58B (2) (b), 58C (3), 59 (2) (b)–(d), 60 (4) (b), 60A (3) (b), 62 (7), 66 (6) (definition of **metropolitan area**), 68 (1), 84 (5), 94 (4), 95 (1) and (2), 96 (3), 97 (4), 99, 100 (1), 114A, 116B (2) (b) and (3), 116C (1), 116D (1) (a1) and (6), 116E (1) (e), 116I, 127, 133 (2) (d), 139 (1) (c), 150 (2) and (5), 152 (2), 154 (1) and 159 (the general regulation-making power).

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Part 1 Preliminary

1 Name of Regulation (cf 2008 reg cl 1)

This Regulation is the *Liquor Regulation 2018*.

2 Commencement (cf 2008 reg cl 2)

This Regulation commences on 1 September 2018 and is required to be published on the NSW legislation website.

Note. This Regulation replaces the *Liquor Regulation 2008*, which is repealed on 1 September 2018 by section 10 (2) of the *Subordinate Legislation Act 1989*.

3 Definitions (cf 2008 reg cl 3)

(1) In this Regulation:

fee unit—see Part 4 of Schedule 1.

general late trading period, in relation to premises, means:

- (a) the period between midnight on any day of the week and such later time at which the premises are required to cease trading, or
- (b) in the case of premises that are not required to cease trading at any time after midnight on any day of the week—the period between midnight and 7 am.

high risk venue means a high risk venue within the meaning of section 116B of the Act.

licence-related authorisation means any of the following:

- (a) an extended trading authorisation,
- (b) a drink on-premises authorisation,
- (c) any other authorisation that may be granted by the Authority under Part 3 of the Act (other than a licence),
- (d) a minors area authorisation,
- (e) a minors functions authorisation.

multi-function limited licence means a limited licence authorising the sale or supply of liquor as part of, or in connection with, more than one function.

multi-occasion extended trading authorisation means an extended trading authorisation of the kind referred to in section 49 (5) (c) or 49A (3) (c) of the Act.

ongoing extended trading authorisation means an extended trading authorisation of the kind referred to in section 49 (5) (a) or 49A (3) (a) of the Act.

planning approval means:

- (a) development consent under the *Environmental Planning and Assessment Act 1979*, or

(b) an approval under Division 5.2 of that Act.

RSA marshal means a person who is employed for the purposes of carrying out RSA supervisory duties on licensed premises.

RSA supervisory duties means the following:

- (a) monitoring responsible service of alcohol practices by staff members of licensed premises who are selling, supplying or serving liquor,
- (b) engaging with those staff members, and with patrons on the premises, for the purposes of encouraging responsible attitudes and practices in relation to the promotion, sale, supply, service and consumption of liquor,
- (c) monitoring alcohol consumption by patrons and their behaviour for signs of irresponsible, rapid or excessive consumption of alcohol and for signs of intoxication,
- (d) intervening at any early stage to assist in the prevention of intoxication and anti-social behaviour (such intervention may include suggesting that patrons moderate their alcohol consumption by consuming food or non-alcoholic beverages).

single function limited licence means a limited licence authorising the sale or supply of liquor as part of, or in connection with, one (but not more than one) function.

special occasion extended trading authorisation means an extended trading authorisation of the kind referred to in section 49 (5) (b) or 49A (3) (b) of the Act.

staff member, in relation to licensed premises, means any employee or agent of, or person purporting to act on behalf of, the licensee of the premises, and includes any person who is employed to carry on activities as a crowd controller or bouncer on or about the premises.

Sydney CBD Entertainment precinct means the area of that name described in Part 2 of Schedule 2.

the Act means the *Liquor Act 2007*.

tourist accommodation establishment means premises:

- (a) operating under an on-premises, hotel or club licence that relates to accommodation premises, and
- (b) that provide accommodation in at least 20 rooms or self-contained suites (other than accommodation on a bed or dormitory-style basis rather than in separate rooms), and
- (c) that provide beverages, meals and other associated services to temporary residents and their guests,

but does not include any tourist accommodation establishment bar area on the premises that permits entry or exit (including by way of a vestibule) directly to a public street.

tourist accommodation establishment bar area, in relation to a tourist accommodation establishment, means any part of the establishment in which liquor is ordinarily sold or supplied for consumption in the establishment, but does not include:

- (a) a dining area in the establishment, or
- (b) any part of the establishment in which liquor is, otherwise than as authorised under section 17 (6) of the Act, sold or supplied exclusively to residents.

Note. The Act and the *Interpretation Act 1987* contain definitions and other provisions that affect the interpretation and application of this Regulation.

(2) Notes included in this Regulation do not form part of this Regulation.

Note. For the purposes of comparison, a number of provisions of this Regulation contain bracketed notes in headings drawing attention (**cf**) to equivalent or comparable (though not

necessarily identical) provisions of the *Liquor Regulation 2008 (2008 reg)*, as in force immediately before the repeal of that Regulation by section 10 (2) of the *Subordinate Legislation Act 1989*.

Part 2 Fees

Note. See Part 4 of Schedule 1 for the adjustment of fees for inflation.

Division 1 Application fees and other fees

4 Application fees generally (cf 2008 reg cl 4)

- (1) The application fees payable for the purposes of the Act are the fees specified in Schedule 1 (except as provided by clause 5).
- (2) The amount of the fee payable for an application is the amount specified under the heading “Total” in relation to the application concerned.
- (3) If an application specified in Schedule 1 (other than an application for a limited licence (single function)) is made by means of an electronic system approved by the Authority, the amount specified under the heading “Processing component” in respect of the application is reduced by 10% and the total amount payable under this clause is reduced accordingly.
- (4) If an application:
 - (a) is refused by the Authority, or
 - (b) is granted by the Authority but is subsequently withdrawn by the applicant before the licence or other authorisation concerned takes effect, or
 - (c) is treated by the Authority as having been withdrawn,the fee that has been paid in connection with the application is to be refunded to the applicant, except for any amount specified under the heading “Processing component” (which is taken to be a fee to cover the costs incurred by the Authority in processing the application concerned).

5 Other application fees (cf 2008 reg cl 5)

(1) Variation of extended trading authorisation

For the purposes of section 51 (10) of the Act, the application fee to vary an extended trading authorisation is the same as the application fee would be for the extended trading authorisation (as varied) unless the variation reduces the number of trading hours in which case the application fee is nil.

(2) Removal of licence to other premises

For the purposes of section 59 (2) (b) of the Act, the application fee for approval to remove a licence to other premises is the same fee as the licence application fee specified in Part 1 of Schedule 1 for the particular type of licence concerned.

6 Licence transfer endorsement fees (cf 2008 reg cl 71A)

For the purposes of section 60A (3) (b) of the Act, the following fees are prescribed:

- (a) 3.79 fee units for a hotel licence or a packaged liquor licence,
- (b) 0.54 fee units for a limited licence,
- (c) 2.16 fee units for any other type of licence.

7 RSA fees

- (1) The fees payable in connection with matters under Part 5 (Responsible service of alcohol (RSA)) are as follows:

Item	Fee payable to Secretary	Fee (in fee units)
1	Fee payable by approved training provider for issue of interim certificate certifying the completion of an approved RSA training course (other than an advanced licensee RSA training course)	0.85
2	Fee payable by approved training provider for issue of interim certificate certifying the completion of an advanced licensee RSA training course	0.4
3	Fee payable by person for issue of recognised competency card with renewed recognised competency card endorsement or additional recognised competency card endorsement	0.4
4	Fee payable by person for issue of recognised competency card in replacement of a current recognised competency card that the Secretary is satisfied has been lost, stolen or damaged	0.4
5	Fee payable by applicant to become approved training provider of approved RSA training course:	
	(a) for a first approval	14.5
	(b) for a second or subsequent approval	8
6	Fee payable by approved training provider for additional approval to provide approved RSA training course online:	
	(a) for a first approval	14.5
	(b) for a second or subsequent approval	6.5

- (2) A person is exempt from paying the fee described in item 3 of subclause (1) if:
- (a) the renewed recognised competency card endorsement is an industry RSA endorsement, and
 - (b) the industry RSA endorsement is renewed by the completion of an industry RSA training course instead of an industry RSA refresher course.
- (3) Words and expressions used in this clause have the same meaning as in Part 5.

Division 2 Periodic licence fees

8 Definitions (cf 2008 reg cll 5A and 5B)

In this Division:

assessment date for a periodic licence fee means:

- (a) in relation to a part year licence—the date on which the part year licence is granted, and
- (b) in relation to any other licence—15 March in each assessment year.

assessment year means a period of 12 months commencing on 15 March.

base fee element—see clause 10.

compliance history risk loading element—see clause 11 (1).

due date for the payment of a periodic licence fee means:

- (a) in relation to a part year licence—60 days after the day on which the Secretary sends a notice to the licensee liable to pay the fee under clause 15, and
- (b) in relation to any other licence—29 May in each assessment year.

element of a periodic licence fee—see clause 9 (1).

location risk loading element—see clause 13 (1).

part year licence, in relation to an assessment year, means a licence that is granted on or after 16 March in that assessment year.

patron capacity loading element—see clause 14 (1).

periodic licence fee means the periodic licence fee payable in respect of an assessment year for a licence (under section 58A of the Act).

trading hours risk loading element—see clause 12 (1).

9 Periodic licence fees (cf 2008 reg cl 5C)

- (1) The periodic licence fee for a licence is the sum of the following elements:
 - (a) the base fee element (determined and adjusted under clause 10),
 - (b) the compliance history risk loading element (determined under clause 11),
 - (c) the trading hours risk loading element (determined under clause 12),
 - (d) the location risk loading element (determined under clause 13), which is payable only if a compliance history risk loading element is payable,
 - (e) the patron capacity loading element (determined under clause 14), which is payable only if a compliance history risk loading element is payable.
- (2) Despite subclause (1), the periodic licence fee for a part year licence is only the base fee element (determined under clause 10), reduced as follows:
 - (a) if the part year licence is granted on or after 16 March but not later than 15 June—by 25%,
 - (b) if the part year licence is granted on or after 16 June but not later than 15 September—by 50%,
 - (c) if the part year licence is granted on or after 16 September but not later than 15 December—by 75%.
- (3) A periodic licence fee is not payable in respect of a part year licence granted on or after 16 December in an assessment year.
- (4) Subclauses (2) and (3) take effect on and from 16 March 2019.

10 Base fee element (cf 2008 reg cl 5D)

The *base fee element* of a periodic licence fee for a licence is as follows:

- (a) for a hotel licence (other than general bar licence)—5.43 fee units,
- (b) for a general bar licence—2.72 fee units,
- (c) for a club licence—5.43 fee units,
- (d) for a small bar licence—2.18 fee units,
- (e) for an on-premises licence—4.35 fee units,
- (f) for a packaged liquor licence:
 - (i) where the licensee holds 3 or less such licences, or the licensee or a business owner has an interest in the business, or the profits of the business, carried on under packaged liquor licences at 3 or less premises—5.43 fee units, or
 - (ii) where the licensee holds more than 3, but not more than 9, such licences, or the licensee or a business owner has an interest in the business, or the profits of the business, carried on under packaged liquor licences at more than 3, but not more than 9, premises—10.84 fee units, or

- (iii) where the licensee holds more than 9 such licences, or the licensee or a business owner has an interest in the business, or the profits of the business, carried on under packaged liquor licences at more than 9 premises—21.66 fee units, or
- (iv) where a combination of any of the matters referred to in subparagraphs (i)–(iii) applies—the highest of the amounts so referred to,
- (g) for a producer/wholesaler licence—2.18 fee units,
- (h) for a multi-function limited licence—1.1 fee units.

11 Compliance history risk loading element (cf 2008 reg cl 5E)

- (1) The *compliance history risk loading element* of a periodic licence fee for a licence is:
 - (a) 32.46 fee units—if 1 relevant prescribed offence event has occurred during the relevant compliance period that relates to a prescribed offence committed by the licensee or manager of the licensed premises, or
 - (b) 64.92 fee units—if:
 - (i) 2 relevant prescribed offence events have occurred during the relevant compliance period that relate to prescribed offences committed by the licensee or manager of the licensed premises, or
 - (ii) the licence was, at any time during the relevant compliance period, listed in Table 2 at the end of clause 1 of Schedule 4 to the Act when that list was replaced in its entirety during the assessment year ending on the relevant assessment date, or
 - (c) 97.39 fee units—if:
 - (i) 3 or more relevant prescribed offence events have occurred during the relevant compliance period that relate to prescribed offences committed by the licensee or manager of the licensed premises, or
 - (ii) the licence was, at any time during the relevant compliance period, listed in Table 1 at the end of clause 1 of Schedule 4 to the Act when that list was replaced in its entirety during the assessment year ending on the relevant assessment date, or
 - (d) if a combination of any of the circumstances specified in paragraphs (a)–(c) exist in relation to a licence—the highest of the amounts so specified.
- (2) If none of the circumstances specified in subclause (1) exist in relation to a licence, no compliance history risk loading element is payable for the licence.
- (3) For the purposes of this clause, a *relevant prescribed offence event* occurs when:
 - (a) a court convicts a person of a prescribed offence (whether or not it imposes any penalty), or
 - (b) an amount is paid under a penalty notice in respect of such an offence, or
 - (c) a penalty notice enforcement order under the *Fines Act 1996* is made against the person in respect of such an offence.
- (4) However, if:
 - (a) the conviction is overturned on appeal, or
 - (b) the person elects, after an amount is paid under the penalty notice, to have the offence dealt with by a court, or
 - (c) the penalty notice, or the penalty notice enforcement order to the extent that it applies to the penalty notice, is withdrawn or annulled,

the relevant prescribed offence event derived from the conviction, payment or making of the order is taken not to have occurred and so much of the compliance history risk loading element as comprises the amount based on the relevant prescribed offence event is to be refunded by the Secretary to the licensee.

- (5) If more than one prescribed offence is committed by the licensee or manager of the premises to which a licence relates within a single 24-hour period, no more than one relevant prescribed offence event is, for the purposes of this clause, taken to have occurred in relation to that licence.
- (6) In this clause:
prescribed offence has the same meaning as in section 144B of the Act.
relevant compliance period, in relation to a periodic licence fee payable in respect of an assessment year, means the calendar year before the relevant assessment date for that year.

12 Trading hours risk loading element (cf 2008 reg cl 5F)

- (1) The *trading hours risk loading element* of a periodic licence fee for a licence is as follows:
- (a) if, on the relevant assessment date, the licensee is authorised to sell or supply liquor to patrons on the licensed premises on a regular basis between midnight and 1.30 am (but not after 1.30 am) on any day—27.05 fee units,
- (b) if, on the relevant assessment date, the licensee is authorised to sell or supply liquor to patrons on the licensed premises on a regular basis between 1.30 am and 5 am on any day—54.05 fee units,
- (c) if, on the relevant assessment date, a multi-occasion extended trading authorisation is in force in respect of the premises (whether or not liquor is being sold or supplied in accordance with the authorisation on that date)—10.82 fee units.
- (2) A trading hours risk loading element that applies to a producer/wholesaler licence endorsed with a drink on-premises authorisation is to be determined by reference to the period that the licensee is authorised to sell liquor by retail on the licensed premises, for consumption on the licensed premises, under the drink on-premises authorisation and not by reference to the period that the licensee is authorised to sell or supply liquor on the licensed premises on a regular basis under the producer/wholesaler licence.
- (3) An on-premises licence that relates to a vessel that is authorised under section 25 (9) (a) of the Act to sell liquor by retail to passengers on board the vessel is taken for the purposes of this Division to authorise the licensee to sell or supply liquor on licensed premises on a regular basis between 1.30 am and 5 am on any day. The operation of this subclause is subject to any licence condition that restricts the times at which liquor may be sold or supplied.
- (4) In this clause, a reference to the sale or supply of liquor to patrons does not include a reference to the sale or supply of liquor to:
- (a) a resident of the licensed premises concerned or to a guest of such a resident while the guest is in the resident's company, or
- (b) an employee of the licensee, or
- (c) a person referred to in section 29 (3) of the Act.
- (5) A trading hours risk loading element is not payable in relation to the following licences:

- (a) a producer/wholesaler licence that is not endorsed with a drink on-premises authorisation,
- (b) a small bar licence,
- (c) an on-premises licence that relates only to one or more of the following:
 - (i) accommodation premises,
 - (ii) a catering service,
 - (iii) an airport,
 - (iv) a restaurant where the licence is not endorsed under section 24 (3) of the Act with an authorisation that allows liquor to be sold or supplied for consumption on the licensed premises,
- (d) a multi-function limited licence,
- (e) a packaged liquor licence,
- (f) a hotel or club licence that relates to a tourist accommodation establishment, but only if the Secretary is satisfied that any tourist accommodation establishment bar area on the licensed premises is operated after midnight primarily for the use of residents and their guests or persons who are invited to attend a conference or function on the premises,
- (g) a licence that relates to licensed premises that the Secretary is satisfied are situated in a regional or remote locality having a population of less than 1,000 persons and in respect of which the accessibility to goods, services and opportunities for social interaction is considered to be significantly restricted due to the remoteness of the locality.

13 Location risk loading element (cf 2008 reg cl 5G)

- (1) The *location risk loading element* of a periodic licence fee for a licence (which is payable only if a compliance history risk loading element is payable) is 21.64 fee units, if the licensed premises are, on the relevant assessment date, within a prescribed precinct.
- (2) A location risk loading element is not payable in relation to the following licences:
 - (a) a producer/wholesaler licence that is not endorsed with a drink on-premises authorisation that relates to premises within a prescribed precinct,
 - (b) a multi-function limited licence.

14 Patron capacity loading element (cf 2008 reg cl 5H)

- (1) The *patron capacity loading element* of a periodic licence fee for a licence (which is payable only if a compliance history risk loading element is payable) is as follows:
 - (a) if, on the relevant assessment date, the patron capacity of the licensed premises is not more than 60 patrons—10.82 fee units,
 - (b) if, on the relevant assessment date, the patron capacity of the licensed premises is more than 60, but not more than 120, patrons—32.46 fee units,
 - (c) if, on the relevant assessment date, the patron capacity of the licensed premises is more than 120, but not more than 300, patrons—64.92 fee units,
 - (d) if, on the relevant assessment date, the patron capacity of the licensed premises is more than 300 patrons—86.57 fee units.
- (2) A patron capacity loading element that applies to a producer/wholesaler licence endorsed with a drink on-premises authorisation is to be determined by reference to that part of the licensed premises that is the subject of the drink on-premises authorisation and not by reference to the licensed premises under the producer/wholesaler licence.

- (3) A patron capacity loading element is not payable in relation to the following licences:
 - (a) a producer/wholesaler licence that is not endorsed with a drink on-premises authorisation,
 - (b) an on-premises licence that relates only to accommodation premises or a catering service (or both),
 - (c) a packaged liquor licence,
 - (d) a multi-function limited licence.
- (4) It is a condition of each licence (other than a packaged liquor licence or a multi-function limited licence) that the licensee must give the Secretary notice in writing of any change in the patron capacity of the licensed premises, with that notice being required to be given within 7 days of the change.
- (5) In this clause, *patron capacity*, in relation to licensed premises, means:
 - (a) if the patron capacity is established by a licence condition—that patron capacity, or
 - (b) if the patron capacity is not established by a licence condition:
 - (i) the patron capacity that the licensee has notified to the Secretary before the relevant assessment date, or
 - (ii) if the patron capacity is not notified to the Secretary before the relevant assessment date or if the Secretary is not satisfied that the patron capacity notified to the Secretary is accurate—the patron capacity determined by the Secretary.
- (6) In determining the patron capacity of licensed premises, any part of the premises that has been set aside exclusively for the purposes of accommodation of residents is taken not to be part of the licensed premises.

15 Notice of periodic licence fee payable (cf 2008 reg cl 5I)

- (1) The Secretary is, as soon as is practicable after the assessment date for a periodic licence fee, but not less than 14 days before the due date for payment of the fee, to send a notice to the licensee liable to pay the fee.
- (2) The notice is to include:
 - (a) the total amount of the periodic licence fee payable, and
 - (b) the amount of each element of that fee that makes up that total amount, and
 - (c) the due date for payment of the fee.
- (3) A failure by the Secretary to comply with this clause does not affect a licensee's liability to pay a periodic licence fee, late payment fee or application for reinstatement fee.

16 Late payment fee (cf 2008 reg cl 5J)

- (1) For the purposes of sections 58B (2) (b) and 58C (3) (b) (ii) of the Act, a late payment fee of the following amount is payable if the periodic licence fee payable for a licence has not been paid before the expiration of 28 days after the due date for payment:
 - (a) for a multi-function limited licence—0.55 fee units,
 - (b) for any other type of licence—1.1 fee units.
- (2) The Secretary may waive or refund all or part of a late payment fee payable under this clause in any circumstances that the Secretary considers appropriate.

- (3) It is a condition of a licence that any late payment fee payable for the licence that has not been waived must be paid before the expiration of 28 days after the due date for payment of the periodic licence fee.

17 Application for reinstatement fees (cf 2008 reg cl 5K)

- (1) For the purposes of section 58C (3) (b) (iii) of the Act, an application fee of 2.71 fee units must accompany an application for the reinstatement of a licence cancelled under section 58B (3) of the Act.
- (2) The Secretary may waive or refund all or part of the fee that is required to accompany an application under section 58C (3) (b) (iii) of the Act for a reinstatement of a cancelled licence in any circumstances that the Secretary considers appropriate.

18 Re-assessment of periodic licence fee (cf 2008 reg cl 5L)

- (1) The Secretary may re-assess a periodic licence fee at the request of the licensee or on the Secretary's own initiative. Any such request must be made no later than 56 days after 29 May (that is, no later than the date on which the licence would otherwise be cancelled for late payment under section 58B (3) of the Act).
- (2) If the Secretary is satisfied that an error was made in an assessment of the periodic licence fee (including an assessment based on an incorrect knowledge of the relevant circumstances), the Secretary may re-assess the periodic licence fee and send a further notice to the licensee concerned.
- (3) The further notice is to include the following:
 - (a) a statement as to the amount of re-assessed periodic licence fee,
 - (b) if the licensee has not paid the initially assessed periodic licence fee—the due date for the payment of the re-assessed periodic licence fee (being a date no later than 56 days after 29 May),
 - (c) if the licensee has paid the initially assessed periodic licence fee and the amount of the re-assessed fee is greater than the amount of the initially assessed fee—the due date for the payment of the difference in amounts (being a date no later than 56 days after 29 May).
- (4) If the licensee has paid the initially assessed periodic licence fee and the amount of the re-assessed periodic licence fee is less than the amount of the initially assessed fee, the Secretary is to refund the difference to the licensee.

19 Waiver of elements of periodic licence fee (cf 2008 reg cl 5M)

- (1) A person who holds a licence may apply to the Secretary for the waiver of any or all elements of the periodic licence fee payable in respect of the licence:
 - (a) no later than 7 days before the due date for payment, or
 - (b) if the person satisfies the Secretary that exceptional circumstances exist—no later than the date specified by the Secretary.
- (2) On receiving such an application, the Secretary may:
 - (a) if the Secretary is satisfied that the applicant is suffering financial hardship, waive any or all elements of the periodic licence fee payable in respect of the licence held by the applicant, or
 - (b) refuse to waive any element.

Part 3 Applications

Division 1 Advertising requirements

20 Definitions (cf 2008 reg cl 6)

(1) In this Division:

application means any of the following:

- (a) an application for a licence,
- (b) an application by a licensee for the revocation or variation of a condition of the licence or a condition of a licence-related authorisation (other than a revocation or variation that would result in reduced trading hours),
- (c) an application to vary the business or activity, or the kind of premises, specified in an on-premises licence,
- (d) an application for approval to remove a licence to other premises,
- (e) an application by a licensee for a licence-related authorisation or for the variation of a licence-related authorisation (other than a variation that would result in reduced trading hours),
- (f) an application to carry on business on temporary premises.

neighbouring premises, in relation to an application, means:

- (a) any building situated on land that is within 50 metres of the boundary of the premises to which the application relates, or
 - (b) if a category B CIS (as referred to in clause 27 (3)) is required to accompany the application—any building situated on land that is within 100 metres of the boundary of the premises to which the application relates, or
 - (c) any building situated on land adjoining the boundary of the land on which the premises to which the application relates are or will be situated (or that would be land adjoining that boundary if it were not for a road separating the land).
- (2) In this Division, a reference to the premises to which an application relates is, in the case of an application for approval to remove a licence to other premises, a reference to the premises to which it is proposed to remove the licence.

21 Occupiers of neighbouring premises to be notified of application (cf 2008 reg cl 7)

- (1) The occupier of neighbouring premises must be notified by an applicant of the making of an application.
- (2) The notice may be given before the making of the application but must be given no later than 2 working days after the application is made.
- (3) The notice must be in the form, and be given in the manner, approved by the Authority.
- (4) This clause applies in relation to a licence-related authorisation only if it is:
 - (a) an extended trading authorisation (other than a special occasion extended trading authorisation), or
 - (b) a drink on-premises authorisation, or
 - (c) an authorisation under section 24 (3) of the Act.
- (5) This clause does not apply in relation to an application for:
 - (a) a producer/wholesaler licence, or
 - (b) a limited licence.

22 Other persons to be notified of application (cf 2008 reg cl 8)

- (1) Each of the following must be notified by an applicant of the making of an application:
 - (a) the local police,
 - (b) the local consent authority,
 - (c) if the premises to which the application relates are, or will be, situated within 500 metres of the boundary of another local government area—the local consent authority for that other area,
 - (d) if the premises to which the application relates are, or will be, situated on Crown land within the meaning of the *Crown Land Management Act 2016*—the Minister administering that Act,
 - (e) if the application is a relevant application as referred to in section 48 (2) of the Act—each of the other relevant stakeholders referred to in clause 29 (2) or (3) (as the case requires),
 - (f) any other person or body (including any class of person or body) that the Authority has advised the applicant must be notified.
- (2) The notice may be given before the making of the application but must be given no later than 2 working days after the application is made.
- (3) The notice must be in the form, and be given in the manner, approved by the Authority.
- (4) This clause does not apply in relation to an application that is of a class determined by the Authority as a class of application that may be made by means of an electronic system approved by the Authority.

23 Notice relating to application to be fixed to premises (cf 2008 reg cl 9)

- (1) If an application is made to the Authority, a notice relating to the application that is in the form approved by the Authority must, within 2 working days of making the application, be fixed by the applicant to the premises to which the application relates.
- (2) The notice must be fixed to the premises until such time as the application is determined by the Authority.
- (3) If premises have not been erected, the requirement to fix a notice relating to an application may be satisfied by fixing the notice to a notice board erected on the land on which it is proposed to erect the premises.
- (4) A notice is not fixed to premises or land in accordance with this clause unless:
 - (a) it is fixed to the premises or land in such a position that it is legible to members of the public passing the premises or land, and
 - (b) if the Authority has directed that it also be fixed in another specified position—it is also fixed in that other position.
- (5) This clause applies in relation to a licence-related authorisation only if it is:
 - (a) an extended trading authorisation, or
 - (b) a drink on-premises authorisation, or
 - (c) an authorisation under section 24 (3) of the Act.
- (6) This clause does not apply in relation to an application for a limited licence.

24 Exemption for small bar applications (cf 2008 reg cl 9A)

- (1) This Division does not apply to or in respect of a small bar application if:
 - (a) development consent is required under the *Environmental Planning and Assessment Act 1979* to use the premises to which the application relates as a small bar or to sell liquor during the times to which the application relates, and
 - (b) the local police and the Secretary are, no more than 2 working days after the application for the required development consent, or any variation to that application, is made, notified by the applicant of the making of the application for development consent or of the variation to that application.
- (2) However, a person who makes a small bar application must notify the local police of the making of the application no later than 2 working days after the application is made.
- (3) In this clause:
small bar application means any of the following:
 - (a) an application for a small bar licence,
 - (b) an application for approval to remove a small bar licence to other premises,
 - (c) an application for an extended trading authorisation for a small bar,
 - (d) an application to vary an extended trading authorisation for a small bar.
- (4) Without limiting subclause (1), this Division does not apply to or in respect of an application for a small bar licence if:
 - (a) the application relates to the same premises as the premises to which a general bar licence relates, and
 - (b) development consent has been obtained under the *Environmental Planning and Assessment Act 1979* to sell liquor during the times to which the application relates and those times are specified in the development consent.

25 Exemption for restaurant licence applications made online (cf 2008 reg cl 9B)

- (1) This Division does not apply to or in respect of an application for an on-premises licence relating to a restaurant if the application results in the issuing of an interim restaurant authorisation under clause 36.
- (2) However, a person who makes any such licence application must notify the local police and the local consent authority of the making of the application no later than 2 working days after the application is made.

26 Compliance with advertising requirements (cf 2008 reg cl 9C)

- (1) An applicant is taken to have complied with any of the requirements under this Division in relation to an application if the Authority is satisfied that the applicant has made all reasonable efforts to comply with the requirement.
- (2) The Authority may also determine that a failure to comply with a requirement under this Division is to be disregarded for the purposes of this Division if the Authority is satisfied that the failure is of a minor or technical nature.

Division 2 Community impact statements

27 Categories of CIS (cf 2008 reg cl 10)

- (1) A community impact statement (**CIS**) that is required to accompany a relevant application (as referred to in section 48 (2) of the Act) is to be a category A CIS or a category B CIS as determined in accordance with this clause.

- (2) A *category A CIS* is required if the relevant application is:
- (a) an application for a packaged liquor licence that is limited to the sale of liquor only by means of taking orders over the telephone or by facsimile or mail order, or through an Internet site, or
 - (b) an application under section 59 of the Act for approval to remove a licence referred to in paragraph (a) to other premises, or
 - (c) an application for an extended trading authorisation in relation to an on-premises licence if the authorisation operates to authorise the sale of liquor (otherwise than to the residents of the licensed premises and their guests) at any time between 5 am and 10 am or between 10 pm and midnight on a Sunday, or
 - (d) an application for a multi-occasion extended trading authorisation, or
 - (e) an application for an authorisation under section 24 (3) of the Act, or
 - (f) an application that is required by the Authority under paragraph (f) of the definition of *relevant application* in section 48 (2) of the Act to be accompanied by a category A CIS.
- (3) A *category B CIS* is required if the relevant application is:
- (a) an application for a hotel licence, or
 - (b) an application for a club licence, or
 - (c) an application for a small bar licence, or
- Note.** Applications in relation to small bars are exempt from the CIS requirements in certain circumstances—see section 48 (3A) of the Act.
- (d) an application for a packaged liquor licence (other than a licence that is limited to the sale or supply of liquor through an Internet site), or
 - (e) an application for an on-premises licence that relates to a public entertainment venue (other than a cinema or a theatre), or
 - (f) an application for an ongoing extended trading authorisation in relation to a licence referred to in paragraphs (a)–(d), or
 - (g) an application under section 59 of the Act for approval to remove a licence referred to in paragraphs (a)–(d) to other premises, or
 - (h) an application for an ongoing extended trading authorisation in relation to an on-premises licence if the authorisation operates to authorise the sale of liquor at any time between midnight and 5 am, or
 - (i) an application for an ongoing extended trading authorisation in relation to a producer/wholesaler licence if the authorisation operates to authorise the sale of liquor by retail (otherwise than to the residents of the licensed premises and their guests) at any time between midnight and 5 am, or
 - (j) an application that is required by the Authority under paragraph (f) of the definition of *relevant application* in section 48 (2) of the Act to be accompanied by a category B CIS.
- (4) A category A CIS is not required to accompany an application for a multi-occasion extended trading authorisation if:
- (a) in the case of an application that relates to club premises—the club premises have unrestricted trading hours at the time the application is made, or
 - (b) in the case of an application that relates to club premises—within the period of 6 months before the application is made:
 - (i) the trading hours of the club premises have been reduced by a condition under section 54 of the Act (or a request has been made by the club for

- a condition to be imposed under that section that would result in reduced trading hours), or
- (ii) an ongoing extended trading authorisation in respect of the club premises has, on application by the club, been revoked or been varied so as to reduce the trading hours to no later than 1.30 am (or an application has been made to reduce the trading hours to no later than 1.30 am), or
- (c) in the case of an application that relates to any other licensed premises—the trading hours of the premises at the time the application is made are equal to or more than the trading hours under the proposed extended trading authorisation.

28 CIS to address matters relating to gambling activities in hotels during extended trading periods (cf 2008 reg cl 10A)

In the case of an application for an extended trading authorisation in relation to a hotel licence, the matters to be addressed by a CIS are to include matters relating to gambling activities on the licensed premises during the period that the authorisation is proposed to be in force.

29 Preparation of CIS—consultation requirements (cf 2008 reg cl 11)

- (1) In preparing a CIS, the applicant must provide each relevant stakeholder with a notice, in the form and manner approved by the Authority, containing information about the relevant application and the process by which the stakeholder is able to consult with the applicant on the relevant application.
- (2) In the case of a category A CIS, the relevant stakeholders are as follows:
 - (a) the local consent authority,
 - (b) if the premises to which the relevant application relates are, or will be, situated within 500 metres of the boundary of another local government area—the local consent authority for that other area,
 - (c) the local police,
 - (d) such other stakeholders as are determined by the Authority.
- (3) In the case of a category B CIS, the relevant stakeholders are as follows:
 - (a) the local consent authority,
 - (b) if the premises to which the relevant application relates are, or will be, situated within 500 metres of the boundary of another local government area—the local consent authority for that other area,
 - (c) the local police,
 - (d) the Ministry of Health,
 - (e) the Department of Family and Community Services,
 - (f) Roads and Maritime Services,
 - (g) the recognised leaders or representatives of the local Aboriginal community (if any) in the area,
 - (h) the occupier of any neighbouring premises as referred to in clause 20,
 - (i) such other stakeholders as are determined by the Authority.
- (4) Without limiting subclause (3), the relevant stakeholders include, in the case of an application for an extended trading authorisation in relation to a hotel licence, any organisation located in the local government area in which the hotel is situated that receives funding from the Responsible Gambling Fund under the *Casino Control Act*

1992 for the specific purpose of providing gambling-related counselling or treatment services.

Division 3 **General**

30 Submissions in relation to applications (cf 2008 reg cl 12)

- (1) Any person may, subject to this clause, make a submission to the Authority in relation to any application that is made to the Authority under the Act.
- (2) Any such submission must:
 - (a) specify details of the application to which the submission relates, and
 - (b) be made within 30 days of the date on which the application was made, or such shorter period as the Authority may determine in any particular case.
- (3) In the case of an application for an on-premises licence relating to a restaurant that is made online and is not required to be accompanied by a community impact statement under section 48 of the Act, submissions must be made within 14 days of the date on which the application was made.
- (4) In the case of an application for any of the following licences, submissions must be made within 14 days of the date on which the application was made, or such shorter period as the Authority may determine in any particular case:
 - (a) a limited licence,
 - (b) a special occasion extended trading authorisation,
 - (c) an authorisation under section 14 (6) of the Act (relating to hotel functions on other premises),
 - (d) a drink on-premises authorisation that is, in the opinion of the Authority, of a temporary nature.
- (5) Despite subclauses (2)–(4), the Authority may, in such cases as the Authority thinks fit, extend the period in which persons may make submissions in relation to any particular application or class of applications.
- (6) This clause does not apply in relation to an application for an on-premises licence relating to a restaurant if the application results in the issuing of an interim restaurant authorisation under clause 36.

31 Reasons for decisions relating to certain applications requiring category A CIS (cf 2008 reg cl 12A)

- (1) In this clause:

interested party, in relation to a relevant application, means:

 - (a) a person or body referred to in clause 29 (2) who is required to be consulted under that clause, and
 - (b) any person who has made a submission under clause 30.

relevant application means an application of the kind referred to in clause 27 (2) (c).

Note. In the case of applications that are required to be accompanied by a category B CIS, see the regulations made under section 36C of the *Gaming and Liquor Administration Act 2007*.
- (2) If the Authority makes a decision in relation to a relevant application, the applicant or any interested party may request the Authority to provide a statement in writing of the reasons for the decision.
- (3) The Authority may refuse to provide a statement of reasons to an interested party if:
 - (a) the request is made later than 28 days after the decision is made, or

- (b) in the case of a person who has made a submission under clause 30—the Authority is of the opinion that the person’s submission was trivial or vexatious.

32 Referral of certain applications not required (cf 2008 reg cl 13)

The Authority is not, under section 42 of the Act, required to refer any of the following applications to the Secretary:

- (a) an application for a single function limited licence,
- (b) an application that is to be determined by a designated Public Service employee (within the meaning of section 3 (1) of the *Gaming and Liquor Administration Act 2007*) acting under a delegation given by the Authority in respect of the application.

33 Advertising of other applications (cf 2008 reg cl 14)

- (1) If an application (other than an application to which Division 1 applies) is made to the Authority, the Authority may require the application to be advertised in such manner as the Authority considers appropriate.
- (2) The Authority may refuse to determine any such application unless it has been advertised in accordance with any requirement.

34 Applications for certain licence-related authorisations (cf 2008 reg cl 15)

- (1) This clause applies in relation to an application for any of the following:
 - (a) an extended trading authorisation (other than a special occasion extended trading authorisation),
 - (b) a drink on-premises authorisation,
 - (c) an authorisation under section 24 (3) of the Act.
- (2) An application to which this clause applies must demonstrate, to the satisfaction of the Authority, that:
 - (a) practices are in place, and will remain in place, at the licensed premises to which the application relates that ensure, as far as reasonably practicable, that liquor is sold, supplied or served responsibly on the premises and that all reasonable steps are taken to prevent intoxication on the premises, and
 - (b) if a planning approval is required to use the licensed premises in accordance with the licence-related authorisation concerned—the required planning approval is in force.

35 Information relating to persons interested in licensee’s business (cf 2008 reg cl 16)

Section 41 of the Act does not apply in relation to an application for a limited licence.

Division 4 Miscellaneous

36 Issue of interim restaurant authorisation to applicants for restaurant licence
(cf 2008 reg cl 16A)

- (1) A person who makes an application for an on-premises licence relating to a restaurant is, when the application is made, to be issued with an interim restaurant authorisation by the Secretary if:
 - (a) the application is made online, and
 - (b) the application is not required to be accompanied by a community impact statement under section 48 of the Act, and

- (c) the application is, except to the extent provided by this clause, made in accordance with sections 40 and 41 of the Act, and
 - (d) any planning approval required to use the premises for the purposes of a restaurant is in force, and
 - (e) the application for the planning approval was subject to a public consultation process under the *Environmental Planning and Assessment Act 1979* and indicated that the premises are intended to operate as a licensed restaurant.
- (2) However, the person making the licence application is not to be issued with an interim restaurant authorisation in respect of the premises to which the application relates if:
 - (a) any interim restaurant authorisation issued under this clause in respect of the premises has been revoked during the period of 12 months before the date on which the licence application is made, or
 - (b) an application for an on-premises licence in respect of the premises has been refused during that 12-month period.
- (3) The Secretary may, by notice in writing to the person making the licence application, require the person to provide such information as the Secretary requires in relation to matters referred to in subclause (1). If any such information is not provided within 30 days from the giving of the notice the Secretary may revoke the interim restaurant authorisation issued to the person.
- (4) If:
 - (a) an application for an on-premises licence relating to a restaurant was made in accordance with the Act before the commencement of this clause but was not determined before that commencement, and
 - (b) the application was not required to be accompanied by a community impact statement under section 48 of the Act, and
 - (c) any planning approval required to use the premises for the purposes of a restaurant is in force, and
 - (d) the application for the planning approval was subject to a public consultation process under the *Environmental Planning and Assessment Act 1979* and indicated that the premises are intended to operate as a licensed restaurant,the Secretary may issue the licence applicant with an interim restaurant authorisation.
- (5) An interim restaurant authorisation under this clause is to be in the form approved by the Secretary.
- (6) While an interim restaurant authorisation under this clause is in force, the person to whom the authorisation is issued, and any employee or agent of that person, is exempt from so much of section 7 of the Act as would prohibit the person, employee or agent from selling liquor on the premises to which the authorisation relates.
- (7) Subclause (6) is subject to the following requirements:
 - (a) the sale of liquor on the premises to which the authorisation relates and the operation of those premises must comply with the licence conditions under the Act and this Regulation that would apply in relation to the premises if they were a licensed restaurant,
 - (b) any person selling liquor on the premises to which the authorisation relates must hold a recognised competency card with a current industry RSA endorsement under Part 5,
 - (c) in the case of an interim restaurant authorisation issued under subclause (4), liquor cannot be sold on the premises unless the local police and the local

consent authority have been given at least 2 days notice before trading commences.

- (8) The Secretary may revoke an interim restaurant authorisation at any time if the Secretary is satisfied that:
 - (a) the requirements or other matters referred to in subclause (1) or (4) (as the case requires) were not complied with, or were not applicable to the licence application, at the time the authorisation was issued, or
 - (b) any requirement referred to in subclause (7) has not been complied with in respect of the premises to which the authorisation relates.
- (9) While an interim restaurant authorisation is in force:
 - (a) the premises to which the authorisation relates are taken to be licensed premises, and
 - (b) the person to whom the authorisation is issued is taken to be the licensee of those premises,for the purposes of the Act and this Regulation.
- (10) Subclause (9) does not apply in relation to Division 3 of Part 4 of the Act or to such other provisions of the Act or this Regulation as the Secretary may determine by order in writing.
- (11) Unless it is revoked by the Secretary, an interim restaurant authorisation continues to be in force until the licence application in respect of which the authorisation was issued is determined by the Authority in accordance with the Act.
- (12) The 6-hour closure period under section 11A of the Act that, in accordance with subclause (7) (a), applies to the premises to which an interim restaurant authorisation relates is the period from 4 am to 10 am.

37 Special drink on-premises authorisations for micro-breweries and small distilleries

- (1) The Authority may, on an application under section 50 of the Act for a drink on-premises authorisation relating to licensed premises in an eligible local government area, grant the authorisation subject to a condition that the authorisation authorises the licensee to sell liquor by retail on the licensed premises, for consumption on the licensed premises, if all of the following apply:
 - (a) the applicant carries on business as a producer of beer, cider or spirits,
 - (b) the liquor is not sold on any day of the week (other than on 31 December in any year or on a restricted trading day) outside the standard trading period for that day (despite section 50 (2) (a) of the Act),
 - (c) food of a nature and quantity consistent with the responsible sale, supply and service of alcohol is made available whenever liquor is sold or supplied under the authorisation,
 - (d) the number of patrons on the premises does not exceed 100,
 - (e) any spirits sold for consumption on the premises are the licensee's product (within the meaning of section 33 of the Act),
 - (f) if the licensee is a producer of beer or cider (or both)—any beer or cider sold for consumption on the premises is the licensee's product (within the meaning of section 33 of the Act).
- (2) No application fee is payable in respect of an application under this clause made within 12 months of the commencement of this Regulation.
- (3) In this clause, *eligible local government area* means the Inner West local government area.

38 Large-scale commercial event applications

- (1) In this clause, *large-scale commercial event application* means an application for any of the following in relation to a function or event that is open to the public and that is to be attended by (or has a patron capacity of) 2,000 persons or more on any day:
 - (a) a limited licence in respect of a function that is a trade fair,
 - (b) a limited licence for a special event,
 - (c) an authorisation under section 14 (6) of the Act to sell liquor on other premises.
- (2) If a large-scale commercial event application is made later than 28 days before the relevant function or event is to begin:
 - (a) a late application fee of 1.1 fee units is payable in addition to the relevant application fee, and
 - (b) the Authority may grant the licence or authorisation only if the Authority is satisfied that it has sufficient time to consider the merits of the application.

Part 4 Licences and licensed premises

Division 1 Miscellaneous

39 Small bars—maximum number of patrons (cf 2008 reg cl 17A)

For the purposes of section 20C (1) of the Act, the prescribed number is 100.

40 General and small bar licences—prohibition on gambling (cf 2008 reg cl 17)

It is a condition of a general bar licence or a small bar licence that the licensed premises cannot be used:

- (a) to conduct a totalizator, or to conduct any betting activity, under the authority of a licence granted under the *Totalizator Act 1997*, or
- (b) to conduct a public lottery (within the meaning of the *Public Lotteries Act 1996*) authorised under that Act.

Note. Keno is a form of public lottery.

41 Licensed cinemas and theatres (cf 2008 reg cl 22)

Sections 123–126 of the Act do not apply to or in respect of a licensed public entertainment venue that is a cinema or a theatre.

42 Incident registers (cf 2008 reg cl 27)

For the purposes of section 56 (2) (d) of the Act, the following are prescribed as incidents that must be recorded in the incident register required to be maintained under that section:

- (a) any incident that results in a patron of the relevant licensed premises requiring medical assistance,
- (b) any incident that involves the possession or use on the premises of any substance that the licensee suspects of being a prohibited plant or a prohibited drug.

43 Closure of licensed premises by Authority (cf 2008 reg cl 28)

For the purposes of section 84 (5) of the Act, the Authority cannot require the closure of premises for a period of more than 6 months.

44 Availability of licence and licence-related authorisations (cf 2008 reg cl 36)

- (1) This clause applies to any staff member of licensed premises who:
 - (a) sells, supplies or serves liquor on the licensed premises, or
 - (b) carries on any security activity (such as a crowd controller or bouncer) on or about the licensed premises, or
 - (c) exercises any functions under the Act or this Regulation.
- (2) It is a condition of a licence that a copy of each of the following are available at all times for the information of the staff members of the licensed premises to which this clause applies:
 - (a) the licence,
 - (b) any licence-related authorisation held in relation to the licence,
 - (c) any conditions imposed by the Authority or the Secretary on the licence or the authorisation.

Division 2 On-premises licences

45 Excluded premises (cf 2008 reg cl 18)

For the purposes of section 21 of the Act, premises that operate primarily as premises providing entertainment by way of amusement machines (such as pinball machines or video games), pool tables, games of poker using playing cards or juke boxes are prescribed:

- (a) as premises in respect of which an on-premises licence must not be granted, or
- (b) if an on-premises licence has been granted for the premises—as premises in respect of which the authorisation conferred by the licence does not apply.

46 Authorisation to trade on premises other than licensed premises (cf 2008 reg cl 20)

- (1) In this clause:
relevant authorisation means an authorisation under section 25 (6) of the Act to sell liquor on premises other than the licensed premises to which an on-premises licence relates.
- (2) A relevant authorisation may be granted by the Authority only for the purposes of authorising the licensee to sell liquor:
 - (a) in the case of a licensee who carries on a commercial catering business—on any premises in respect of which the licensee provides catering services, or
 - (b) to a person who is participating in a commercial tour operated by the licensee or a related corporation of the licensee.
- (3) A relevant authorisation is subject to the condition that food of a nature consistent with the responsible sale, supply and service of alcohol is made available whenever liquor is sold or supplied on any premises in accordance with the authorisation.
- (4) A relevant authorisation that is held by a licensee who carries on a commercial catering business is subject to the following conditions:
 - (a) the licensee must give written notice of any proposal to provide catering services at a function to be held under the authorisation to the Secretary, the local police and the local council for the area in which the function is to be held,
 - (b) the notice must include the following details:
 - (i) the address of the premises on which the function is to be held,
 - (ii) the name of the occupier of those premises,
 - (iii) the nature of the function,
 - (iv) the number of persons for whom catering services are to be provided at the function,
 - (v) the date on which, and the hours during which, the function is to be held,
 - (c) the notice must be given:
 - (i) in the case of a function that is open to the public and at which catering services are to be provided to 2,000 persons or more on any day—not less than 28 days before the date on which the function is to be held, and
 - (ii) in any other case—not less than 14 days before the date on which the function is to be held,
 - (d) the licensee must not sell or supply liquor on any premises in respect of which an application by any person for any of the following has been refused by the Authority within the previous 2 years:
 - (i) a licence,

- (ii) the removal of a licence to those premises,
 - (iii) an extended trading authorisation.
- (5) Despite subclause (4), a relevant authorisation is not subject to the conditions specified in subclause (4) (a)–(c) in relation to the following:
- (a) a function that is not open to the public and that is attended, or that is to be attended, by 100 persons or less,
 - (b) if the relevant authorisation relates to licensed premises at the Opera House (within the meaning of the *Sydney Opera House Trust Act 1961*)—a function held within the Opera House at which the licensee provides catering services.

47 Authorisation to sell liquor for consumption away from licensed premises
(cf 2008 reg cl 21)

- (1) An authorisation under section 26 of the Act to sell liquor for consumption away from the premises to which an on-premises licence relates may be granted by the Authority only if:
- (a) the licence is held by or on behalf of a non-proprietary association and the Authority is satisfied that the licensed premises promote tourism or industry in the local area in which the premises are situated, or
 - (b) the licensed premises are situated in or on a facility that is under the control or management of a public authority (whether or not the licence is held by or on behalf of the public authority).
- (2) An authorisation under section 26 of the Act is subject to the following conditions:
- (a) in the case of licensed premises referred to in subclause (1) (a)—liquor may only be sold for consumption away from the premises if it has been produced in the local area in which the premises are situated,
 - (b) in the case of licensed premises referred to in subclause (1) (b)—liquor may only be sold for consumption away from the premises if it is a souvenir liquor product of the public authority concerned.
- (3) An authorisation under section 26 of the Act cannot authorise the sale of liquor between midnight and 5 am.
- (4) An authorisation under section 26 of the Act cannot be granted if the premises to which the on-premises licence relates are a vessel, an aircraft or any moving vehicle.
- (5) For the purposes of subclause (2) (b), liquor is a ***souvenir liquor product*** of a public authority only if:
- (a) the bottle or other container in which the liquor is contained is, with the permission of the public authority, marked with the name, logo or other distinguishing feature of the public authority or the facility in or on which the licensed premises are situated, and
 - (b) it is promoted primarily as a souvenir of the public authority or that facility, and
 - (c) it is sold or made available for sale with the permission of the public authority.
- (6) In this clause:
- facility*** means a facility (including any building or land) that is operated or used for a cultural, sporting, recreational, educational or scientific purpose.
- public authority*** means a statutory body referred to in Schedule 2 to the *Public Finance and Audit Act 1983*.

48 Licensed vessels (cf 2008 reg cl 38B)

- (1) It is a condition of an on-premises licence that relates to a vessel that the licensee must not (except as provided by this clause) cause or permit any passenger to board from or disembark to any part of the Sydney CBD Entertainment precinct (whether from the berthed vessel or by a tender or other means) during the general late trading period.
- (2) The Secretary may, on application by a licensee, by order in writing exempt the licensee from the application of subclause (1) during a period or in the circumstances specified in the order.
- (3) Subclause (1) does not apply in relation to the period between midnight and 3 am on 1 January in any year.

Division 3 Display of signs and notices

49 Name of licensed premises (cf 2008 reg cl 29)

The sign required by section 95 (1) of the Act to appear and be maintained on the front of licensed premises must:

- (a) appear and be maintained in such a manner that it may be read from the part of a public place to which the front of the premises abuts, and
- (b) include the name of the licensee, and
- (c) in the case of a hotel licence that is designated as a general bar licence—indicate that the licence for the premises is a general bar licence, and
- (d) in the case of an on-premises licence—indicate either the business or activity carried out on the licensed premises or the kind of licensed premises to which the licence relates.

50 Sale or supply of liquor to minors prohibited (licensed premises) (cf 2008 reg cl 31)

- (1) A licensee must cause a notice that contains the following words to be displayed in the licensed premises in accordance with this clause:
IT IS AGAINST THE LAW TO SELL OR SUPPLY ALCOHOL TO, OR TO OBTAIN ALCOHOL ON BEHALF OF, A PERSON UNDER THE AGE OF 18 YEARS
Maximum penalty: 20 penalty units.
- (2) The notice must be in the form approved by the Authority and be obtained from Liquor & Gaming NSW, Department of Industry.
- (3) The notice must be prominently displayed:
 - (a) in the case of premises where liquor is sold at a bar or counter—at the bar or counter, in such a manner and in such a position that a person standing at the bar or counter would reasonably be expected to be alerted to its contents, and
 - (b) in the case of premises where liquor is not sold at a bar or counter but is otherwise sold—at or near every entrance by which members of the public may enter the premises, in such a manner and in such a position that a person coming in by the entrance would reasonably be expected to be alerted to its contents.

51 Sale or supply of liquor to minors prohibited (Internet site) (cf 2008 reg cl 32)

- (1) A licensee who offers liquor for sale through an Internet site must display on the site, at all times while it is accessible, the following notice in accordance with this clause:
LIQUOR ACT 2007
IT IS AGAINST THE LAW TO SELL OR SUPPLY ALCOHOL TO, OR TO OBTAIN ALCOHOL ON BEHALF OF, A PERSON UNDER THE AGE OF 18 YEARS
Maximum penalty: 20 penalty units.
- (2) The words contained in the notice must be big enough to ensure that a person accessing the Internet site would reasonably be expected to be alerted to the contents of the notice.

52 Minors prohibited in bar areas of hotels and clubs (cf 2008 reg cl 33)

- (1) In this clause:
bar area means the bar area of hotel or club premises.
- (2) A licensee must cause a notice that contains the following words to be displayed, in accordance with this clause, in the bar area of the hotel or club premises concerned:
PERSONS UNDER THE AGE OF 18 YEARS ARE NOT PERMITTED IN THIS AREA BY LAW
Maximum penalty: 20 penalty units.
- (3) The notice must be in the form approved by the Authority and be obtained free of charge from Liquor & Gaming NSW, Department of Industry.
- (4) The notice must be displayed in such a manner and in such a place that a person entering the part of the premises in which the notice is displayed would reasonably be expected to be alerted to its contents.

53 Minors prohibited in small bars during trading hours (cf 2008 reg cl 33A)

- (1) The licensee of a small bar must cause a notice that contains the following words to be displayed, in accordance with this clause, on the licensed premises:
PERSONS UNDER THE AGE OF 18 YEARS ARE NOT PERMITTED ON THESE PREMISES DURING LIQUOR TRADING HOURS
Maximum penalty: 20 penalty units.
- (2) The notice must be in the form approved by the Secretary and be obtained free of charge from Liquor & Gaming NSW, Department of Industry.
- (3) The notice must be displayed in such a manner and in such a place that a person entering the licensed premises would reasonably be expected to be alerted to its contents.

54 Minors to be accompanied by adult in certain areas of hotels and licensed public entertainment venues (cf 2008 reg cl 34)

- (1) A hotelier must cause a notice containing the words specified in subclause (3) to be displayed, in accordance with this clause, in any area of the hotel to which a minors area authorisation relates.
Maximum penalty: 20 penalty units.
- (2) The holder of an on-premises licence that relates to a public entertainment venue (other than a cinema or a theatre) must cause a notice containing the words specified

in subclause (3) to be displayed, in accordance with this clause, in any area of the licensed premises in which entertainment is provided.

Maximum penalty: 20 penalty units.

- (3) For the purposes of subclauses (1) and (2), the required words are as follows:
PERSONS UNDER THE AGE OF 18 YEARS MUST BE WITH A RESPONSIBLE ADULT IN THIS AREA BY LAW
- (4) The notice must be in the form approved by the Authority and be obtained free of charge from Liquor & Gaming NSW, Department of Industry.
- (5) The notice must be displayed in such a manner and in such a place that a person entering the part of the premises in which the notice is displayed would reasonably be expected to be alerted to its contents.

55 Breath analysis instruments (cf 2008 reg cl 35)

For the purposes of section 97 (4) of the Act, the following requirements are prescribed in relation to the sign that must be displayed on or in close proximity to any breath analysis instrument installed on licensed premises:

- (a) the sign must be clearly legible and in good condition and so positioned that its contents can be easily read by a person using the instrument,
- (b) the sign must display the following matter in print of a type size and character that will be clearly legible to a person using the equipment:

IMPORTANT INFORMATION ABOUT BREATH TESTING

Readings given by this instrument are NOT ACCEPTED by the Police or the Courts.

Your blood alcohol level can rise for 1 hour or more after your last drink.

Division 4 Licence conditions requiring notification of Authority

56 Change of persons interested in licensee's business (cf 2008 reg cl 37)

If a person referred to in section 55 of the Act ceases to be a person who, in accordance with that section, is interested in the business, or the conduct of the business, carried out on licensed premises, it is a condition of the licence that the Authority is, within 28 days of the person ceasing to have that interest, notified in writing that the person is no longer such an interested person.

57 Resumption of trading (cf 2008 reg cl 38)

It is a condition of a licence that the licensee must, following any continuous period of more than 6 weeks during which the licensed premises ceased trading, notify the Authority as soon as practicable before the licensed premises resume trading.

Division 5 Industry shows and producers' markets or fairs

58 Notice relating to industry shows and producers' markets or fairs (cf 2008 reg cl 23)

- (1) For the purposes of section 33 (1) (d) and (e) of the Act, the notice required to be given to the Authority and local police by the organiser of an industry show or a producers' market or fair is to be in writing and in the form approved by the Secretary.
- (2) It is a condition of a producer/wholesaler licence that the licensee must not sell or supply the licensee's product at an industry show or at a producers' market or fair in accordance with section 33 (1) (d) or (e) of the Act unless the local council in whose area the industry show or market or fair is to be held has been notified, in writing and

in the form approved by the Secretary, about the industry show or market or fair (as the case requires) at least 7 days before it is held.

59 Requirements relating to producers' markets or fairs (cf 2008 reg cl 24)

The following requirements are prescribed for the purposes of the definition of *producers' market or fair* in section 33 (5) of the Act:

- (a) the market or fair must include a minimum of 10 stall holders displaying their produce or other products for sale directly to the public,
- (b) the market or fair must be promoted as being a market or fair at which farmers or primary producers display and sell their products directly to the public.

Division 6 Wine producers

60 Prescribed percentage of wine that is licensee's own product (cf 2008 reg cl 25)

For the purposes of paragraph (b) of the definition of *licensee's product* in section 33 (3) of the Act, the prescribed percentage is:

- (a) 50%—in the case of wine that has been produced by or under the direction of the licensee (or a related corporation of the licensee) on the licensed premises or a vineyard related to the licensed premises, or
- (b) 85%—in the case of wine that has been produced on the licensee's behalf, or under the direction of the licensee or a related corporation of the licensee, from fruit grown on the licensed premises or a vineyard related to the licensed premises.

61 Multiple premises of wine producers (cf 2008 reg cl 26)

- (1) For the purposes of section 35 (2) of the Act, the licensed premises of a wine producer are all located in the same wine region if the premises are all located in one, but not more than one, of the following wine regions (being a wine region that is a geographical indication determined under the *Wine Australia Act 2013* of the Commonwealth):
 - (a) Murray Darling,
 - (b) Perricoota,
 - (c) Riverina,
 - (d) Swan Hill,
 - (e) Cowra,
 - (f) Mudgee,
 - (g) Orange,
 - (h) Hunter,
 - (i) Hastings River,
 - (j) New England Australia,
 - (k) Shoalhaven Coast,
 - (l) Southern Highlands,
 - (m) Canberra District,
 - (n) Gundagai,
 - (o) Hilltops,
 - (p) Tumbarumba,
 - (q) Western Plains.

- (2) However, if the licensed premises of a wine producer are not located in any of the wine regions referred to in subclause (1), the licensed premises of the wine producer are, for the purposes of section 35 (2) of the Act, taken to be all located in the same wine region if the premises are all located within 20 km of each other.

Part 5 Responsible service of alcohol (RSA)

Division 1 Interpretation

62 Definitions (cf 2008 reg cl 39)

(1) In this Part:

advanced licensee RSA endorsement—see clause 72 (1) (c).

advanced licensee RSA training course—see clause 77 (1) (c).

approved RCG training course has the same meaning as in Division 3A of Part 4 of the *Gaming Machines Act 2001*.

approved RSA training course—see clause 77 (1).

course provider means:

- (a) in relation to an approved RSA training course—the approved training provider who provides the course, and
- (b) in relation to a privacy training course or an industry RSA refresher course—the Secretary.

industry RSA endorsement—see clause 72 (1) (a).

industry RSA refresher course means a course of training with respect to the responsible service of alcohol that is provided by the Secretary online.

industry RSA training course—see clause 77 (1) (a).

interim certificate—see clause 71 (1).

licensee RSA endorsement—see clause 72 (1) (b).

licensee RSA training course—see clause 77 (1) (b).

privacy endorsement—see clause 72 (1) (d).

privacy training course means a course of training with respect to the protection of the privacy of patrons of licensed premises that is provided by the Secretary online.

RCG endorsement—see clause 72 (1) (e).

recognised competency card—see clause 72 (1).

recognised competency card endorsement—see clause 72 (1).

registered training organisation means an NVR registered training organisation within the meaning of the *National Vocational Education and Training Regulator Act 2011* of the Commonwealth.

tier 1 licence means the following:

- (a) a hotel licence,
- (b) a general bar licence,
- (c) a club licence that relates to a registered club,
- (d) a small bar licence,
- (e) a packaged liquor licence,
- (f) a producer/wholesaler licence endorsed with a drink on-premises authorisation,
- (g) an on-premises licence that relates to any of the following:
 - (i) an airport,
 - (ii) a public entertainment venue (other than a cinema),
 - (iii) a restaurant or cafe,
 - (iv) a tertiary institution,
 - (v) a vessel.

tier 1 licensed premises means the premises to which a tier 1 licence relates.

tier 2 licence means the following:

- (a) a small bar licence with an extended trading authorisation that authorises, on a regular basis, the sale or supply of liquor at any time between 2 am and 5 am,
- (b) any of the following licences that authorise, or any of the following licences with an extended trading authorisation that authorises, on a regular basis, the sale or supply of liquor at any time after midnight:
 - (i) a hotel licence,
 - (ii) a general bar licence,
 - (iii) a club licence that relates to a registered club,
 - (iv) a producer/wholesaler licence endorsed with a drink on-premises authorisation,
 - (v) an on-premises licence that relates to any of the following:
 - (A) a public entertainment venue (other than a cinema),
 - (B) a restaurant or cafe (but only if the licence is endorsed with an authorisation referred to in section 24 (3) of the Act),
 - (C) a tertiary institution,
 - (D) a vessel,
- (c) an on-premises licence that relates to any of the following:
 - (i) a facility for adult relaxation entertainment (including adult entertainment of a sexual nature),
 - (ii) a karaoke bar.

tier 2 licensed premises means the premises to which a tier 2 licence relates.

- (2) For the purposes of this Part, a recognised competency card endorsement is **current** if it has not yet expired or if it is not suspended or revoked.

Division 2 RSA requirements

63 RSA requirements to sell, supply or serve liquor by retail on licensed premises (cf 2008 reg cl 40, 41 and 43)

(1) Staff members of licensed premises

A staff member of licensed premises must not sell, supply or serve liquor by retail on the premises unless the staff member holds a recognised competency card with a current industry RSA endorsement.

Maximum penalty:

- (a) if the licensed premises are in a prescribed precinct—20 penalty units, or
- (b) if the licensed premises are not in a prescribed precinct—10 penalty units.

(2) Licensee in relation to staff members of licensed premises

The licensee of licensed premises must not cause or permit a staff member to sell, supply or serve liquor by retail on the premises unless the staff member holds a recognised competency card with a current industry RSA endorsement.

Maximum penalty: 50 penalty units.

(3) Licensee of licensed premises

The licensee of licensed premises (other than tier 1 licensed premises or tier 2 licensed premises) must not sell, supply or serve liquor by retail on the premises, or cause or permit liquor to be sold, supplied or served by retail on the premises, unless

the licensee holds a recognised competency card with a current industry RSA endorsement.

Maximum penalty: 50 penalty units.

(4) Licensee or manager of tier 1 licensed premises

The licensee or manager of tier 1 licensed premises must not sell, supply or serve liquor by retail on the premises, or cause or permit liquor to be sold, supplied or served by retail on the premises, unless the licensee or manager holds a recognised competency card with a current licensee RSA endorsement.

Maximum penalty: 50 penalty units.

(5) Licensee or manager of tier 2 licensed premises

The licensee or manager of tier 2 licensed premises must not sell, supply or serve liquor by retail on the premises, or cause or permit liquor to be sold, supplied or served by retail on the premises, unless the licensee or manager holds a recognised competency card with a current licensee RSA endorsement and a current advanced licensee RSA endorsement.

Maximum penalty: 50 penalty units.

(6) Exceptions to subclauses (3)–(5)

Subclauses (3)–(5) do not apply to:

- (a) a person who is taken to be the licensee under section 62 of the Act, or
- (b) a licensee that is a corporation.

(7) Conduct of promotional activities

For the purposes of this clause:

- (a) liquor that is sold, supplied or served on licensed premises as part of a promotional activity conducted by someone other than the licensee is taken to have been sold, supplied or served by retail, and
- (b) any person by whom liquor is sold, supplied or served on licensed premises as part of a promotional activity conducted by someone other than the licensee is taken to be a staff member.

64 RSA requirements for crowd controllers and bouncers (cf 2008 reg cl 42)

(1) Crowd controllers and bouncers

A person must not, in the course of the person's employment, carry on activities as a crowd controller or bouncer on or about licensed premises unless the person holds a recognised competency card with a current industry RSA endorsement.

Maximum penalty:

- (a) if the licensed premises are in a prescribed precinct—20 penalty units, or
- (b) if the licensed premises are not in a prescribed precinct—10 penalty units.

(2) Employing or engaging crowd controllers and bouncers

A person (including the licensee of licensed premises) must not employ or engage another to carry on activities as a crowd controller or bouncer on or about licensed premises unless the person holds a recognised competency card with a current industry RSA endorsement.

Maximum penalty: 50 penalty units.

(3) Licensee or manager of tier 1 licensed premises

The licensee or manager of tier 1 licensed premises must not employ or engage another to carry on activities as a crowd controller or bouncer on or about the

premises unless the licensee or manager holds a recognised competency card with a current licensee RSA endorsement.

Maximum penalty: 50 penalty units.

(4) **Licensee or manager of tier 2 licensed premises**

The licensee or manager of tier 2 licensed premises must not employ or engage another to carry on activities as a crowd controller or bouncer on or about the premises unless the licensee or manager holds a recognised competency card with a current licensee RSA endorsement and a current advanced licensee RSA endorsement.

Maximum penalty: 50 penalty units.

Note. Under the *Security Industry Act 1997*, a person who is employed to act as a crowd controller, venue controller or bouncer is required to hold a class 1C licence under that Act.

65 RSA requirements for RSA marshals (cf 2008 reg cll 42A and 42B)

(1) **RSA marshals**

A person must not, in the course of the person's employment as an RSA marshal, carry out RSA supervisory duties on or about licensed premises unless the person holds a recognised competency card with a current industry RSA endorsement.

Maximum penalty:

- (a) if the licensed premises are in a prescribed precinct—20 penalty units, or
- (b) if the licensed premises are not in a prescribed precinct—10 penalty units.

(2) **Employing or engaging RSA marshals**

A person (including the licensee of licensed premises) must not employ or engage another as an RSA marshal on licensed premises unless the person holds a recognised competency card with a current industry RSA endorsement.

Maximum penalty: 50 penalty units.

(3) **Licensee or manager of tier 1 licensed premises**

The licensee or manager of tier 1 licensed premises must not employ or engage another as an RSA marshal on the premises unless the licensee or manager holds a recognised competency card with a current licensee RSA endorsement.

Maximum penalty: 50 penalty units.

(4) **Licensee or manager of tier 2 licensed premises**

The licensee or manager of tier 2 licensed premises must not employ or engage another as an RSA marshal on the premises unless the licensee or manager holds a recognised competency card with a current licensee RSA endorsement and a current advanced licensee RSA endorsement.

Maximum penalty: 50 penalty units.

66 RSA requirements to become licensee or manager of tier 1 licensed premises or tier 2 licensed premises

(1) **Tier 1 licensed premises**

The Authority must not do any of the following in relation to a person unless the person holds a recognised competency card with a current licensee RSA endorsement:

- (a) grant the person a tier 1 licence,
- (b) grant an application for a drink on-premises authorisation that would, if granted, make a licence held by the person a tier 1 licence,

- (c) approve an application by the person to remove a tier 1 licence to premises other than those specified in the licence,
- (d) approve the transfer of a tier 1 licence to the person,
- (e) approve, under Division 4 of Part 4 of the Act, the person as a manager of tier 1 licensed premises.

(2) **Tier 2 licensed premises**

The Authority must not do any of the following in relation to a person unless the person holds a recognised competency card with a current licensee RSA endorsement and a current advanced licensee RSA endorsement:

- (a) grant the person a tier 2 licence,
- (b) grant an application for a drink on-premises authorisation, or an extended trading authorisation, that would, if granted, make a licence held by the person a tier 2 licence,
- (c) approve an application by the person to remove a tier 2 licence to premises other than those specified in the licence,
- (d) approve the transfer of a tier 2 licence to the person,
- (e) approve, under Division 4 of Part 4 of the Act, the person as a manager of tier 2 licensed premises.

67 RSA requirements for grant of limited licences for large-scale commercial events

- (1) The Authority must not grant a person either of the following in relation to a function or event that is to be attended by 2,000 or more persons on any day unless the person holds a recognised competency card with a current licensee RSA endorsement:
 - (a) a limited licence in respect of a function that is a trade fair,
 - (b) a limited licence for a special event.
- (2) The Authority must not grant such a licence authorising the sale or supply of liquor at any time between midnight and 5 am on any day unless the person holds a recognised competency card with a current licensee RSA endorsement and a current advanced licensee RSA endorsement.
- (3) The Authority may exempt a person from a requirement to hold a recognised competency card with a current licensee RSA endorsement or a current advanced licensee RSA endorsement under this clause. In deciding whether to do so, the Authority must consider whether the training required to obtain the recognised competency card will be effective in reducing the risk of alcohol-related violence or anti-social behaviour on or about the licensed premises.

68 Secretary may require applicant for any licence to hold recognised competency card with licensee RSA endorsement or advanced licensee RSA endorsement

- (1) The Secretary may require an applicant for a particular licence (or applicants for licences of a particular class) to hold a recognised competency card with a current licensee RSA endorsement or a current advanced licensee RSA endorsement (or both).
- (2) In deciding whether to require an applicant (or applicants) to hold a recognised competency card with a current licensee RSA endorsement or a current advanced licensee RSA endorsement (or both), the Secretary must consider whether the training required to obtain the recognised competency card will be effective in reducing the risk of alcohol-related violence or anti-social behaviour on or about the licensed premises.

- (3) The Authority must not grant an applicant a licence unless the applicant holds a recognised competency card with a current licensee RSA endorsement or a current advanced licensee RSA endorsement (or both) as required.

69 Secretary may require licensee or manager of any licensed premises to hold recognised competency card with licensee RSA endorsement or advanced licensee RSA endorsement

- (1) It is a condition of a licence that the licensee or manager of the licensed premises must hold a recognised competency card with a current licensee RSA endorsement or a current advanced licensee RSA endorsement (or both) if required to do so by the Secretary by notice in writing.
- (2) The notice may specify the period within which the recognised competency card must be obtained. The Secretary may, by further notice, extend any such period.
- (3) In deciding whether to require a licensee or manager to hold a recognised competency card with a current licensee RSA endorsement or a current advanced licensee RSA endorsement (or both), the Secretary must consider whether the training required to obtain the recognised competency card will be effective in reducing the risk of alcohol-related violence or anti-social behaviour on or about the licensed premises.
- (4) The Secretary may only require a licensee or manager of licensed premises to hold a recognised competency card with a current advanced licensee RSA endorsement if:
 - (a) liquor is authorised to be sold, supplied or served on the licensed premises after midnight on a regular basis, or
 - (b) the licensed premises are declared premises to which a level 1 licence (within the meaning of Schedule 4 to the Act) relates, or
 - (c) the relevant licence has, within the previous 6 months, been suspended or cancelled under Division 4 of Part 7 of the Act.

70 Licensee RSA endorsement includes industry RSA endorsement

If a provision of this Division requires a person to hold a recognised competency card with a current industry RSA endorsement, the requirement may be satisfied by holding a recognised competency card with a current licensee RSA endorsement.

Division 3 Recognised competency cards

71 Interim certificates

- (1) On the completion of any of the following courses by a person, the course provider must, as soon as reasonably practicable, issue the person a certificate (an *interim certificate*) certifying that the person has completed the course:
 - (a) an approved RSA training course,
 - (b) a privacy training course,
 - (c) an industry RSA refresher course.
- (2) An interim certificate that certifies the completion of a course is, for the period of 90 days after the interim certificate is issued, taken to be equivalent to a recognised competency card with the relevant recognised competency card endorsement.
- (3) The Secretary may, by notice in writing addressed to the holder of an interim certificate, extend the period of 90 days in exceptional circumstances, and in that case the interim certificate and the notice are taken to be equivalent to a recognised

competency card with the relevant recognised competency card endorsement for the extended period.

Note. For example, a person may produce an interim certificate (or an interim certificate and an extension notice), instead of a recognised competency card, in compliance with a requirement under clause 75, and a person may sell, supply or serve liquor by retail on licensed premises, during the period of equivalence. After that, a person must obtain a recognised competency card with the relevant recognised competency card endorsement in order to sell, supply or serve liquor by retail on licensed premises.

- (4) A person who is issued an interim certificate may apply to the Secretary for a recognised competency card with the relevant recognised competency card endorsement.

72 Issue of recognised competency card with endorsements (cf 2008 reg cl 39A)

- (1) The Secretary may, on the basis of a relevant interim certificate or relevant interim certificates, issue a person a card (a ***recognised competency card***) with any one or more of the following notations (each of which is a ***recognised competency card endorsement***):
- (a) that the person has completed an industry RSA training course within the previous 5 years or has completed an industry RSA training course earlier than within the previous 5 years but has completed an industry RSA refresher course within the previous 5 years (an ***industry RSA endorsement***),
 - (b) that the person has completed a licensee RSA training course within the previous 5 years (a ***licensee RSA endorsement***),
 - (c) that the person has completed an advanced licensee RSA training course within the previous 5 years (an ***advanced licensee RSA endorsement***),
 - (d) that the person has completed a privacy training course at any time (a ***privacy endorsement***),
 - (e) that the person has completed an approved RCG training course within the previous 5 years (an ***RCG endorsement***).
- (2) A recognised competency card is to be in the form approved by the Secretary and to contain such other information (including photographic or other information about the identity of the person to whom it is issued) as the Secretary may require at the time the card is issued.

73 Expiry of recognised competency card endorsements (cf 2008 reg cl 39C)

- (1) A recognised competency card endorsement expires:
- (a) on the fifth anniversary of the issue of the interim certificate that was the basis for the recognised competency card endorsement being included on the recognised competency card, or
 - (b) if the recognised competency card endorsement is renewed:
 - (i) on the fifth anniversary of the date the recognised competency card endorsement would otherwise have expired, or
 - (ii) in the case of an industry RSA endorsement that is renewed by the completion of a licensee RSA training course—on the fifth anniversary of the issue of the interim certificate that is the basis for the licensee RSA endorsement being included on the recognised competency card.
- (2) Despite subclause (1):
- (a) a privacy endorsement does not expire, and
 - (b) an industry RSA endorsement expires if an RCG endorsement on the same recognised competency card expires (and vice versa), and

- (c) an advanced licensee RSA endorsement expires if a licensee RSA endorsement on the same recognised competency card expires.

74 Renewal of recognised competency card endorsements (cf 2008 reg cl 39C)

- (1) A recognised competency card endorsement specified in Column 1 of the following table may, before (or within 28 days of) its expiry, be renewed by the completion of the corresponding course specified in Column 2:

Column 1	Column 2
Endorsement that has expired	Course to renew endorsement
industry RSA endorsement	(a) an industry RSA training course, or (b) a licensee RSA training course, or (c) an industry RSA refresher course
licensee RSA endorsement	a licensee RSA training course
advanced licensee RSA endorsement	an advanced licensee RSA training course
RCG endorsement	an approved RCG training course

- (2) The renewal of a recognised competency card endorsement does not take effect until the issue of a recognised competency card with the renewed recognised competency card endorsement.
- (3) To avoid doubt, the renewal of a recognised competency card endorsement does not make the recognised competency card endorsement current for any period during which the recognised competency card endorsement had expired.

Note. See clause 62 (2).

75 Inspection of recognised competency card (cf 2008 reg cl 39D)

- (1) A police officer or inspector may require any person who is required to hold a recognised competency card to produce his or her recognised competency card to the police officer or inspector for inspection.
- (2) A person must not, without reasonable excuse, fail to comply with a requirement of a police officer or inspector under subclause (1).

Maximum penalty: 5 penalty units.

Note. An interim certificate is, for a limited period, taken to be equivalent to a recognised competency card and may be produced in compliance with a requirement under this clause: see clause 71 (2).

76 Suspension or revocation of recognised competency card endorsements
(cf 2008 reg cll 39AA and 49C)

- (1) The Authority may, on application by the Secretary or the Commissioner of Police, make any of the following orders:
- (a) an order suspending, for the period specified by the Authority, a recognised competency card (and all of its endorsements), or a particular recognised competency card endorsement,
- (b) an order revoking a recognised competency card (and all of its endorsements), or a particular recognised competency card endorsement,
- (c) an order declaring that a person is disqualified from holding a recognised competency card, or a particular recognised competency card endorsement, for such period (not exceeding 12 months) as is specified in the order.

- (2) The Authority may only make an order under this clause in respect of a person if the Authority is satisfied that the person has:
 - (a) contravened any of the person's obligations under the Act or this Regulation that, in the opinion of the Authority, relate to the responsible service of alcohol (including, without limitation, permitting intoxication on licensed premises), or
 - (b) been charged with, or found guilty of, a serious indictable offence involving violence that was committed on licensed premises or in the immediate vicinity of licensed premises on a patron of the premises or a person attempting to enter the premises, or
 - (c) while a staff member of licensed premises situated in a prescribed precinct, contravened any of the person's obligations under the Act or this Regulation that relate to the use of a patron ID scanner (including obligations relating to the protection of any personal information recorded by a patron ID scanner), or
 - (d) obtained a recognised competency card or recognised competency card endorsement fraudulently or by deception (including by allowing or arranging for another person to complete or partially complete an approved RSA training course, industry RSA refresher course or a privacy training course) (in which case the Authority may only make an order under subclause (1) (b) or (c)).
- (3) An order made on the ground that a person has been charged with, or found guilty of, a serious indictable offence is revoked if the charge is withdrawn or dismissed or the finding is overturned on appeal.
- (4) The Authority may not make an order under this clause in respect of a person unless the person has been given notice of the application for the order and has been given a reasonable opportunity to make submissions to the Authority in relation to the application.
- (5) An order under this clause takes effect on the date specified by the Authority in the order. Notice of the making of the order is to be given to the person who is the subject of the order, but failure to give notice does not affect the operation of the order if a reasonable attempt has been made to notify the person.
- (6) If the Authority makes an order under this clause in respect of a person, the person may apply to the Civil and Administrative Tribunal for an administrative review under the *Administrative Decisions Review Act 1997* of the Authority's decision.
- (7) Section 53 of the *Administrative Decisions Review Act 1997* does not apply in relation to the Authority's decision to make an order under this clause.
- (8) A person must not work in any capacity as an employee on any licensed premises in New South Wales during any period in which:
 - (a) the person's recognised competency card (and all of its endorsements) is suspended by the Authority under this clause, or
 - (b) the person is disqualified under this clause from holding a recognised competency card.Maximum penalty: 50 penalty units.
- (9) If a person's recognised competency card (and all of its endorsements), or a particular recognised competency card endorsement, is suspended or revoked under this clause, the person must surrender the recognised competency card to the Secretary if required to do so by the Authority.
Maximum penalty: 20 penalty units.
- (10) Subclause (9) does not apply to a recognised competency card issued in electronic form.

- (11) A person (*the employer*) does not commit an offence under clause 63 (2)–(5), 64 (2)–(4), 65 (2)–(4) or 106 (2) or (3) in relation to a person whose recognised competency card or recognised competency card endorsement has been suspended or revoked by the Authority under this clause if:
- (a) the person presents the employer a recognised competency card with a recognised competency card endorsement that appears to be current, and
 - (b) the employer is satisfied on reasonable grounds that the recognised competency card endorsement is current.

Division 4 Provision of approved RSA training courses

77 Approval to provide approved RSA training courses (cf 2008 reg cl 45)

- (1) A registered training organisation may apply to the Secretary for an approval to provide any one or more of the following courses approved by the Secretary (each of which is an *approved RSA training course*):
- (a) a course of training with respect to the responsible service of alcohol (an *industry RSA training course*),
 - (b) a course of training with respect to the management of licensed premises in such a way as to promote the responsible service of alcohol (a *licensee RSA training course*),
 - (c) an advanced course of training with respect to the management of licensed premises in such a way as to promote the responsible service of alcohol (an *advanced licensee RSA training course*).
- (2) An application for an approval to provide an approved RSA training course must be made in the form approved by the Secretary and be accompanied by the prescribed fee.

Note. See clause 7.

78 Additional approval to provide approved RSA training courses online (cf 2008 reg cl 49A)

- (1) An approved training provider may apply to the Secretary for an additional approval to provide an approved RSA training course online.
- (2) However, an approved training provider may only apply for any such additional approval if the provider is the TAFE Commission, an industry association or a provider nominated by an industry association.
- (3) An application by a provider nominated by an industry association must be accompanied by written confirmation of that nomination. The written confirmation must include an explanation of the relationship between the industry association and the provider.
- (4) An application for an additional approval must be made in the form approved by the Secretary and be accompanied by the prescribed fee.
- Note.** See clause 7.
- (5) The Secretary may grant an additional approval only if the Secretary is satisfied that the approved training provider has appropriate measures in place:
- (a) to verify the identity of persons undertaking the approved RSA training course online, and
 - (b) to assess the competency of those persons, and
 - (c) to minimise the potential for fraudulent activity.

- (6) In this Division (other than clause 77), a reference to an approval to provide training courses with respect to the responsible service of alcohol includes a reference to an additional approval to provide an approved training course online.

79 Decision on application (cf 2008 reg cl 46)

- (1) The Secretary may, after considering an application for an approval to provide an approved RSA training course:
- (a) grant the approval, or
 - (b) refuse the application.
- (2) If the Secretary grants an approval, the Secretary must issue the applicant with a written approval that sets out any conditions to which the approval is subject.
- (3) If the Secretary refuses an application for approval, the Secretary must give notice of the refusal in writing to the applicant setting out the reasons for the refusal.

80 Conditions of approvals to provide approved RSA training courses (cf 2008 reg cl 47)

- (1) For the purposes of section 114B of the Act, an approval to provide an approved RSA training course is subject to the conditions set out in subclauses (2)–(4), and such other conditions as the Secretary may from time to time impose.
- (2) It is a condition of an approval to provide an approved RSA training course that each person providing the approved RSA training course on behalf of the approved training provider (or, in the case of an approved RSA training course provided online, each online course worker) must:
- (a) hold a Certificate IV in Training and Assessment awarded by a registered training organisation, or have such other qualification as the Secretary considers to be equivalent, and
 - (b) have at least the following experience, or have such other experience as the Secretary considers to be equivalent:
 - (i) to provide an industry RSA training course—3 years experience as the holder of a managerial or supervisory position in respect of licensed premises (being a position with duties in relation to the service of liquor),
 - (ii) to provide a licensee RSA training course or an advanced licensee RSA training course—5 years experience as the holder of a senior managerial position in respect of licensed premises (being a position with duties in relation to the service of liquor).
- (3) It is a condition of an approval to provide an approved RSA training course that the approved training provider must collect the following information on behalf of the Secretary in relation to any person who is undertaking (or who has completed) the course:
- (a) the full name of the person,
 - (b) the date and country of birth of the person,
 - (c) the residential address of the person,
 - (d) an email address or mobile phone number (or both) specified by the person as an email address or mobile phone number at which the person may be contacted,
 - (e) such other information as the Secretary may require from time to time to assist in ascertaining whether or not the person has successfully completed the course.

- (4) It is a condition of an approval to provide an approved RSA training course that the approved training provider must pay the Secretary the prescribed fee for each interim certificate issued by it in relation to the course.

Note. See clause 7.

- (5) In this clause:
online course worker, in relation to an approved RSA training course provided online, means a person who, on behalf of the approved training provider, provides information about the content of the course to, or assesses the competency of, persons undertaking the course.

81 Term of approval to provide approved RSA training courses (cf 2008 reg cl 48)

- (1) Unless sooner cancelled, an approval under this Division to provide an approved RSA training course has effect until 30 June following the date on which it was granted, but may be renewed by making an application in accordance with this Division.
- (2) An approval does not have effect while it is suspended.

82 Variation, suspension and cancellation of approvals to provide approved RSA training courses (cf 2008 reg cl 49)

- (1) The Secretary may:
- (a) vary any condition imposed on an approval to provide an approved RSA training course, or
 - (b) suspend or cancel any such approval,
- but only after giving the holder of the approval an opportunity to make submissions.
- (2) A variation of the conditions of, or the suspension or cancellation of, an approval:
- (a) must be by notice in writing, and
 - (b) must be served on the person to whom the approval relates, and
 - (c) takes effect on the day on which the notice is served or on a later day specified in the notice.

Division 5 Transitional provisions

83 Transitional provisions

- (1) An approved RSA training course (within the meaning of Division 1 of Part 5 of the *Liquor Regulation 2008*) completed before the commencement of this Regulation is taken to be an industry RSA training course.
- (2) Clauses 63 (4) and (5), 64 (3) and (4), 65 (3) and (4), 66 and 67 do not take effect until 1 April 2019.
- (3) Clauses 63 (4), 64 (3), 65 (3), 66 (1) and 67 (1) do not apply in relation to an existing tier 1 licensee or manager until:
- (a) the expiry of any current recognised RSA certification (within the meaning of Division 1 of Part 5 of the *Liquor Regulation 2008*) held by the existing tier 1 licensee or manager on the commencement of this Regulation, or
 - (b) 1 April 2024,
- whichever is earlier.
- (4) Clauses 63 (5), 64 (4), 65 (4), 66 (2) and 67 (2) do not apply in relation to an existing tier 2 licensee or manager until:

- (a) the expiry of any current recognised RSA certification (within the meaning of Division 1 of Part 5 of the *Liquor Regulation 2008*) held by the existing tier 2 licensee or manager on the commencement of this Regulation, or
 - (b) 1 April 2024,
whichever is earlier.
- (5) For the purposes of clause 80 (2) (a), and without limitation, the Secretary may, until 1 April 2019, consider enrolment in a Certificate IV in Training and Assessment, or completion of only parts of a Certificate IV in Training and Assessment, to be an equivalent qualification to the Certificate IV in Training and Assessment.
- (6) In this clause:
existing tier 1 licensee or manager means a person who was the licensee or manager of tier 1 licensed premises immediately before the commencement of this Regulation.
existing tier 2 licensee or manager means a person who was the licensee or manager of tier 2 licensed premises immediately before the commencement of this Regulation.

Part 6 Harm minimisation

84 Discount liquor promotions or advertisements (cf 2008 reg cl 50)

- (1) This clause applies to any promotion or advertisement involving the discounting of liquor that is conducted, or published, by or on behalf of a licensee.
- (2) If a promotion or advertisement to which this clause applies:
 - (a) appears in the printed or electronic media, or
 - (b) is conducted on or in the vicinity of the licensed premises or appears inside or in the vicinity of the licensed premises, or
 - (c) is made available for the public to participate in or view,the Secretary may, by notice in writing, require the licensee to include, as part of the promotion or advertisement, a message that encourages the responsible consumption of alcohol.
- (3) The Secretary may, in making any such requirement, specify:
 - (a) the content of the message, and
 - (b) the manner in which the message is to appear as part of the promotion or advertisement (including the size, colour and nature of the message and its placement in relation to the promotion or advertisement).
- (4) A licensee must comply with a notice given to the licensee under this clause.
Maximum penalty: 50 penalty units.

85 Drinking water to be available free of charge where liquor served (cf 2008 reg cl 51)

- (1) If a licence authorises the sale or supply of liquor for consumption on the licensed premises, it is a condition of the licence that drinking water must, at all times while liquor is sold or supplied for consumption on the premises, be made available free of charge to patrons at or near the point of service at which, or by the same means of service by which, liquor is sold or supplied on the premises.
- (2) Subclause (1) extends to the sale or supply of liquor under a drink on-premises authorisation.

86 Undesirable liquor products (cf 2008 reg cl 52)

- (1) For the purposes of section 100 of the Act, the following are declared to be undesirable liquor products:
 - (a) alcoholic ice block—a product that is sold in an individual package or individual packages for consumption in frozen form and that, at 20° Celsius, contains more than 1.15% ethanol by volume,
 - (b) a product that is sold in an aerosol container for consumption by humans and that, at 20° Celsius, contains more than 1.15% ethanol by volume,
 - (c) any milk product that is sold or supplied under a name that consists of, or includes, the words “Moo Joose” and that, at 20° Celsius, contains more than 1.15% ethanol by volume,
 - (d) any alcoholic vapour that is sold or supplied for consumption in that form,
 - (e) any alcoholic powder that is sold or supplied for consumption (whether in that form or if added to any liquid).
- (2) In subclause (1) (c), *milk product* includes any product made from reconstituted milk (that is, any substance in the nature of milk that has been prepared from milk concentrate or milk powder).

87 Codes of practice (cf 2008 reg cl 53)

- (1) The Secretary may approve of a code of practice that deals with the responsible sale, supply, service and promotion of liquor.
- (2) The Secretary may approve of any such code of practice for all licences or for particular types of licence only.
- (3) If the Secretary approves of a code of practice in relation to a licence, it is a condition of the licence that the licensee complies with the code.

Part 7 Prescribed precincts

Note. See Schedule 2 for the precincts declared to be prescribed precincts for the purposes of the Act.

Division 1 Special licence conditions

88 Special licence conditions applying to subject premises in prescribed precincts (cf 2008 reg cl 53B)

- (1) This Division prescribes, for the purposes of section 116I of the Act, conditions to which a licence relating to premises situated in a prescribed precinct is subject.
- (2) Any such premises are referred to in this Division as *subject premises*.

89 “Lock outs” for subject premises (cf 2008 reg cl 53C)

- (1) In this clause:
lock out period means the period on any day of the week between 1.30 am and the commencement of the standard trading period referred to in section 12 (1) (a) (i) of the Act.
- (2) This clause applies to any of the following subject premises (but only if liquor is authorised to be sold for consumption on the premises):
 - (a) a hotel or premises to which a general bar licence relates (other than a tourist accommodation establishment),
 - (b) club premises (other than a tourist accommodation establishment),
 - (c) a licensed public entertainment venue (other than a cinema or a theatre) or licensed karaoke bar,
 - (d) so much of a high risk venue as is declared by the Secretary by order in writing to be subject premises for the purposes of this clause,
 - (e) declared premises to which a level 2 licence (within the meaning of Schedule 4 to the Act) relates,
 - (f) premises to which a licence specified in Schedule 4 relate or the part (as specified in that Schedule) of premises to which such a licence relates.
- (3) The licensee of subject premises to which this clause applies must not permit patrons to enter the premises during the lock out period.
- (4) For the avoidance of doubt, patrons already present in the subject premises immediately before the start of the lock out period may:
 - (a) leave the premises at any time, or
 - (b) remain on the premises while the premises are authorised to trade, but are not permitted to re-enter the premises during the lock out period.
- (5) This clause does not prevent a resident of the subject premises from entering the premises during the lock out period.
- (6) This clause does not require the licensee of subject premises to prevent patrons from entering the premises on 1 January in any year.

90 Liquor sales cessation period (cf 2008 reg cl 53D)

- (1) In this clause:
liquor sales cessation period means the period on any day of the week between 3 am and the commencement of the standard trading period referred to in section 12 (1) (a) (i) of the Act.

- (2) This clause applies to any of the following subject premises (but only if liquor is authorised to be sold for consumption on the premises):
 - (a) a hotel or premises to which a general bar licence relates (other than a tourist accommodation establishment),
 - (b) club premises (other than a tourist accommodation establishment),
 - (c) a licensed public entertainment venue (other than a cinema or a theatre) or licensed karaoke bar,
 - (d) so much of a high risk venue as is declared by the Secretary by order in writing to be subject premises for the purposes of this clause,
 - (e) declared premises to which a level 1 or level 2 licence (within the meaning of Schedule 4 to the Act) relates,
 - (f) premises to which a licence specified in Schedule 4 relate or the part (as specified in that Schedule) of premises to which such a licence relates.
- (3) Liquor must not be sold or supplied on subject premises to which this clause applies during the liquor sales cessation period.
- (4) Subclause (3) does not in itself prevent the continued provision, or making available, of other services and facilities on the subject premises (such as food and non-alcoholic beverages, entertainment and the use of the premises for conferences or meetings and for gambling activities that are otherwise permitted on the premises).
- (5) Despite section 103 (1) of the Act, a bar area or other part of a hotel referred to in that subsection may remain open for the sale and supply of non-alcoholic beverages, or food, only during the period when liquor is not permitted to be sold or supplied under this clause.

91 Glasses prohibited during general late trading period (cf 2008 reg cl 53E)

- (1) This clause applies to the following subject premises (but only if liquor is authorised to be sold for consumption on the premises):
 - (a) in the case of subject premises situated in the Kings Cross precinct:
 - (i) a hotel (including the premises to which a general bar licence relates), or
 - (ii) club premises, or
 - (iii) a licensed public entertainment venue (other than a cinema) or licensed karaoke bar, or
 - (iv) a licensed restaurant in respect of which an authorisation under section 24 (3) of the Act is in force,
 - (b) in the case of subject premises situated in a prescribed precinct other than the Kings Cross precinct—premises that the Secretary has, by notice in writing served on the licensee, declared to be premises to which this clause applies.
- (2) The Secretary may make a declaration under subclause (1) (b) only if the Secretary is satisfied that:
 - (a) there has been a history of alcohol-related violence on the premises, or
 - (b) an incident has occurred on the premises involving an act of violence that has caused a serious injury to a person.
- (3) During the general late trading period, any drink (whether or not it contains liquor) sold or supplied for consumption on subject premises to which this clause applies must not be served or supplied in a glass.
- (4) During the general late trading period, glasses must be removed from patrons on subject premises to which this clause applies and from any area of the premises to which patrons have access.

- (5) In this clause:
glass means:
- (a) a drinking vessel, or
 - (b) a container (such as a bottle or jug) from which drinks can be poured, that is made wholly or principally of glass.

92 Certain drinks and other types of liquor sales prohibited during general late trading period (cf 2008 reg cl 53F)

- (1) This clause applies to subject premises (other than a small bar) if liquor is authorised to be sold for consumption on the premises.
- (2) The following drinks must not be sold or supplied on subject premises during the general late trading period:
- (a) any drink (commonly referred to as a “shot”, a “shooter” or a “bomb”) that is designed to be consumed rapidly,
 - (b) any drink containing more than 50% spirits or liqueur,
 - (c) any ready to drink beverage with an alcohol by volume content of more than 5%,
 - (d) any drink prepared on the premises that contains more than 30 ml of spirits or liqueur.
- (3) Subclause (2) does not (subject to subclause (4)) prevent the sale or supply of any alcoholic drink (commonly known as a “cocktail”) that contains spirits or liqueur (or both) mixed with other ingredients and that is not designed to be consumed rapidly.
- (4) Subclause (3) applies only if:
- (a) the alcoholic drink is listed on a document prepared by the licensee that is displayed on the subject premises and itemises the cocktails that may be sold or supplied on the premises during trading hours and the amount payable for each such cocktail throughout or during any particular period during those trading hours (the *cocktail list*), and
 - (b) no alcoholic drink listed on the cocktail list is sold or supplied on the subject premises between midnight and 3.30 am (or such earlier time at which the premises are required to cease serving liquor) at less than the amount specified on the cocktail list.
- (5) During the general late trading period, no more than:
- (a) 4 alcoholic drinks (whether or not of the same kind), or
 - (b) the contents of one bottle of wine,
- may be sold or supplied on subject premises to the same person at any one time.
- (6) Despite subclause (5), no more than 2 alcoholic drinks (whether of the same or a different kind) may be sold or supplied on subject premises to the same person at any one time between 2 am and 7 am (or such earlier time at which the premises are required to cease serving liquor).
- (7) In this clause:
ready to drink beverage means an alcoholic mixed beverage that is prepared by the manufacturer.

93 Promotion of rapid consumption drinks (cf 2008 reg cl 53G)

The licensee of subject premises must not promote or publicise or cause to be promoted or publicised by any means (on the subject premises or elsewhere):

- (a) the supply of any free or discounted drinks (including, but not limited to, a “shot”, a “shooter” or a “bomb”) that are designed to be consumed rapidly on the subject premises, or
- (b) any inducement (such as a prize or free give-away or similar incentive) to purchase any drink designed to be consumed rapidly on the subject premises.

94 Requirement for RSA marshals during supervised trading period (cf 2008 reg cl 53H and 53I)

- (1) This clause applies to:
 - (a) subject premises situated in a prescribed precinct other than the Kings Cross precinct that the Secretary has, by notice in writing served on the licensee, declared to be premises to which this clause applies, and
 - (b) subject premises (other than a small bar) situated in the Kings Cross precinct, but only if liquor is authorised to be sold for consumption on the premises.
- (2) The Secretary may make a declaration under subclause (1) (a) only if the Secretary is satisfied that:
 - (a) there has been a history of alcohol-related violence on the premises, or
 - (b) an incident has occurred on the premises involving an act of violence that has caused a serious injury to a person.
- (3) The licensee must ensure that at least one RSA marshal is carrying out RSA supervisory duties on the subject premises to which this clause applies at all times after midnight during the supervised trading period.
- (4) The licensee of subject premises to which this clause applies must ensure that any person who is carrying out RSA supervisory duties as required by this clause is, while carrying out those duties, wearing clothing that identifies the person as an RSA marshal.
- (5) In this clause:
supervised trading period, in relation to subject premises, means the period between midnight on any Friday or Saturday, or midnight on any public holiday night or night before a public holiday, and 3.30 am (or such earlier time at which the premises are required to cease serving liquor).

95 CCTV systems to be maintained on subject premises in Kings Cross precinct (cf 2008 reg cl 53K)

- (1) This clause applies to the following subject premises situated in the Kings Cross precinct (but only if liquor is authorised to be sold for consumption on the premises):
 - (a) a hotel (including the premises to which a general bar licence relates),
 - (b) club premises,
 - (c) a licensed public entertainment venue (other than a cinema) or licensed karaoke bar,
 - (d) a licensed restaurant that is authorised to trade after midnight on any day of the week and in respect of which an authorisation under section 24 (3) of the Act is in force,
 - (e) licensed premises that are the subject of a declaration under subclause (4).
- (2) The licensee of subject premises to which this clause applies must maintain a closed-circuit television system on the premises in accordance with the following requirements:

- (a) the system must record continuously from opening time until one hour after the premises are required to close (or, in the case of premises that are not required to cease trading, continuously at all times),
 - (b) recordings must be in digital format:
 - (i) at a minimum of 6 frames per second, and
 - (ii) on and from 1 September 2020—at a minimum of 10 frames per second,
 - (c) any recorded image must specify the time and date of the recorded image,
 - (d) the system's cameras must cover the following areas:
 - (i) all entry and exit points on the premises,
 - (ii) the footpath immediately adjacent to the premises,
 - (iii) all publicly accessible areas (other than toilets) on the premises.
- (3) The licensee of subject premises to which this clause applies must:
- (a) keep all recordings made by the CCTV system for at least 30 days, and
 - (b) ensure that the system is accessible by at least one staff member at all times it is in operation, and
 - (c) provide any recordings made by the system to a police officer or inspector within 24 hours of any request by a police officer or inspector to provide such recordings.
- (4) The Secretary may, by notice in writing served on the licensee of subject premises situated in the Kings Cross precinct, declare the premises to be premises to which this clause applies, but only if the Secretary is satisfied that:
- (a) there has been a history of alcohol-related violence on the premises, or
 - (b) an incident has occurred on the premises involving an act of violence that has caused a serious injury to a person.
- (5) The licensee of any such declared subject premises must comply with the requirements of this clause as soon as practicable (or in any case no later than 4 weeks) after being notified of the declaration.

96 “Round the clock” incident register (cf 2008 reg cl 53L)

- (1) The licensee of subject premises must maintain a register, in the form approved by the Secretary, in which the licensee is to record, in the manner approved by the Secretary, the details of any of the following incidents and any action taken in response to any such incident:
- (a) any incident involving violence or anti-social behaviour occurring on the premises,
 - (b) any incident of which the licensee is aware that involves violence or anti-social behaviour occurring in the immediate vicinity of the premises and that involves a person who has recently left, or been refused admission to, the premises,
 - (c) any incident that results in a person being turned out of the premises under section 77 of the Act,
 - (d) any incident that results in a patron of the premises requiring medical assistance,
 - (e) any incident that involves the possession or use on the premises of any substance that the licensee suspects of being a prohibited plant or a prohibited drug.
- (2) The licensee of subject premises must, if requested to do so by a police officer or inspector:

- (a) make any such incident register immediately available for inspection by a police officer or inspector, and
 - (b) allow a police officer or inspector to take copies of the register or to remove the register from the premises.
- (3) The licensee of subject premises must ensure that the information recorded in an incident register under this clause is retained for at least 3 years from when the record was made.
- (4) Section 56 of the Act does not apply in relation to the licence for any subject premises.
- (5) This clause does not apply to a licensee of subject premises to which any of the following licences relates:
- (a) a packaged liquor licence,
 - (b) an on-premises licence, unless the on-premises licence:
 - (i) is endorsed with an authorisation under section 24 (3) of the Act, or
 - (ii) relates to a public entertainment venue that is not a cinema or a theatre.

97 Other requirements relating to violent incidents (cf 2008 reg cl 53M)

The licensee of subject premises must, immediately after the licensee or a staff member becomes aware of an incident on the premises involving an act of violence that has caused an injury to a person, ensure:

- (a) that all reasonable steps are taken to preserve and keep intact the area where the incident occurred and that any implement or other thing associated with the act of violence is retained in accordance with guidelines issued by the NSW Police Force relating to the preservation of crime scenes, and
- (b) that one of the following persons who commands an area or district in which the premises are situated is advised by a staff member of the incident:
 - (i) the Police Area Commander of the police area command,
 - (ii) the Police District Commander of the police district, and
- (c) that any directions given by the Commander to the licensee or a staff member to preserve or keep intact the area where the incident occurred are complied with.

98 Exclusion of persons from subject premises (cf 2008 reg cl 53N)

- (1) This clause applies to subject premises if liquor is authorised to be sold for consumption on the premises.
- (2) The licensee of subject premises to which this clause applies must not permit any person to enter the premises, or to remain on the premises, if the person is wearing or carrying any clothing, jewellery or accessory displaying:
 - (a) the name of any of the following motorcycle-related and similar organisations:
 - Bandidos,
 - Black Uhlans,
 - Brothers for Life,
 - Comanchero,
 - Finks,
 - Fourth Reich,
 - Gladiators,
 - Gypsy Joker,

Hells Angels,
Highway 61,
Iron Horsemen,
Life and Death,
Lone Wolf,
Mobshitters,
Mongols,
Mongrel Mob,
No Surrender,
Nomads,
Odin's Warriors,
Outcasts,
Outlaws,
Phoenix,
Rebels,
Rock Machine,
Satudarah, or

- (b) the colours, club patch, insignia or logo of any such organisation, or
- (c) the “1%” or “1%er” symbol, or
- (d) any image, symbol, abbreviation, acronym or other form of writing that indicates membership of, or an association with, any of the organisations specified in paragraph (a).

99 Prohibition on entering subject premises after drinking in alcohol-free zone or alcohol prohibited area (cf 2008 reg cl 53O)

- (1) This clause applies to subject premises situated in a prescribed precinct other than the Kings Cross precinct, but only if liquor is authorised to be sold for consumption on the premises and the premises are located in or near an alcohol-free zone or alcohol prohibited area under the *Local Government Act 1993*.
- (2) If the licensee or a staff member of subject premises to which this clause applies observes a person drinking alcohol in any such zone or area or has reasonable cause to believe a person has recently been drinking alcohol in that area or zone, the licensee or staff member must not permit the person to enter the subject premises.

Division 2 Exemptions from special licence conditions

100 General exemption relating to residents of tourist accommodation establishments (cf 2008 reg cl 53R)

Division 1 does not apply to or in respect of the sale or supply of liquor to a resident of subject premises that is a tourist accommodation establishment if liquor is sold or supplied for consumption only in the room in which the resident is residing or staying.

101 Exemptions on application by licensee of subject premises (cf 2008 reg cl 53Q)

- (1) The Secretary may, on application by the licensee of subject premises and payment of a reasonable fee, exempt the licensee, by order in writing served on the licensee, from any provision of Division 1.

- (2) The Secretary may grant an exemption under this clause only if the Secretary is satisfied that:
 - (a) the exemption is unlikely to result in an increase in the level of alcohol-related violence or anti-social behaviour or other alcohol-related harm in the prescribed precinct in which the subject premises are situated, and
 - (b) measures other than the specified condition to which the exemption relates are in place on the subject premises and that such measures will be effective in reducing the risk of alcohol-related violence or anti-social behaviour on or about the subject premises.
- (3) An exemption under this clause may, if the relevant order so provides, apply in relation to a specified part of the subject premises concerned.
- (4) An application for an exemption under this clause must be in the form and manner approved by the Secretary.
- (5) An order under this clause may be varied or revoked by the Secretary by a subsequent order in writing served on the licensee concerned.

102 Exemptions from “lock out” and liquor sales cessation restrictions for live entertainment venues (cf 2008 reg cl 53S)

- (1) The Secretary may, on application by the licensee of subject premises and payment of a reasonable fee, exempt the licensee from clause 89 (3) or 90 (3) by order in writing served on the licensee.
- (2) An exemption from clause 89 (3) is subject to the condition that patrons must not be permitted to enter the subject premises during the period on any day of the week between 2 am and the commencement of the standard trading period referred to in section 12 (1) (a) (i) of the Act. Clause 89 (4)–(6) extend to the condition under this subclause as if references in those subclauses to the lock out period were references to the period referred to in this subclause.
- (3) An exemption from clause 90 (3) is subject to the condition that liquor must not be sold or supplied on the subject premises during the period on any day of the week between 3.30 am and the commencement of the standard trading period referred to in section 12 (1) (a) (i) of the Act. Clause 90 (4) and (5) extend to the condition under this subclause.
- (4) An exemption from clause 89 (3) or 90 (3) is also subject to the condition that, on any night of the week on which the exemption applies in relation to the subject premises concerned, live entertainment must be held or provided after midnight on the premises.
- (5) An order may only be made under this clause in relation to subject premises if the Secretary is of the opinion that the premises have a market orientation towards live performances, the arts and cultural events and endeavours.
- (6) In forming any such opinion, the Secretary is to take the following matters into consideration:
 - (a) whether tickets for live entertainment on the premises can be purchased,
 - (b) whether the premises are covered by a licence issued by APRA AMCOS (the Australasian Performing Right Association and the Australasian Mechanical Copyright Owners Society),
 - (c) whether the premises are used in connection with artistic or cultural festivals,
 - (d) any other matter the Secretary considers relevant.
- (7) In determining an application for an exemption under this clause, the Secretary may, without limitation, take into consideration the compliance history of the subject

premises in relation to the requirements of Division 1 of this Part and the requirements of Division 2 of Part 5A of the *Liquor Regulation 2008* (before its repeal).

- (8) An exemption under this clause may, if the relevant order so provides, apply in relation to a specified part of the subject premises concerned.
- (9) An order under this clause may be varied or revoked by the Secretary by a subsequent order in writing served on the licensee concerned.
- (10) An application for an exemption under this clause must be in the form and manner approved by the Secretary.
- (11) A reference in this clause to subject premises does not include a reference to any subject premises that:
 - (a) are premises to which a level 1 licence (within the meaning of Schedule 4 to the Act) relate, or
 - (b) comprise a karaoke bar, or
 - (c) in the opinion of the Secretary operate solely as a nightclub or are used primarily to provide adult entertainment of a sexual nature.
- (12) This clause does not limit the operation of clause 101.
- (13) In this clause:

live entertainment means:

 - (a) an event at which one or more persons are engaged to play or perform live or pre-recorded music, or
 - (b) a performance at which the performers (or at least some of them) are present in person.

Division 3 Patron ID scanning

103 Minors exempted from patron ID scanning requirements (cf 2008 reg cl 53U)

Section 116E (1) (a) and (b) of the Act do not apply in relation to minors who are authorised to enter high risk venues.

104 Time of use of patron ID scanners (cf 2008 reg cl 53V)

Section 116E (1) (a) and (b) of the Act do not apply in relation to a licence for a high risk venue during any period outside the times approved by the Secretary and notified to the licensee under section 116E (1) (c) of the Act.

105 Information recorded by patron ID scanners (cf 2008 reg cl 53W)

For the purposes of section 116E (1) (e) of the Act, the following information is prescribed in relation to a person:

- (a) the person's name, date of birth and residential address,
- (b) the photograph appearing on the person's photo ID,
- (c) a photograph of the person taken by the patron ID scanner at the time the person's photo ID is scanned.

106 Privacy requirements to use patron ID scanners in prescribed precincts
(cf 2008 reg cl 40A and 41A)

(1) **Staff members of licensed premises**

A staff member of licensed premises in a prescribed precinct must not use a patron ID scanner on the premises unless the staff member holds a recognised competency card with a current privacy endorsement.

Maximum penalty: 20 penalty units.

(2) **Licensee in relation to staff members of licensed premises**

The licensee of licensed premises in a prescribed precinct must not cause or permit a staff member to use a patron ID scanner on the premises unless the staff member holds a recognised competency card with a current privacy endorsement.

Maximum penalty: 50 penalty units.

(3) **Licensee of licensed premises**

The licensee of licensed premises in a prescribed precinct must not use, or cause or permit to be used, a patron ID scanner on the premises unless the licensee holds a recognised competency card with a current privacy endorsement.

Maximum penalty: 50 penalty units.

(4) **Exceptions to subclause (3)**

Subclause (3) does not apply to:

- (a) a person who is taken to be the licensee under section 62 of the Act, or
- (b) a licensee that is a corporation.

(5) **Definitions**

Words and expressions used in this clause have the same meaning as in Part 5.

Division 4 Prescribed precinct scanner system

107 Licensee bans (cf 2008 reg cl 53X)

(1) In this clause:

system means the prescribed precinct ID scanner system as defined in section 116D of the Act.

(2) For the purposes of section 116D (1) (a1) of the Act, the kind of information that may be included on the system is identification details about persons the subject of a licensee ban.

(3) For the purposes of section 116D (6) of the Act, identification details about persons the subject of a licensee ban in relation to high risk venues in the Kings Cross precinct may be held and stored on the system and shared with other high risk venues in the Kings Cross precinct.

Part 8 Definitions

108 “Evidence of age document” (cf 2008 reg cl 67A)

For the purposes of paragraph (f) of the definition of *evidence of age document* in section 4 (1) of the Act, the following are prescribed as evidence of age documents:

- (a) a proof of age card issued by Australia Post (known as a “Keypass identity card”) for the purpose of attesting to a person’s identity and age,
- (b) a digital driver licence within the meaning of Part 3.7 of the *Road Transport Act 2013*, but only if the licence indicates (by reference to the person’s date of birth or otherwise) that the holder of the digital driver licence has attained a particular age.

109 “Liquor”: additional substances prescribed (cf 2008 reg cl 66)

- (1) The following substances are prescribed as liquor under paragraph (c) of the definition of *liquor* in section 4 (1) of the Act:

- (a) an alcohol-based food essence that is packaged:
 - (i) in the case of vanilla essence (whether natural or imitation)—in a container of more than 100 millilitres capacity, or
 - (ii) in any other case—in a container of more than 50 millilitres capacity, except in circumstances where the alcohol-based food essence is sold in that container by wholesale,
- (b) any vapour that would, as a liquid, be a beverage as referred to in paragraph (a) of that definition,
- (c) any powder that would, when added to any liquid, constitute a beverage as referred to in paragraph (a) of that definition.

- (2) In this clause:

alcohol-based food essence means a food flavouring preparation in liquid form that, at 20° Celsius, contains more than 1.15% ethanol by volume.

110 “Liquor”: high alcohol-based food essences prescribed for certain purposes (cf 2008 reg cl 67)

- (1) High alcohol-based food essence is prescribed as liquor under paragraph (c) of the definition of *liquor* in section 4 (1) of the Act, but only for the purposes of section 117 (1)–(5) and (12) of the Act.

Note. As a result of this provision, it will be an offence under the Act to sell or supply high alcohol-based food essences to minors.

- (2) In this clause:

high alcohol-based food essence means a food flavouring preparation in liquid form that, at 20° Celsius, contains more than 35% ethanol by volume, but does not include a preparation in liquid form that is promoted as a food colouring preparation and is used primarily for the purpose of colouring food.

111 “Non-proprietary association” (cf 2008 reg cl 68)

For the purposes of paragraph (c) of the definition of *non-proprietary association* in section 4 (1) of the Act, any community organisation established in connection with a school and that consists of parents of children attending the school together with other persons who are interested in the welfare of the school is prescribed as a non-proprietary association.

112 “Person authorised to sell liquor” (cf 2008 reg cl 69)

For the purposes of paragraph (d) of the definition of *person authorised to sell liquor* in section 4 (1) of the Act, the holder of a licence under section 10 of the Act (as modified and applied to and in respect of a casino in accordance with section 89 (2) of the *Casino Control Act 1992*) is prescribed as a person authorised to sell liquor.

113 “Tertiary institution” (cf 2008 reg cl 80C)

For the purposes of paragraph (c) of the definition of *tertiary institution* in section 4 (1) of the Act, the following higher education providers are prescribed:

National Art School (ACN 140179111), Forbes Street, Darlinghurst, NSW 2010

Part 9 Exemptions and exceptions

114 Sale of liquor by auction—prescribed requirements for exemption (cf 2008 reg cl 70)

For the purposes of section 6 (1) (d) of the Act, the following requirements are prescribed:

- (a) the auctioneer conducting the auction must be a person who carries on business (or is employed) as a professional auctioneer,
- (b) the auction must be publicly promoted as an auction involving the sale of liquor,
- (c) the Secretary must be notified, in the form and manner approved by the Secretary, that the auctioneer sells liquor by auction otherwise than under the authority of a licence under the Act,
- (d) the auctioneer must comply with any direction given to the auctioneer by the Secretary as to the quantity or type of liquor that may be sold by auction or as to the manner or frequency in which liquor is sold by auction.

115 Sale of liquor at fundraising functions—additional requirement for exemption (cf 2008 reg cl 70B)

- (1) For the purposes of section 6 (8) (b) of the Act, the display of a notice in accordance with this clause is prescribed as a requirement that must be complied with in relation to the sale or supply of liquor at a function held by or on behalf of an eligible non-proprietary association.
- (2) The notice must:
 - (a) contain the same words required to be contained in the notice under clause 50, and
 - (b) be in the form approved by the Authority and be obtained free of charge from Liquor & Gaming NSW, Department of Industry, and
 - (c) be prominently displayed, at the bar at which liquor is sold or supplied at the function, in such a manner and in such a position that a person standing at the bar would reasonably be expected to be alerted to its contents.

116 Exemption relating to cruise ships operating in coastal waters (cf 2008 reg cl 70A)

The provisions of the Act relating to the sale or supply of liquor do not apply to the sale or supply of liquor on board a vessel engaged in voyages that operate wholly or partly within the coastal waters of the State (within the meaning of Part 10 of the *Interpretation Act 1987*) if the following requirements are complied with:

- (a) the vessel has sleeping facilities for at least 100 passengers,
- (b) liquor is sold or supplied only to registered fee-paying passengers or crew members,
- (c) liquor is sold or supplied only for consumption on board the vessel,
- (d) liquor is not sold or supplied to minors,
- (e) liquor is not sold or supplied to a person who is intoxicated.

117 Exemption relating to take-away liquor trading hours (cf 2008 reg cl 70AB)

- (1) This clause applies in relation to licensed premises (or part of licensed premises) to which section 12 (1B) of the Act applies but only if the premises (or relevant part of the premises) are authorised to trade until 10 pm.
- (2) The licensee of licensed premises (or part of licensed premises) to which this clause applies or an employee or agent of the licensee is exempt from so much of section 9

of the Act as would prohibit the licensee, employee or agent from selling or supplying liquor between 10 pm and 11 pm on any day (other than a Sunday that does not fall on 24 December or 31 December or a restricted trading day) for consumption away from the premises.

- (3) However, the exemption under subclause (2) does not apply in relation to licensed premises if the licence is subject to:
 - (a) a condition imposed by the Authority or the Secretary before 24 February 2014 that requires the premises to cease trading at or before 10 pm on any day that the exemption would otherwise apply, or
 - (b) a condition imposed by the Authority or the Secretary on or after 24 February 2014 that requires the premises to cease trading before 10 pm on any day that the exemption would otherwise apply.

118 Exemption relating to trading hours for small bars in prescribed precincts
(cf 2008 reg cl 70AC)

- (1) This clause applies in relation to a small bar situated in a prescribed precinct but only if the licensed premises are authorised to trade until midnight.
- (2) The licensee of a small bar to which this clause applies or an employee or agent of the licensee is exempt from so much of section 9 of the Act as would prohibit the licensee, employee or agent from selling or supplying liquor between midnight and 2 am for consumption on the premises.

119 Exemption relating to sale or supply of liquor to staff members after closing time
(cf 2008 reg cl 70C)

- (1) This clause applies in relation to the following licensed premises:
 - (a) a hotel (including the premises to which a general bar licence relates),
 - (b) club premises,
 - (c) a small bar,
 - (d) premises (other than accommodation premises) to which an on-premises licence relates.
- (2) The licensee of licensed premises to which this clause applies or an employee or agent of the licensee is exempt from so much of section 9 of the Act as would prohibit the licensee, employee or agent from selling or supplying liquor to a staff member of the premises during a period of not more than 2 hours immediately following the time that the premises were required to cease trading on any day.
- (3) The exemption under subclause (2) applies only if:
 - (a) the staff member was working on the licensed premises immediately before the premises were required to cease trading, and
 - (b) the liquor is not sold or supplied to the staff member for consumption away from the premises.
- (4) In this clause, *staff member* includes any person engaged to provide entertainment or services on the licensed premises.

120 Exception to offence by licensee of minor entering or being in bar area of hotel or club premises (cf 2008 reg cl 33 (6))

Section 124 (1) (a) or (2) (a) of the Act does not apply in relation to a licensee if the minor who is in the bar area is performing in a show or other live entertainment performance held in the bar area and is in the company of a responsible adult while in the bar area.

121 Exceptions to requirement that hotel or on-premises licence cannot operate as invitation-only venues (cf 2008 reg cl 71)

For the purposes of sections 17 (3) and 28 (3) of the Act, each of the businesses specified in Column 1 of the following table may be or include a business limited at any time only to selling or supplying liquor to the particular class or classes of persons specified in Column 2 opposite the business concerned.

Table

Column 1	Column 2
Business	Class/classes of persons
The business carried on under a hotel licence relating to premises that are used and occupied at The Station Resort, Jindabyne at Lot 13 Dalgety Road, Jindabyne, by Perisher Blue Pty Limited.	The class of persons resorting to the premises comprising the staff and guests of the hotel.
The business carried on under an on-premises licence relating to premises that the Authority is satisfied are used and occupied at the British Aerospace Flight Training Academy at Basil Brown Drive, Tamworth, by British Aerospace Flight Training (Australia) Pty Limited.	The class of persons resorting to the premises comprising the staff and students of the Academy and their guests.
The business carried on under an on-premises licence relating to premises that the Authority is satisfied are used and occupied by or on behalf of GIO Australia Limited and being the Mona Vale Conference Centre located at 33 Bassett Street, Mona Vale, Sydney.	The class of persons resorting to the premises comprising those persons who are attending a conference or function on or at the premises.
The business carried on under an on-premises licence relating to premises within a hospital, nursing home, aged care facility or retirement village.	The class of persons resorting to the premises comprising the staff, patients and residents of the hospital, nursing home, aged care facility or retirement village, and their guests.
The business carried on under an on-premises licence relating to premises operated by a surf life saving club.	The class of persons resorting to the premises comprising staff, members and guests of the club.
The business carried on under an on-premises licence relating to premises used and occupied by Morris Corporation (Aust) Pty Ltd, being the mess facilities at the Bemax-Gingko Mine Site near Pooncarie.	The class of persons resorting to the premises comprising the staff of the mine and other persons permitted to use the mess facilities.
The business carried on under an on-premises licence at the Qantas Campus, 10 Bourke Road, Mascot.	The class of persons resorting to the premises comprising Qantas staff and other persons permitted to use the licensed premises.

122 Exceptions to 6-hour closure requirement (cf 2008 reg cl 78)

(1) **Exception during period when special occasion late trading is authorised**

If:

- (a) a special occasion extended trading authorisation is granted in respect of licensed premises, and

- (b) any part of the 6-hour closure period that, but for this subclause, would apply to the licensed premises occurs during the same period that the authorisation is in force,

section 11A (2) of the Act does not apply in relation to the licence during that same period (but otherwise continues to apply to any remaining part of the 6-hour closure period occurring on the day the authorisation is in force).

(2) **Exceptions relating to premises with multi-occasion extended trading authorisation**

If:

- (a) a multi-occasion extended trading authorisation is granted in respect of licensed premises, and
- (b) the licence was not, immediately before the granting of the authorisation, subject to the condition under section 11A (2) of the Act,

the condition does not apply in relation to the licence on any day.

(3) If:

- (a) a multi-occasion extended trading authorisation is granted in respect of licensed premises, and
- (b) the licence was, immediately before the granting of the authorisation, subject to the condition under section 11A (2) of the Act,

the condition does not apply in relation to the licence on any day that liquor is sold or supplied in accordance with the authorisation.

Part 10 Miscellaneous

123 Temporary freeze on licences and other authorisations in prescribed precincts

(cf 2008 reg cl 79)

(1) Extension of freeze period

1 June 2019 is prescribed for the purposes of the definition of *freeze period* in section 47A (1) of the Act.

(2) Exclusion of subject premises from temporary freeze

Division 1A of Part 4 of the Act does not apply to or in respect of the following classes of subject premises:

- (a) tourist accommodation establishments (other than clubs),
- (b) restaurants,
- (c) public entertainment venues that regularly provide:
 - (i) live music entertainment (other than pre-recorded music), or
 - (ii) a combination of live music entertainment (other than pre-recorded music) and visual or performance arts or other cultural events.

124 Local liquor accords (cf 2008 reg cl 72)

For the purposes of section 133 (2) (d) of the Act, a local liquor accord must include a list of the key objectives of the accord.

125 Disciplinary action—persons authorised to make complaints (cf 2008 reg cl 73)

For the purposes of section 139 (1) (c) of the Act, a complaint to the Authority under Part 9 of the Act in relation to a licensee, manager or close associate of a licensee may be made by the local consent authority for the licensed premises concerned.

126 Denial of allegation as to age (cf 2008 reg cl 75)

For the purposes of section 152 (2) of the Act, an allegation in relation to any proceedings for an offence under the Act or this Regulation is denied as prescribed if it is denied:

- (a) at any adjournment prior to the commencement of the proceedings—by informing the court, the informant or a person appearing for the informant in writing of the denial, or
- (b) at any time not later than 14 days before the hearing of the charge—by informing the informant or a person appearing for the informant in writing of the denial.

127 Application for review of disqualification by Authority (cf 2008 reg cl 77)

An application to the Authority under section 154 of the Act for removal of a disqualification of more than 3 years imposed by the Authority under section 141 (2) of the Act must:

- (a) specify the grounds on which the application for removal of the disqualification is made, and
- (b) be accompanied by a fee of 5.51 fee units.

128 Definition of “metropolitan area”—section 66 of Act (cf 2008 reg cl 80)

For the purposes of section 66 of the Act, a *metropolitan area* comprises the Greater Sydney Region (within the meaning of the *Greater Sydney Commission Act 2015*)

and the local government areas of Central Coast, Lake Macquarie, Newcastle and Wollongong.

129 Multi-occasion ETAs cannot be granted for metropolitan licensed premises
(cf 2008 reg cl 80A)

For the purposes of section 49B (2) of the Act, the metropolitan area specified in clause 128 is prescribed.

130 Provisional approval of applications to change boundaries of licensed restaurants for outdoor dining purposes (cf 2008 reg cl 80B)

- (1) An application under section 94 of the Act to change the boundaries of a licensed restaurant is, when the application is made, taken to be provisionally approved if:
 - (a) the application is made in a form and manner approved by the Secretary, and
 - (b) the applicant declares in the application that the area to which the application relates is to be used for outdoor dining and the sale of liquor with (or ancillary to) outdoor dining, and
 - (c) any approval by the local council that is required for the area to which the application relates to be used for outdoor dining has been obtained.
- (2) However, any such application is taken not to be provisionally approved if:
 - (a) an extended trading authorisation or an authorisation under section 24 (3) of the Act is in force in respect of the licensed restaurant, or
 - (b) the licence for the licensed restaurant is, or was at any time in the previous 12 months, a level 1 or level 2 licence within the meaning of Schedule 4 to the Act, or
 - (c) the licensee or manager of the licensed restaurant has, in the previous 12 months, committed a prescribed offence within the meaning of Part 9A of the Act.
- (3) The provisional approval of an application to change the boundaries of a licensed restaurant:
 - (a) operates to change the boundaries of the licensed premises during the period that the provisional approval is in force, and
 - (b) is subject to such conditions as may imposed by the Secretary, and
 - (c) may be revoked at any time by the Secretary.
- (4) Unless it is sooner revoked by the Secretary, the provisional approval of an application to change the boundaries of a licensed restaurant continues in force until the application is determined by the Authority in accordance with section 94 of the Act.
- (5) The Secretary may waive the application fee for an application under section 94 of the Act that is made in accordance with this clause. In that case, section 94 (4) of the Act does not apply in relation to licensed premises to which the application relates.
- (6) In this clause, *licensed restaurant* means licensed premises operating under the authority of an on-premises licence granted in respect of a restaurant.

131 Conversion of existing licences to small bar licences (cf 2008 reg cl 70D)

- (1) In this clause:

existing general bar licence means a general bar licence in force immediately before the commencement of this Regulation.

existing licence means an existing general bar licence or an existing on-premises licence.

existing on-premises licence means an on-premises licence in force immediately before the commencement of this Regulation relating to licensed premises in respect of which the Authority is satisfied that development consent granted under the *Environmental Planning and Assessment Act 1979* would permit the premises to operate as a small bar.

existing premises means the premises to which an existing licence related immediately before the cancellation of the licence under this clause.

- (2) The holder of an existing licence may surrender the licence to the Authority during the period of 12 months immediately following the commencement of this Regulation.
- (3) On the surrender of an existing licence in accordance with this clause, the Authority is to:
 - (a) cancel the existing licence, and
 - (b) at the same time issue a small bar licence for the existing premises (a **new licence**) to the person who was the holder of the existing licence.
- (4) The provisions of the Act relating to the procedures for the granting of a small bar licence do not apply in relation to the issuing of a new licence under this clause.
- (5) For the avoidance of any doubt, Division 3A of Part 3 of the Act applies to a new licence.
- (6) Any conditions imposed by or under the Act in relation to an existing licence are, on the cancellation of the existing licence, taken to be conditions to which the new licence is subject, but only to the extent that those conditions are consistent with the authority conferred by a small bar licence.
- (7) Any extended trading authorisation granted in respect of an existing licence and in force immediately before the cancellation of the licence under this clause is taken to have been granted in respect of the new licence but only if the existing premises are situated in an area that is not a prescribed precinct.

132 Fees for former community liquor licences

- (1) In this clause, **former community liquor licence** means a community liquor licence granted under the *Liquor Act 1982* that is taken to be a hotel licence by virtue of clause 12 of Schedule 1 to the *Liquor Act 2007*.
- (2) **Licence transfer application fee**

The fee payable for an application under section 60 or 61 of the Act to transfer a former community liquor licence is as follows:

 - (a) fixed component—nil,
 - (b) processing component—1 fee unit,
 - (c) total—1 fee unit.
- (3) **Licence transfer endorsement fee**

For the purposes of section 60A (3) (b) of the Act, a fee of 0.5 fee units is prescribed for a former community liquor licence.
- (4) **Base fee element of periodic licence fee**

The base fee element of a periodic licence fee for a former community liquor licence is 1 fee unit.

(5) **Exemption from other elements of periodic licence fee**

The following elements of a periodic licence fee are not payable for a former community liquor licence:

- (a) the trading hours risk loading element,
- (b) the location risk loading element,
- (c) the patron capacity loading element.

(6) **Exemption from notice of change of patron capacity**

Clause 14 (4) does not apply to a former community liquor licence.

(7) **Late payment fee for periodic licence fee**

A late payment fee of 0.5 fee units is payable if a periodic licence fee for a former community liquor licence has not been paid before the expiration of 28 days after the due date for payment.

133 Savings

Any act, matter or thing that, immediately before the repeal of the *Liquor Regulation 2008*, had effect under that Regulation continues to have effect under this Regulation.

134 Repeal

The *Liquor (Adjustable Fee Amounts) Notice* is repealed.

Schedule 1 Application fees

(Clause 4)

Part 1 Licence applications

Column 1	Column 2	Column 3	Column 4
Type of licence	Fixed component (in fee units)	Processing component (in fee units)	Total (in fee units)
Hotel licence (other than general bar licence)	15	12.52	27.52
General bar licence	3	4.7	7.7
Club licence	1	4.5	5.5
Small bar licence	1.5	2.35	3.85
On-premises licence	3	4.7	7.7
Packaged liquor licence	10	12.01	22.01
Producer/wholesaler licence	3	4.7	7.7
Limited licence (single function) (made by means of an electronic system approved by the Authority)	Nil	0.88	0.88
Limited licence (single function) (made otherwise than by means of an electronic system approved by the Authority)	Nil	1.65	1.65
Limited licence (multi-function)	1	4.5	5.5
Limited licence for large-scale commercial event (application under clause 38)	Nil	6.5	6.5
Limited licence in respect of a function that is a trade fair (application not under clause 38)	Nil	1.65	1.65
Limited licence for special event (application not under clause 38)	Nil	1.65	1.65

Part 2 Applications for extended trading authorisations

Column 1	Column 2	Column 3	Column 4
Type of authorisation	Fixed component (in fee units)	Processing component (in fee units)	Total (in fee units)
Ongoing extended trading authorisation allowing trading until midnight	1	2.3	3.3
Ongoing extended trading authorisation allowing trading after midnight (subject to requirement that liquor may only be sold on the premises with, or ancillary to, a meal or the provision of accommodation)	1	2.3	3.3

Column 1	Column 2	Column 3	Column 4
Type of authorisation	Fixed component (in fee units)	Processing component (in fee units)	Total (in fee units)
Ongoing extended trading authorisation allowing trading after midnight until any time that is not later than 1.30 am (not subject to requirement as to provision of meals or accommodation)	15	12.52	27.52
Ongoing extended trading authorisation allowing trading at any time after 1.30 am that is not later than 5 am (not subject to requirement as to provision of meals or accommodation)	25	13.52	38.52
Ongoing extended trading authorisation for an on-premises licence relating to a catering service	Nil	1.1	1.1
Special occasion extended trading authorisation	Nil	1.1	1.1
Ongoing extended trading authorisation for a small bar	7.5	6.26	13.76
Multi-occasion extended trading authorisation:			
(a) if the licensed premises to which the application relates were authorised to trade on a regular basis until at least 3 am within the period of 6 months before the application is made and:	Nil	1.62	1.62
(i) those extended trading hours have been reduced under the Act, or			
(ii) an application has been made under the Act within that 6-month period to reduce those extended trading hours, or			
(iii) a request has been made by the licensee within that 6-month period for a condition to be imposed under section 54 of the Act that would result in reducing trading hours, or			
(b) in any other case	5	5.82	10.82

Part 3 Other applications

Column 1	Column 2	Column 3	Column 4
Type of application	Fixed component (in fee units)	Processing component (in fee units)	Total (in fee units)
Application under section 11A (5) for Authority's approval (other than an application for approval of a different 6-hour closure period that is, in the opinion of the Authority, temporary in nature)	1	2.3	3.3
Application under section 15A (2) for approval to cease selling liquor and to continue to provide other services and facilities	Nil	4.4	4.4
Application by hotelier under section 14 (6) for authorisation to sell liquor on other premises for large-scale commercial event (see clause 38)	Nil	4.5	4.5
Application by hotelier under section 14 (6) for authorisation to sell liquor on other premises not for large-scale commercial event	Nil	1.1	1.1
Application to vary on-premises licence—section 23 (5)	Nil	1.1	1.1
Application under section 46A to suspend licence or to vary or revoke licence suspension	Nil	2.16	2.16
Application for any licence-related authorisation (other than an extended trading authorisation)—section 51 (2)	Nil	1.1	1.1
Application by licensee under section 53 (2) or 54 (2) to vary or revoke a licence condition (other than an application to vary trading hours)	Nil	1.1	1.1
Application by licensee under section 53 (2) or 54 (2) to vary or revoke a licence condition that would result in increased trading hours (other than for an on-premises licence relating to a catering service or a licence for a small bar):			
(a) allowing trading until midnight, or	1	2.3	3.3
(b) allowing trading after midnight (subject to requirement that liquor may only be sold on the premises with, or ancillary to, a meal or the provision of accommodation), or	1	2.3	3.3
(c) allowing trading after midnight until any time that is not later than 1.30 am (not subject to requirement as to provision of meals or accommodation), or	15	12.52	27.52

Column 1	Column 2	Column 3	Column 4
Type of application	Fixed component (in fee units)	Processing component (in fee units)	Total (in fee units)
(d) allowing trading at any time after 1.30 am that is not later than 5 am (not subject to requirement as to provision of meals or accommodation)	25	13.52	38.52
Application by licensee under section 53 (2) or 54 (2) to vary or revoke a licence condition that would result in increased trading hours for an on-premises licence relating to a catering service	Nil	1.1	1.1
Application by licensee under section 53 (2) or 54 (2) to vary or revoke a licence condition that would result in increased trading hours for a small bar	7.5	6.26	13.76
Application by licensee under section 53 (2) or 54 (2) to vary or revoke a licence condition that would result in decreased trading hours	Nil	Nil	Nil
Application under section 60 or 61 to transfer hotel licence or packaged liquor licence	3	4.7	7.7
Application under section 60 or 61 to transfer limited licence	Nil	1.1	1.1
Application under section 60 or 61 to transfer any other type of licence	2	2.4	4.4
Application under section 62 to carry on licensee's business	Nil	1.1	1.1
Application under section 68 for approval of person to manage licensed premises	Nil	1.1	1.1
Application under section 78 (other than by the Secretary or the Commissioner of Police) for banning order	Nil	1.1	1.1
Application under section 92 for approval to lease or sublease part of licensed premises	Nil	1.1	1.1
Application under section 94 to change boundaries of licensed premises	Nil	2.2	2.2
Application under section 95 to alter name of licensed premises	Nil	1.1	1.1
Application under section 96 to carry on business on temporary premises	Nil	1.1	1.1
Application under section 27 (3), 28 (3), 92 (1) (a), 119 or 124 (3) (c) for Authority's approval	Nil	1.1	1.1

Note. The application fees for the variation of extended trading authorisations and for approval to remove a licence to other premises are dealt with under clause 5 of this Regulation.

Part 4 Adjustment of fees for inflation

1 Definitions

In this Part:

CPI number means the Consumer Price Index (All Groups Index) for Sydney published by the Australian Bureau of Statistics in the latest published series of that index.

fee year means a period of 12 months commencing on 15 March.

2 Calculation of fee unit for purposes of Regulation

- (1) For the purposes of this Regulation, a **fee unit** is:
 - (a) in the fee years 2018–19 and 2019–20—\$100, and
 - (b) in each subsequent fee year—the amount calculated as follows:

$$\$100 \times A/B$$

where:

A is the CPI number for the December quarter in the fee year immediately preceding the fee year for which the amount is calculated.

B is the CPI number for the December quarter of 2019.

- (2) The amount of a fee unit is to be rounded to the nearest cent (and an amount of 0.5 cent is to be rounded down).
- (3) However, if the amount of a fee unit calculated for any fee year is less than the amount that applied for the previous fee year, then the amount for that previous fee year applies instead.

3 Rounding of fee amounts

The amount of a fee calculated by reference to a fee unit is to be rounded to the nearest dollar (and an amount of 50 cents is to be rounded up).

4 Notice of indexed fees

- (1) As soon as practicable after the CPI number for the December quarter is first published by the Australian Statistician, the Secretary is required to:
 - (a) notify the Parliamentary Counsel of the amount of the fee unit for the next fee year so that notice of that amount can be published on the NSW legislation website, and
 - (b) give public notice on an appropriate government website of the actual amounts of the fees applying in each fee year resulting from the application of the amount of a fee unit calculated under this Part.
- (2) This Part operates to change an amount of a fee that is calculated by reference to a fee unit and that change is not dependent on the notification or other notice required by this clause.

Schedule 2 Prescribed precincts

(Section 116C (1) of the Act)

The following precincts are declared to be prescribed precincts for the purposes of the Act:

Part 1 Kings Cross precinct

That precinct described in Schedule 2 to the Act.

Part 2 Sydney CBD Entertainment precinct

That part of the City of Sydney shown edged with a heavy unbroken line on the map marked "Sydney CBD Entertainment Precinct" signed by the Minister and deposited with Liquor & Gaming NSW, Department of Industry, but not including the area within the Kings Cross precinct.

Note. An illustration of the map is as follows:



Schedule 3 High risk venues and high risk venue exceptions

(Section 116B (2) (b) and (3) of the Act)

1 High risk venues

The licensed premises to which any of the licences referred to in Division 1 of a Part of this Schedule relate are high risk venues for the purposes of Division 4 of Part 6 of the Act.

2 High risk venue exceptions

The licensed premises to which any of the licences referred to in Division 2 of a Part of this Schedule relate are not high risk venues for the purposes of Division 4 of Part 6 of the Act.

3 Change of name does not affect listing as high risk venue or high risk venue exception

Any change of name of licensed premises as referred to in this Schedule (whether before, on or after the commencement of this Regulation) does not affect the application of this Schedule to the licence for those premises.

Part 1 Kings Cross precinct

Division 1 High risk venues

Licence number	Name of licensed premises
LIQO624013096	Bada Bing Night Spot
LIQH440010036	Beachhaus
LIQO600432026	Barrio Chino
LIQO624006680	Candy's Nightclub
LIQO624013697	Velvet Underground Potts Point
LIQO600402925	Crane Bar Sydney Pty Ltd
LIQO624012240	Dancers Cabaret
LIQO624006535	The Club Sydney
LIQH424006058	First Empire Hotel
LIQH400102909	New Hampton Pty Ltd
LIQO624009263	Hugo's Lounge
LIQO624006713	Dollhouse Nightspot
LIQO624006254	Luna Nightclub
LIQH400103719	Mansions Hotel
LIQH400105908	O'Malleys Hotel
LIQH400104731	Piccadilly Hotel
LIQO624006715	Bellini Lounge Pty Ltd
LIQO624002107	Showgirls
LIQH400106750	Sugarmill Hotel
LIQO624006580	The Backroom

Licence number	Name of licensed premises
LIQH400101244	The Bank Hotel Sydney
LIQH400110111	The Bourbon
LIQH400106742	The Crest Hotel
LIQH400103247	The Kings Cross Hotel
LIQH400103255	The World Bar
LIQH400103816	Studio 54 Hotel
LIQO624006734	Tunnel Nightclub
LIQH400100809	Vegas Hotel

Division 2 High risk venue exceptions

Licence number	Name of licensed premises
LIQO624003042	Bay Bua
LIQO624005737	Crescent on Bayswater
LIQO624015436	Larmont Hotel
LIQO660010450	Gastro Park
LIQO624003465	Holiday Inn Potts Point
LIQO624005728	Hugo's Bar Pizza
LIQO624001880	Miss G's
LIQO624015303	Little Square Sydney
LIQO600404049	El Cubano

Part 2 Sydney CBD Entertainment precinct

Division 1 High risk venues

Licence Number	Name of licensed premises
LIQO624006319	77 Nightclub
LIQH400103239	Ambar Bar
LIQH440010073	Appetito Pizzeria & Bar
LIQO600462839	Argyle Tavern
LIQH400106688	Artwork in Progress
LIQH400110154	ARQ Sydney
LIQH400103387	Bar 333
LIQO624008448	Bar Brose Dining
LIQH400101163	Bar Century
LIQH400104049	Bar Luca
LIQH424009187	Barrio Cellar
LIQO624009952	Bavarian Bier Cafe York

Licence Number	Name of licensed premises
LIQH400104545	Beauchamp Hotel
LIQO624012364	Beer DeLuxe (Sydney)
LIQH400100485	Bells Hotel
LIQO624009218	Bobby's Boss Dogs and the Soda Factory
LIQC300225925	Bowlers Club of NSW Ltd
LIQH400100752	Brighton Hotel
LIQH400106408	Bristol Arms Retro Tavern
LIQH400120923	Buckleys Sydney
LIQH400122470	Bull and Bear Sydney
LIQH400103859	Bungalow 8 Hotel
LIQH400100892	Burdekin Hotel
LIQH400115733	Cargo Bar
LIQO624015653	CEO Karaoke
LIQH400101171	Chamberlain Hotel
LIQH400101910	Charlie Chan's Bar and Bottle Shop
LIQH400103093	Cheers Bar and Grill
LIQC300229041	City of Sydney RSL & Community Club Limited
LIQC300226433	City Tattersalls Club
LIQH400101279	Civic Hotel
LIQH400103271	Concourse Bar
LIQH400104294	Coolabar Bar/Restaurant
LIQH400101465	Coronation Hotel
LIQH400101538	Court House Hotel
LIQH400101546	Covent Garden Hotel
LIQH400101619	Criterion Hotel
LIQH400101686	Crown Hotel
LIQH400104103	Cruise Hotel
LIQH400101740	Crystal Palace Hotel
LIQH400102224	Della Hyde
LIQO624007136	Dockside
LIQO624008978	Dynasty Karaoke
LIQH400101996	East Sydney Hotel
LIQH440010034	Eau-de-Vie
LIQH400102011	Edinburgh Castle Hotel
LIQH400111592	Establishment Hotel
LIQH400102321	Forbes Tavern Hotel
LIQH400102380	Fortune of War Hotel

Licence Number	Name of licensed premises
LIQH400104693	Frankies Pizza By The Slice
LIQO624013849	Gala KTV Club
LIQH400102143	Gaslight Inn Hotel
LIQH400102577	Glenmore Hotel
LIQH424007712	GPO Sydney
LIQH400102682	Grand Hotel
LIQH400102739	Great Southern Hotel
LIQH400102771	Green Park Hotel
LIQH440010027	Harts Pub
LIQH400101112	Helm Bar
LIQH400102992	Hollywood Hotel
LIQH400108818	Home Nightclub
LIQH400105355	Hotel CBD
LIQH400101082	Hotel Chambers
LIQH400100604	Hotel Downing
LIQH400103654	Hotel Harry
LIQH400102399	Hotel Sweeney's
LIQO624006654	Hudson Ballroom
LIQH400102283	Ivy
LIQH400104766	Jacksons on George
LIQO624014889	K Square Karaoke
LIQO624015081	K1 Karaoke Lounge
LIQO624008450	King St Brewhouse & Restaurant
LIQH400106262	Kinselas Hotel
LIQO660010294	Lantern By Wagaya
LIQH400108613	Laughing Buddha Bar
LIQH400105940	Le Pub
LIQH400103573	Lord Nelson Hotel
LIQH400103603	Lord Roberts Hotel
LIQH400106114	Maloney's Hotel
LIQH400113374	Martin Place Bar
LIQO624006152	Men's Gallery Sydney
LIQH400103840	Mercantile Hotel
LIQH400114443	Merivale at the Angel
LIQH400103883	Metropolitan Hotel
LIQH400104383	Midnight Shift Hotel
LIQO624006286	Miind Nightclub

Licence Number	Name of licensed premises
LIQH400102941	Mountbatten Hotel
LIQH400101864	Mr B's Hotel
LIQH400100191	Munich Brau Haus, The Rocks
LIQH400101252	Mr Tipply's
LIQO624006653	Nevermind Nightclub
LIQC300229009	New South Wales Leagues Club
LIQH400106599	New Windsor Hotel
LIQH400104340	Observer Hotel
LIQH400104359	Occidental Hotel
LIQH400104413	Orient Hotel
LIQO624014771	Oxford Art Factory
LIQH400104480	Oxford Hotel
LIQH400104553	Palace Hotel Sydney Since 1877
LIQO624008073	Palms on Oxford Nightclub
LIQO624004559	Paradiso Terrace
LIQH400104596	Paragon Hotel
LIQH400105592	Pavillion Tavern
LIQH440018953	PJ O' Brien's Irish Pub
LIQO624008199	Play Karaoke
LIQH424007134	Pontoon Bar
LIQH400104200	Prince William Hotel
LIQO624007501	Pure Platinum
LIQH400121709	QT Sydney
LIQO624007139	Quay Bar
LIQH424007943	Rabbit Hole Bar
LIQH400104243	Roof Bar
LIQC300230120	Rugby Club Limited
LIQH400105606	Ryan's Bar
LIQO624006549	Salon de Thé Restaurant
LIQH400110480	Sanctuary Hotel
LIQH440010015	Scary Canary
LIQH400110200	Scruffy Murphy's
LIQH424006304	Scubar Down Under
LIQH400105495	Shark Hotel
LIQH424008188	Shelbourne Hotel
LIQH400113595	Ship Inn
LIQH424009765	Side Bar

Licence Number	Name of licensed premises
LIQO624015707	Simmer on the Bay
LIQH400105614	Sir John Young Hotel
LIQO624013940	Slide Lounge
LIQH400101562	Slip Inn
LIQH400101120	St James Hotel
LIQH400103441	Star Bar & Grill
LIQH400105746	Star Hotel
LIQO624013586	Star Room
LIQH400100671	Stilo Bar Deli Cafe
LIQH424006252	Stonewall Hotel
LIQH400105819	Strand Hotel
LIQO624015145	Strike KSW
LIQH400104162	Swine and Co
LIQH400100035	Sydney Hilton Hotel
LIQH400105894	Syds Champagne Bar
LIQH400102534	The 3 Wise Monkeys Pub
LIQH400100868	The Albion Place Hotel
LIQH400102038	The Arthouse Hotel
LIQH400100418	The Basement
LIQH440010080	The Baxter Inn
LIQH400100558	The Beresford Hotel
LIQH424009949	The Blacket Hotel
LIQO624006310	The Cauldron
LIQO624006608	The Cliff Dive Pty Ltd
LIQH400104448	The Colombian Hotel
LIQH400100140	The Cuckoo's Perch
LIQH400100086	The Flinders Hotel, Darlinghurst
LIQH400106424	The Flynn
LIQO600407544	The Governors Pleasure Restaurant
LIQH400110081	The Haymarket Hotel
LIQH424015318	The Heritage Belgian Beer Cafe
LIQH400102887	The Langham, Sydney
LIQH400108672	The Local Taphouse (Darlinghurst) Pty Ltd
LIQO624004483	The Metro Theatre
LIQH400100825	The Morrison Bar & Oyster Room
LIQH400104855	The Office Hotel
LIQO624006625	The Oxford Circus 231 Pty Limited

Licence Number	Name of licensed premises
LIQH400105681	The Porterhouse
LIQH400106203	The Republic Hotel
LIQO624013867	The Scary Canary
LIQH400104138	The Sussex Hotel
LIQO624006970	The Velvet Underground Sydney
LIQH400106246	The Verandah Bar & Bistro
LIQH400104987	Triple 8 Hotel
LIQH400104154	Triple Ace Bar
LIQH400105533	V Bar Sydney
LIQH400115709	Vault
LIQH400103646	Woolloomooloo Bay Hotel

Division 2 High risk venue exceptions

Licence number	Name of licensed premises
LIQO600400396	360 Degrees Bar & Dining Room
LIQO624008623	Adria Bar Restaurant
LIQH424008103	Amora Hotel Jamison Sydney
LIQO624007948	Aria Restaurant
LIQO624006375	At Bangkok
LIQC300225313	Australian Club
LIQO624012964	B.B.Q. City Restaurant
LIQO624007233	Blackbird Cafe Restaurant
LIQO624001282	Cafe Museum
LIQO600408400	Cafe Nice
LIQO600487858	Captain Torres Spanish
LIQO624008570	Casa Ristorante Italiano
LIQO624009701	City Dae Jang Kum
LIQO624000940	City Extra Restaurant
LIQO624008993	City Recital Hall
LIQC300226522	Combined Services RSL Club Co Operative Ltd
LIQO624007969	Dendy Cinema Opera Quays
LIQO660010214	Di Wang
LIQO624013262	Dolce Vita
LIQC324008772	Dugout Bar and Restaurant
LIQO624006171	East Ocean Restaurant
LIQO600495877	Emperors Garden Restaurant
LIQO624005706	Fratelli Alfresco

Licence number	Name of licensed premises
LIQH400103476	Four Points Hotel, Sydney
LIQH400101406	Four Seasons Hotel
LIQC300227375	Gallipoli Memorial Club
LIQO624003105	Golden Century Restaurant
LIQO600498442	Golden Harbour Restaurant
LIQC300227650	Hellenic Club Limited
LIQH400121822	Holiday Inn Darling Harbour
LIQO624002320	Indigo Restaurant
LIQH400116152	Intercontinental Sydney
LIQO624007411	Italian Village Restaurant
LIQO624009855	Jamie's Italian by Jamie Oliver
LIQO600432077	La Bora Pizzeria Ristorante
LIQO624003705	Law Society of NSW
LIQO624003772	Little Rumour
LIQO624013898	Lo Studio
LIQO624006386	Low302
LIQH400103832	Menzies Hotel
LIQO624005152	Museum of Sydney
LIQO624014892	Myung Jang
LIQO624008394	Nick's Bar and Grill
LIQO600494005	Nine Dragons
LIQC300229025	NSW Masonic Club
LIQO600462960	O Bar and Dining
LIQH400106432	Old Sydney Holiday Inn
LIQO660031204	Old Town Asian Restaurant
LIQO624014728	Ottoman Cuisine
LIQO600411908	Pancakes On The Rocks
LIQO624006687	Paradiso Functions
LIQH424002828	Park Hyatt Sydney
LIQH400102631	Park Royal Darling Harbour, Sydney
LIQO624013679	Parliament of New South Wales
LIQO624015578	Pendolino
LIQH400102232	Pier One Sydney Harbour
LIQH424007367	Pullman Quay Grand Sydney Harbour
LIQO600700795	Qantas Credit Union Arena
LIQO624001399	Quay Restaurant
LIQO624000526	Queen Victoria Building

Licence number	Name of licensed premises
LIQH400101627	Radisson Hotel & Suites
LIQO624009176	Redoak Boutique Beer Cafe
LIQO624002497	Restaurant Hubert
LIQO660010182	Rockpool Bar & Grill
LIQO624012499	Roslyn Packer Theatre Walsh Bay
LIQC300230015	Royal Automobile Club of Australia
LIQO624014658	Sea Life Sydney Aquarium, Wild Life Sydney and Madame Tussauds Sydney
LIQO624008960	Seoulria
LIQH400105878	Shangri-La Hotel Sydney
LIQH400104057	Sheraton on the Park
LIQO660010295	Shinara
LIQO624000769	Sienna Marina Brasserie
LIQH400102674	Sir Stamford at Circular Quay
LIQO624009265	SMC Conference & Function Centre
LIQH424012737	Sofitel Sydney Wentworth
LIQO624013506	Spice I Am The Restaurant
LIQO660010178	Spice Temple
LIQO660010032	Steel Bar & Grill
LIQH424007933	Swissotel Sydney on Market Street
LIQH400101767	Sydney Harbour Marriott
LIQO624014046	Sydney Madang Restaurant
LIQO600779774	Sydney Opera House
LIQO600780918	Sydney Town Hall
LIQO624005645	Sydney Town Hall
LIQO624008962	Tailored Events
LIQC300230678	Tattersalls Club
LIQO624015157	The Art Gallery of New South Wales
LIQC300226328	The Catholic Club Ltd
LIQO660011131	The Cuban Place
LIQH400118074	The Grace Hotel
LIQO624012349	The DYC Sydney
LIQO624009653	The Hudson Restaurant and Bar
LIQH424013942	The Mercure Hotel Sydney
LIQO624003343	The New Olympia Theatre
LIQC300229688	The Queen's Club Ltd
LIQH400110103	The Radisson Blu Plaza Hotel Sydney
LIQC324002268	The Royal Exchange of Sydney

Licence number	Name of licensed premises
LIQO600703530	The State Theatre
LIQH400106572	The Sydney Boulevard Hotel
LIQO600702380	The Sydney Mint Building & Hyde Park Barracks Museum
LIQO600702593	The Theatre Bar at the End of the Wharf
LIQH424007684	The Westin Sydney
LIQO600780144	Theatre Royal
LIQO624012870	Tropicana Caffè
LIQC300230716	Union, University & Schools Club of Sydney
LIQC300230724	Union, University & Schools Club of Sydney
LIQO600404693	Vibe Hotel Goulburn Street
LIQH400111029	Vibe Hotel Rushcutters Sydney
LIQO600498426	Waterfront Restaurant
LIQO624004022	Wolfie's Grill

Schedule 4 Licensed premises subject to “lock out” and liquor sales cessation restrictions

(Clauses 89 (2) (f) and 90 (2) (f))

Part 1 Kings Cross precinct

(When this Regulation was made this Part was blank)

Part 2 Sydney CBD Entertainment precinct

Licence number	Premises or part of premises
LIQO600462839	Argyle Tavern
LIQO624008199	Play Karaoke
LIQO624009952	Bavarian Beer Cafe York
LIQO624007136	Dockside
LIQO624008450	King St Brewhouse & Restaurant
LIQO624006549	Salon de Thé Restaurant
LIQO624006152	Men’s Gallery Sydney
LIQO624014771	Oxford Art Factory
LIQO624004559	Paradiso Terrace
LIQO624007501	Pure Platinum
LIQO624007139	Quay Bar
LIQO600407544	Governor’s Pleasure Restaurant
LIQO624004483	Metro Theatre
LIQH400121709	Part of QT Sydney known as “Gilt Bar” as at 29 March 2014
LIQH400100035	Part of Sydney Hilton Hotel known as “Zeta Bar” as at 29 March 2014
LIQH400101465	Part of Coronation Hotel known as “Smoking Panda Bar” as at 19 July 2016

Schedule 5 Special events extended trading for hotels and clubs

(Section 13 of the Act)

For a special event specified in Column 1 of the table below, the period specified in Column 2 is prescribed as a period during which liquor may be sold or supplied for consumption on the premises to which a hotel or club licence relates.

If a class of hotel or club premises is specified in Column 3 in relation to a special event, the extended trading period only applies to that class of hotel or club premises.

Column 1	Column 2	Column 3
Special event	Extended trading period	Class of hotel or club premises to which extended trading period applies
NRL Grand Final	10 pm to midnight on Sunday 30 September 2018	
Bathurst 1000	10 pm to midnight on Sunday 7 October 2018	Hotel or club premises in the following parts of the Bathurst Regional local government area: Abercrombie, Bathurst, Eglinton, Kelso, Llanarth, Mitchell, Mount Panorama, Orton Park, Perthville, Raglan, South Bathurst, West Bathurst and Windradyne

Schedule 6 Penalty notice offences

For the purposes of section 150 of the Act:

- (a) each offence specified in this Schedule is an offence for which a penalty notice may be issued, and
- (b) the amount payable under any such penalty notice is the amount specified in this Schedule for the offence.

Column 1	Column 2
Provision	Penalty
Offences under the Act	
Section 7 (1)	\$1,100
Section 8 (1)	\$1,100
Section 8 (2)	\$55
Section 9	\$1,100
Section 11 (2)	\$1,100
Section 66 (1)	\$550
Section 66 (2)	\$1,100
Section 69 (1)	\$550
Section 73 (1) or (2)	\$1,100
Section 73 (3)	\$550
Section 74 (1)–(4)	\$550
Section 75 (3)	\$1,100
Section 77 (4), (6) or (8)	\$550
Section 78 (8)	\$550
Section 82 (6)	\$2,200
Section 84 (7)	\$2,200
Section 86 (2)	\$2,200
Section 88 (1)	\$550
Section 92 (1) or (2)	\$550
Section 93	\$550
Section 95 (1), (2) or (4)	\$55
Section 97 (5)	\$220
Section 100 (2)	\$550
Section 101 (7)	\$550
Section 102 (3)	\$550
Section 102A (2)	\$550
Section 103	\$550
Section 104 (1)	\$55
Section 104 (5)	\$220

Column 1	Column 2
Provision	Penalty
Section 105 (1)	\$55
Section 106 (1)	\$550
Section 107 (1)	\$55
Section 108 (1)	\$1,100
Section 109 (1)	\$1,100
Section 110 (1)	\$550
Section 111 (1)	\$110
Section 112	\$110
Section 113 (1)	\$220
Section 114 (1), (2), (3) or (7)	\$220
Section 114 (8)	\$330
Section 114B:	
(a) in the case of a corporation	\$2,750
(b) in the case of an individual	\$550
Section 114C:	
(a) in the case of a corporation	\$2,750
(b) in the case of an individual	\$550
Section 114D:	
(a) in the case of a corporation	\$2,750
(b) in the case of an individual	\$550
Section 116E (3)	\$550
Section 116F (5)	\$550
Section 116G (8)	\$2,200
Section 117 (1), (2), (4), (6) or (8)	\$1,100
Section 118 (1)	\$220
Section 118 (2)	\$1,100
Section 119	\$550
Section 120 (1)	\$330
Section 122 (5)	\$220
Section 123 (1)	\$220
Section 124 (1)	\$1,100
Section 124 (2)	\$1,100
Section 125 (1)	\$330
Section 126	\$550
Section 128 (2)	\$220
Section 129	\$220

Column 1	Column 2
Provision	Penalty
Section 138 (5)	\$1,100
Schedule 1, clause 18 (1) or (2)	\$330
Schedule 1, clause 18 (3)	\$550
Schedule 1, clause 19	\$330
Schedule 1, clause 20	\$330
Offences under this Regulation	
Clause 50 (1)	\$220
Clause 51 (1)	\$220
Clause 52 (2)	\$220
Clause 53 (1)	\$220
Clause 54 (1) or (2)	\$220
Clause 63 (1):	
(a) if the licensed premises are in a prescribed precinct	\$440
(b) if the licensed premises are not in a prescribed precinct	\$220
Clause 63 (2), (3), (4) or (5)	\$1,100
Clause 64 (1):	
(a) if the licensed premises are in a prescribed precinct	\$440
(b) if the licensed premises are not in a prescribed precinct	\$220
Clause 64 (2), (3) or (4)	\$550
Clause 65 (1):	
(a) if the licensed premises are in a prescribed precinct	\$440
(b) if the licensed premises are not in a prescribed precinct	\$220
Clause 65 (2), (3) or (4)	\$550
Clause 75 (2)	\$55
Clause 76 (8)	\$550
Clause 76 (9)	\$220
Clause 84 (4)	\$1,100
Clause 106 (1)	\$440
Clause 106 (2) or (3)	\$1,100