

New South Wales

Industrial Relations (General) Regulation 2001

under the

Industrial Relations Act 1996

Her Excellency the Governor, with the advice of the Executive Council, has made the following Regulation under the *Industrial Relations Act 1996*.

JOHN DELLA BOSCA, M.L.C.,

Minister for Industrial Relations

Explanatory note

The object of this Regulation is to remake, with minor changes, the *Industrial Relations (General) Regulation 1996*. That Regulation will be repealed on 1 September 2001 under section 10 (2) of the *Subordinate Legislation Act 1989*.

The new Regulation provides for the following:

- (a) enterprise agreements, including the notification of proposed enterprise agreements,
- (b) exemptions from the unfair dismissal provisions,
- (c) pay slips and records to be kept by employers,
- (d) the Industrial Relations Commission, including provisions relating to members of the Commission, Industrial Committees, expenses of witnesses, Commission fees and the functions of the Industrial Registrar,
- (e) industrial organisations, including the seals of organisations and elections,
- (f) public vehicles and carriers, including the procedure for registration or objection to registration of associations of contract drivers or carriers,
- (g) enforcement, including penalty notices for offences,
- (h) other miscellaneous matters.

Industrial Relations (General) Regulation 2001

Explanatory note

This Regulation is made under the *Industrial Relations Act 1996*, including section 407 (the general regulation-making power) and the provisions of the Act referred to in the Regulation.

The Regulation is made in connection with the staged repeal of subordinate legislation under the *Subordinate Legislation Act 1989*.

Industrial Relations (General) Regulation 2001

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| Clause 1 | Industrial Relations (General) Regulation 2001 |
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| Part 1 | Preliminary |

Industrial Relations (General) Regulation 2001

Part 1 Preliminary

1 Name of Regulation

This Regulation is the Industrial Relations (General) Regulation 2001.

2 Commencement

This Regulation commences on 1 September 2001.

Note. This Regulation replaces the *Industrial Relations (General) Regulation 1996* which is repealed on 1 September 2001 under section 10 (2) of the *Subordinate Legislation Act 1989*.

3 Definitions

(1) In this Regulation:

the Act means the Industrial Relations Act 1996.

the 1991 Act means the *Industrial Relations Act 1991* (as repealed by the *Industrial Relations Act 1996*).

Note. Expressions used in this Regulation that are defined in the Act have the meanings given by the Act (see section 11 of the *Interpretation Act 1987*).

(2) The explanatory note, table of contents and notes in the text of this Regulation do not form part of this Regulation.

| | 2001 No 671 |
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| Industrial Relations (General) Regulation 2001 | Clause 4 |
| Enterprise agreements | Part 2 |

Part 2 Enterprise agreements

4 Notification of proposed enterprise agreement to which employees are parties

The Industrial Registrar is to advise, pursuant to section 36 (3) of the Act, the following of a proposed enterprise agreement under which employees are a party that is notified to the Industrial Registrar:

- (a) the secretary or chief executive of each State peak council,
- (b) the secretary or chief executive of any industrial organisation that is a party to an award or enterprise agreement that then applies to the employees for whom the proposed enterprise agreement is to be made.

| Clause 5 | Industrial Relations (General) Regulation 2001 |
|----------|--|
| Part 3 | Unfair dismissals |

Part 3 Unfair dismissals

- 5 Exemptions from unfair dismissal provisions—maximum annual remuneration of employees for whom employment conditions not set by industrial instrument
 - (1) For the purposes of section 83 (1) (b) of the Act, the amount prescribed is:
 - (a) except as provided by paragraph (b)—the specified rate referred to in regulation 30BB of the *Workplace Relations Regulations 1996* of the Commonwealth from time to time, or
 - (b) that amount as indexed from time to time in accordance with regulation 30BF of those Regulations.
 - (2) This clause applies with respect to dismissals occurring on or after 1 October 1997, whether the contracts of employment concerned were entered into before or after that date.
 - (3) A change in the amount prescribed in accordance with subclause (1) applies with respect to dismissals occurring on or after the date on which the change occurs, whether the contracts of employment concerned were entered into before or after that date.

6 Other exemptions from unfair dismissal provisions

- (1) For the purposes of section 83 (2) of the Act, the following classes of employees are exempted from Part 6 of Chapter 2 of the Act:
 - (a) employees engaged under a contract of employment for a specified period of time, if the specified period is less than 6 months,
 - (b) employees engaged under a contract of employment for a specific task,
 - (c) employees serving a period of probation or qualifying period, if the duration of the period, or the maximum duration of the period, is determined in advance and either:
 - (i) the period, or the maximum duration, is 3 months or less, or
 - (ii) if the period, or the maximum duration, is more than 3 months—the period, or the maximum duration, is reasonable having regard to the nature and circumstances of the employment,

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| Industrial Relations (General) Regulation 2001 | Clause 6 |
| Unfair dismissals | Part 3 |

- (d) employees engaged on a casual basis for a short period except employees who:
 - (i) are engaged by a particular employer on a regular and systematic basis for a sequence of periods of employment during a period of at least 6 months, and
 - (ii) would, but for the dismissal, have had a reasonable expectation of continuing employment with the employer.
- (2) This clause applies only with respect to dismissals under contracts of employment entered into on or after 1 October 1997.

| Clause 7 | Industrial Relations (General) Regulation 2001 |
|------------|--|
| Part 4 | Pay slips and employers' records |
| Division 1 | Pay slips |

Part 4 Pay slips and employers' records

Division 1 Pay slips

7 Particulars of remuneration to be supplied to employees

- (1) For the purposes of section 123 (1) of the Act, the following written particulars are to be supplied by the employer to an employee when remuneration is paid to the employee:
 - (a) the name and Australian Business Number of the employer,
 - (b) the name of the employee,
 - (c) if the remuneration of the employee is set by an industrial instrument—the classification of the employee under that instrument,
 - (d) the date on which the payment was made,
 - (e) the period of employment to which the payment relates,
 - (f) the gross amount of remuneration (including overtime and other payments),
 - (g) the amount paid as overtime or such information as will enable the employee to calculate the amount paid as overtime,
 - (h) the amount deducted for taxation purposes,
 - (i) the amount deducted as employee contributions for superannuation purposes,
 - (j) the particulars of all other deductions,
 - (k) the net amount paid.

Note. Section 123 of the Act provides that a failure by the employer to provide the above particulars is an offence punishable by a maximum penalty of 20 penalty units. The section enables an employer, with the approval of the Industrial Registrar, to make different arrangements for the supply of information about remuneration.

(2) Subclause (1) (a) does not take effect until 1 January 2002.

| | 2001 No 671 |
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| Industrial Relations (General) Regulation 2001 | Clause 8 |
| Pay slips and employers' records Employers' records | Part 4 Division 2 |

Division 2 Employers' records

8 Application

This Division prescribes, for the purposes of section 129 of the Act:

- (a) the daily records that an employer must keep in relation to employees of the employer (in addition to records of remuneration paid and hours worked by employees required by section 129 (1) (a)), and
- (b) the manner and form of keeping daily records required by section 129.
- **Note.** Section 129 of the Act provides that:
- (a) the Industrial Registrar may approve of different requirements for the manner and form of keeping the records than those prescribed by this Division, and
- (b) the employer must keep the records for a period of at least 6 years, and
- (c) a contravention of section 129 or this Division is an offence punishable by a maximum penalty of 20 penalty units.

9 Content of records—general

- (1) The prescribed records relating to an employee must contain the following particulars:
 - (a) the name of the employer,
 - (b) the Australian Business Number of the employer,
 - (c) the name of the employee,
 - (d) if any conditions of employment of the employee are set by an industrial instrument—the classification of the employee under that instrument,
 - (e) whether the employee is employed full-time or part-time,
 - (f) whether the employee is employed on a permanent, temporary or casual basis,
 - (g) if the employee is an apprentice or trainee within the meaning of the *Industrial and Commercial Training Act 1989*—the date the person became such an apprentice or trainee,
 - (h) the date on which the employee was first employed with the employer,
 - (i) if the employee's employment is terminated—the date of termination.

| Clause 9 | Industrial Relations (General) Regulation 2001 |
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| Part 4 | Pay slips and employers' records |
| Division 2 | Employers' records |

(2) Subclause (1) (b) does not take effect until 1 January 2002.

10 Content of records—remuneration and hours worked

- (1) The prescribed records relating to an employee must contain the following particulars concerning the remuneration paid and hours worked by the employee:
 - (a) if the relevant industrial instrument prescribes the number of hours to be worked per week, day or other period—the number of hours worked by the employee during each such period,
 - (b) if the relevant industrial instrument limits the daily hours of work and provides for the payment of daily overtime—the number of hours worked by the employee during each day and the times of starting and ceasing work,
 - (c) if the relevant industrial instrument prescribes a rate of remuneration per week, day, hour or other period—the rate of remuneration per week, day, hour or other period at which the employee is paid,
 - (d) if the relevant industrial instrument prescribes piece-work—the number and description of pieces made by the employee and the rate per piece at which the employee is paid,
 - (e) the gross amount of remuneration paid to the employee, showing the deductions made from that remuneration,
 - (f) such other particulars as are necessary to show that the requirements of the relevant industrial instrument relating to remuneration paid and hours worked are being complied with.
- (2) In this clause, *remuneration* includes overtime and other payments.

11 Content of records—leave

The prescribed records relating to an employee must contain the following particulars about leave of any kind to which the employee is entitled under the industrial relations legislation or an industrial instrument:

- (a) the leave taken by the employee,
- (b) the employee's entitlement from time to time to that leave,
- (c) accrual of leave.

| | 2001 No 671 |
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| Industrial Relations (General) Regulation 2001 | Clause 12 |
| Pay slips and employers' records Employers' records | Part 4 Division 2 |

12 Content of records—superannuation contributions

- (1) The prescribed records relating to an employee must contain the following particulars about any superannuation contributions that the employer must make for the benefit of the employee under an industrial instrument:
 - (a) the amount of the contributions made,
 - (b) the period over which the contributions were made,
 - (c) when the contributions were made,
 - (d) the name of the fund or funds to which the contributions were made,
 - (e) the basis on which the employer became liable to make the contributions (including particulars of any relevant election by the employee).
- (2) The particulars referred to in subclause (1) (a)–(c) are not required in the case of contributions to a defined benefit superannuation fund within the meaning of the *Occupational Superannuation Standards Regulations* of the Commonwealth.

13 Manner and form of keeping records

- (1) The prescribed records must be:
 - (a) in legible form in the English language, or
 - (b) in computerised or other form that is readily accessible and is convertible into a legible form in the English language.
- (2) For the purposes of enabling an inspector or other person to exercise any power conferred by the Act to inspect any records kept in the form referred to in subclause (1) (b), the relevant part of the records are to be converted into legible form in the English language.

14 Transfer of records to successor employers

- (1) This clause applies to the transfer of records kept by an employer (the *former employer*) relating to a transferred employee (as defined in section 101 of the Act) to the successor of the employer (the *new employer*).
- (2) The former employer must transfer to the new employer all prescribed records relating to the transferred employee that, at the date of transfer, the former employer is required to keep under section 129 of the Act.

| Clause 14 | Industrial Relations (General) Regulation 2001 |
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| Part 4 | Pay slips and employers' records |
| Division 2 | Employers' records |

- (3) The new employer is to keep those transferred records as if they had been made by the new employer at the time they were made by the former employer.
- (4) The former employer is required to keep a copy of the transferred records for a period of at least 6 years after those records were made.
- (5) The new employer is not required to make records of anything occurring in the course of the transferred employee's employment with the former employer.

| | 2001 No 671 |
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| Industrial Relations (General) Regulation 2001 | Clause 15 |
| Industrial Relations Commission | Part 5 |

Part 5 Industrial Relations Commission

15 Acting President

- (1) This clause applies whenever the President and Vice-President of the Commission are both absent from duty and an Acting President has not been appointed under clause 1 (2) of Schedule 2 to the Act or, if such an Acting President has been appointed, the Acting President is absent from duty.
- (2) In any such case, the next most senior Deputy President of the Commission who is not absent from duty is the Acting President of the Commission.
- (3) Any such Acting President has the functions of the President and anything done by that Acting President in the exercise of those functions has effect as if it had been done by the President.
- (4) In this clause, an absence from duty includes a vacancy in the relevant office.

16 Oaths to be taken by members of Commission

A member of the Commission appointed after the commencement of the Act is to take, on appointment, the oath of allegiance and:

- (a) in the case of a judicial member—the judicial oath, or
- (b) in any other case—the official oath.

17 Regions

For the purposes of section 157 (3) of the Act, the following areas of New South Wales are prescribed as regions of the State:

- (a) **the Hunter Region**—comprising the local government areas of Cessnock, Dungog, Gloucester, Great Lakes, Lake Macquarie, Maitland, Merriwa, Murrurundi, Muswellbrook, Newcastle, Port Stephens, Scone, Singleton and Wyong,
- (b) **the Illawarra-South Coast Region**—comprising the local government areas of Kiama, Shellharbour, Shoalhaven and Wollongong.

| Clause 18 | Industrial Relations (General) Regulation 2001 |
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| Part 5 | Industrial Relations Commission |

18 Nominators of Industrial Committees

- (1) For the purposes of section 198 (3) of the Act, the members of an Industrial Committee (other than the Chairperson) are to be appointed by the Industrial Registrar on the nomination of such industrial or other organisations as are determined by the Commission in respect of the Committee.
- (2) The number of members to be nominated by any such organisation is to be determined by the Commission.
- (3) Nominations are to be made within such time, and in accordance with such requirements, as are notified to the organisation concerned by the Industrial Registrar.
- (4) The Commission may, in accordance with section 198 of the Act and this clause, determine that different or alternative members are to be nominated for different matters dealt with by the Industrial Committee.

19 Oaths to be taken by members of Industrial Committees

A member of an Industrial Committee (other than a member of the Commission) appointed after the commencement of the Act is to take, on appointment, the official oath.

20 Expenses of persons summonsed

- (1) This clause applies to any summons (however described) for the purposes of the Act that is issued at the request of a party and requires a person to do either or both of the following:
 - (a) attend and give evidence,
 - (b) attend and produce documents or other things.
- (2) Any such person is not required to comply with the summons unless an amount sufficient to meet the reasonable expenses of the person in complying with the summons is paid or tendered to the person at the time of service of the summons or not later than a reasonable time before the day on which the person is required to comply with the summons.
- (3) If the person required to comply with the summons is not a party and, in order to comply with the summons, incurs expense or loss substantially exceeding any amount paid under subclause (2), the Commission may order the party who requested the issue of the summons to pay to the person an amount sufficient to make good the expense or loss.

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| Industrial Relations (General) Regulation 2001 | Clause 21 |
| Industrial Relations Commission | Part 5 |

21 Commission fees

- (1) Schedule 1 sets out the fees to be charged in respect of an item of business of the Commission set out in that Schedule.
- (2) No fee (other than the fees set out in items 3 and 4 of Schedule 1) is payable for lodging or filing of any document with the Commission or the Industrial Registrar or for inspecting any document so lodged or filed or any register kept by the Commission or the Industrial Registrar.
- (3) A fee set out in Schedule 1 is not payable by the Minister or by any person acting for or on behalf of the Minister.
- (4) A fee set out in Schedule 1 is not payable by:
 - (a) an industrial organisation, or
 - (b) an association registered under Chapter 6 of the Act,

unless that Schedule expressly so provides.

- (5) A fee is payable by the person requesting the service at the time the request is made.
- (6) The Industrial Registrar may, on application, waive the payment of all or any part of a fee set out in Schedule 1 if the applicant satisfies the Industrial Registrar that the applicant will suffer financial hardship if required to pay the fee.

22 Fee for copying from registers

- (1) For the purposes of section 45 (4) of the Act, the prescribed fee for making a copy of any document kept in the register of enterprise agreements is:
 - (a) \$10, or
 - (b) \$2 for each page copied,

whichever is the greater.

- (2) For the purposes of section 78 (4) of the Act, the prescribed fee for making a copy of any copy of a part-time work agreement kept by the Industrial Registrar is:
 - (a) \$10, or
 - (b) \$2 for each page copied,

whichever is the greater.

| Clause 22 | Industrial Relations (General) Regulation 2001 |
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| Part 5 | Industrial Relations Commission |

- (3) For the purposes of section 331 (4) of the Act, the prescribed fee for making a copy of any document kept in the register of contract agreements is:
 - (a) \$10, or
 - (b) \$2 for each page copied,

whichever is the greater.

(4) A fee under this clause is not payable by any person authorised by the Director-General of the Department of Industrial Relations or authorised by the President of the Anti-Discrimination Board.

23 Functions of Industrial Registrar

- (1) The Industrial Registrar may exercise the following functions in connection with any matter before the Industrial Registrar:
 - (a) subject to the Act, determine his or her own procedure in the matter,
 - (b) issue a summons under section 165 of the Act for the purposes of dealing with the matter,
 - (c) adjourn proceedings in the matter to any time and place,
 - (d) make any amendments to the proceedings in the matter that the Industrial Registrar considers necessary in the interests of justice,
 - (e) conduct proceedings in the matter publicly or, if the Industrial Registrar considers it necessary, privately,
 - (f) require the presentation of the respective cases of the parties in the matter to be limited to the periods of time that the Industrial Registrar determines are reasonably necessary for the fair and adequate presentation of the cases,
 - (g) require evidence or argument in the matter to be presented in writing and decide on the matters on which the Industrial Registrar will hear oral evidence or argument,
 - (h) dismiss at any stage any proceedings in the matter if the Industrial Registrar considers the proceedings are frivolous or vexatious,
 - (i) notify any person or body of proceedings in, or any other aspect of, the matter if the Industrial Registrar considers that the person or body may be affected by the matter.

| | 2001 No 671 |
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| Industrial Relations (General) Regulation 2001 | Clause 23 |
| Industrial Relations Commission | Part 5 |

- (2) The Industrial Registrar has, in connection with proceedings for the approval of an enterprise agreement or contract agreement, the function of notifying the persons or bodies who may be entitled to appear or be represented in the proceedings that the agreement has been lodged for approval.
- (3) The functions conferred by this clause are in addition to any functions delegated to the Industrial Registrar by the Commission or conferred on the Industrial Registrar by the Act, by any other provision of the regulations or by the rules of the Commission.

| Clause 24 | Industrial Relations (General) Regulation 2001 |
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| Part 6 | Industrial organisations |

Part 6 Industrial organisations

24 Copies of certificates of registration

The Industrial Registrar may issue to an industrial organisation a copy of the certificate of registration previously issued to it under section 221 (3) of the Act.

25 Rules to provide for seal of organisation

The rules of a State organisation must provide for a seal of the organisation to be kept by a member of the committee of management of the organisation and to be affixed to a document only with the authority of at least 2 members of that committee.

26 Rules for elections—State and Federal organisations

- (1) For the purposes of section 239 (1) (a) of the Act, the membership of a State branch of a Federal organisation and the membership of a State organisation registered under Chapter 5 of the Act is substantially similar if the Industrial Registrar is satisfied that the membership would be substantially similar but for the fact that persons employed in the Australian Capital Territory are members of the State branch of the Federal organisation.
- (2) For the purposes of section 239 (1) (b) of the Act, the rules of a State branch of a Federal organisation comply substantially with the requirements relating to election of the holders of offices under the Act if the Industrial Registrar is satisfied that the rules would comply substantially with those rules but for the fact that the rules cover persons employed in the Australian Capital Territory.

27 Rules for elections—exemptions by Industrial Registrar

An opportunity by an industrial organisation to be heard for the purposes of section 240 (6) and (7) of the Act is to be given by notifying the organisation of the Industrial Registrar's intention to take the relevant action and by allowing the organisation at least 14 days after the notice is given in which to show cause why the action should not be taken.

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| Industrial Relations (General) Regulation 2001 | Clause 28 |
| Industrial organisations | Part 6 |

28 Regulation of industrial organisations (other than State organisations)

In accordance with section 291 of the Act, the provisions of section 280 of the Act (Organisations to notify particulars of loans, grants and donations) apply to industrial organisations that are not State organisations.

29 Deemed registration of Newcastle Trades Hall Council

- (1) In accordance with section 217 (3) of the Act, the Newcastle Trades Hall Council is taken to be registered as an industrial organisation of employees under Chapter 5 of the Act.
- (2) The provisions of Chapter 5 of the Act do not apply to that organisation, except for the following provisions (with any necessary modifications):
 - (a) section 221,
 - (b) section 224,
 - (c) section 263 (and section 266 in so far as it applies to section 263),
 - (d) sections 267–271,
 - (e) sections 278–281.
- (3) The Newcastle Trades Hall Council is required to lodge a copy of its rules with the Industrial Registrar as soon as practicable after any change to the rules.

30 Former non-industrial organisations

- (1) The repeal of the 1991 Act does not affect the continuity of any non-industrial organisation under that Act that is not a registered industrial organisation under the *Industrial Relations Act 1996* on that repeal.
- (2) If any such non-industrial organisation was incorporated under the 1991 Act, the following provisions apply on the repeal of that Act:
 - (a) the organisation ceases to be a body corporate under that Act, but does not cease to be an unincorporated organisation,
 - (b) the property of the incorporated organisation is the property of the unincorporated organisation and is required to be held and applied for the purposes of the organisation under the rules of the organisation so far as they can still be carried out or observed.

Clause 31 Industrial Relations (General) Regulation 2001 Part 6 Industrial organisations

31 Other provisions relating to elections

- (1) This clause applies until the regulations under the Act otherwise provide.
- (2) The provisions of sections 442–451 of the 1991 Act (and the regulations under those provisions) apply to a State organisation as regulations made under section 249 of the Act.

| | 2001 No 671 |
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| Industrial Relations (General) Regulation 2001 | Clause 32 |
| Public vehicles and carriers | Part 7 |

Part 7 Public vehicles and carriers

32 Contract of carriage—meaning

- (1) For the purposes of section 309 (1) (a), (b) and (c) of the Act, the following circumstances are prescribed:
 - (a) driving or riding on a motor vehicle or bicycle by a person who is employed (whether pursuant to a contract of employment or not and whether by the carrier or not) to take the place temporarily of a person directly involved in the business who is sick, on annual leave or is otherwise temporarily unavailable,
 - (b) riding on a motor vehicle by a person who is employed (whether pursuant to a contract of employment or not and whether by the carrier or not) for the purpose of loading or unloading, or assisting in loading or unloading, goods being transported by means of the motor vehicle.
- (2) In this clause:

person directly involved in the business means:

- (a) if the carrier is not a partnership or body corporate—the carrier, or
- (b) if the carrier is a partnership—a partner, or
- (c) if the carrier is a body corporate—a person referred to in section 309 (1) (c) (i), (ii) or (iii) of the Act.

33 Notification of proposed contract agreements to which groups of carriers are parties

The Industrial Registrar is to advise, pursuant to section 325A (3) of the Act, the following of a proposed contract agreement under which a group of carriers is a party that is notified to the Industrial Registrar:

- (a) the secretary or chief executive of each State peak council,
- (b) the secretary or chief executive of any association of contract carriers that is a party to the making of a contract determination, or to a contract agreement, that then applies to the conditions of engagement of those carriers under contracts to which the proposed contract agreement is to apply,

| Clause 33 | Industrial Relations (General) Regulation 2001 |
|-----------|--|
| Part 7 | Public vehicles and carriers |

(c) the secretary or chief executive of any association of employing contractors that is a party to the making of a contract determination, or to a contract agreement, that then applies to the conditions of engagement of those carriers under contracts to which the proposed contract agreement is to apply (unless the association is to be a party to the proposed contract agreement).

34 Publication of application for registration of association of contract drivers or carriers

Notice of an application under section 335 of the Act is to be published in a newspaper circulating throughout the State within 14 days after the lodgment of the application.

35 Objection against registration of association of contract drivers or carriers

For the purposes of section 336 of the Act, a person who objects to the granting of an application under section 335 of the Act must serve the notice of objection on the Industrial Registrar within 28 days after the notice of the application is duly published by the Industrial Registrar in a newspaper circulating throughout the State.

36 Withdrawal of registration of association at request of members

- (1) An application for a certificate of withdrawal of registration of an association under section 338 of the Act:
 - (a) is to be made by a majority of the members of the governing body of the association or by a majority of the members of the association, and
 - (b) is not to be made until the applicants have given at least 14 days' written notice of their intention to apply for the certificate to all financial members of the association at their last known place of residence or business.
- (2) An application for such a certificate may not be made if:
 - (a) it is contrary to the rules of the association, or
 - (b) proceedings have been duly instituted within the association to prevent the making of the application.

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| Industrial Relations (General) Regulation 2001 | Clause 37 |
| Enforcement | Part 8 |

Part 8 Enforcement

37 Authority to prosecute

- (1) The purpose of this clause is to prescribe certain persons as persons who may institute proceedings for an offence against the Act or the regulations.
- (2) An industrial organisation concerned in the industry to which the proceedings relate is prescribed for the purposes of section 399 (1) (c) of the Act.

38 Notification of proceedings

(1) An industrial organisation that institutes proceedings for an offence against the Act or the regulations must, within 3 days after doing so, send particulars of the proceedings to the Director-General of the Department of Industrial Relations.

Maximum penalty: 5 penalty units.

(2) Particulars of proceedings sent to the Director-General in accordance with this clause are sent for information only.

39 Penalty notices

- (1) For the purposes of section 396 of the Act:
 - (a) each offence created by a provision specified in Column 1 of Schedule 2 is declared to be a penalty notice offence, and
 - (b) the prescribed penalty for such an offence is the amount specified in Column 4 of Schedule 2.
- (2) If the reference to a provision in Column 1 of Schedule 2 is qualified by words that restrict its operation to specified kinds of offences or to offences committed in specified circumstances, an offence created by the provision is a prescribed offence only if it is an offence of a kind so specified or is committed in the circumstances so specified.

40 Short descriptions

(1) For the purposes of section 145B of the *Justices Act 1902*, the prescribed expression for an offence created by a provision specified in Column 1 of Schedule 2 consists of the IPB Code set out in relation to the offence in Column 2 of Schedule 2 together with:

| Clause 40 | Industrial Relations (General) Regulation 2001 |
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| Part 8 | Enforcement |

- (a) the expression specified in Column 3 of that Schedule, or
- (b) if a choice of words is indicated in that expression, the words remaining after the omission of the words irrelevant to the offence.
- (2) For the purposes of any proceedings for an offence created by a provision specified in Column 1 of Schedule 2, the prescribed expression for the offence is taken to relate to the offence created by the provision, as the provision was in force when the offence is alleged to have been committed.
- (3) The amendment or repeal of a prescribed expression does not affect the validity of any information, complaint, summons, warrant, notice, order or other document in which the expression is used, and any such document continues to have effect as if that expression had not been amended or repealed.
- (4) Subclause (3) applies to any information, complaint, summons, warrant, notice, order or other document (whether issued, given or made before or after the amendment or repeal) that relates to an offence alleged to have been committed before the amendment or repeal.
- (5) In this clause and Schedule 2:
 - (a) *Infringement Processing Bureau* means the Infringement Processing Bureau within the Police Service, and
 - (b) *IPB Code*, in relation to an offence, means the code allocated to the offence by the Infringement Processing Bureau.

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| Industrial Relations (General) Regulation 2001 | Clause 41 |
| Additional persons deemed to be employees | Part 9 |

Part 9 Additional persons deemed to be employees

41 Additional persons prescribed by regulation

- (1) The persons described in this Part as employees are taken to be employees for the purposes of the Act.
- (2) A person described in this Part as the employer of any such employee is taken to be the employer for the purposes of the Act.

Note. Clause 1 (m) of Schedule 1 to the Act authorises the making of regulations prescribing additional categories of deemed employees and their deemed employer.

42 Security industry workers

- (1) Any person (other than a bona fide contractor employing labour for that purpose) who performs security officer work, or security transport work, under a contract with another person is taken to be an employee.
- (2) In such a case, the other person is taken to be the employer of the person who performs that work.
- (3) For the purposes of this clause, *security officer work* is work for which a rate of pay is fixed by the *Security Industry (State) Award* when performed by an employee, being the work of security officers in the security or watching industries:
 - (a) including the work of persons engaged in control rooms to monitor, respond to or act on security alarm systems, but
 - (b) not including the work of persons engaged solely as general office or clerical workers.
- (4) For the purposes of this clause, *security transport work* is work for which a rate of pay is fixed by the *Transport Industry*—*Armoured Cars, &c. (State) Award* when performed by an employee, being the work of transporting cash or other valuables by armoured vehicle (whether as an armoured vehicle operator, a despatch hand or an armoured vehicle escort).

2001 No 671

| Clause 43 | Industrial Relations (General) Regulation 2001 |
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| Part 10 | Miscellaneous |

Part 10 Miscellaneous

43 Industrial Magistrate—civil procedure

- (1) For the purposes of section 383 of the Act, the provisions of the Act, the regulations and rules of the Commission as to the practice and procedure of the Commission in Court Session (except in criminal proceedings) apply, with all necessary modifications, to proceedings before the Chief Industrial Magistrate or other Industrial Magistrate.
- (2) Without limiting subclause (1), the Chief Industrial Magistrate or other Industrial Magistrate may hear evidence orally or by affidavit and may hear evidence whether or not notice to call the evidence has been given. However, if the interests of justice so require, a witness is to be called to give oral evidence instead of evidence by affidavit.
- (3) This clause does not apply to proceedings for an offence or to proceedings under section 357 of the Act for a civil penalty for a contravention of an industrial instrument.

44 Savings provision

Any act, matter or thing that, immediately before the repeal of the *Industrial Relations (General) Regulation 1996*, had effect under that Regulation continues to have effect under this Regulation.

Industrial Relations (General) Regulation 2001

Fees for business of Commission

Schedule 1

Schedule 1 Fees for business of Commission

(Clause 21)

| | | \$ | |
|---|---|-----|--|
| 1 | To open or keep open an office (or part of an office) of the Industrial Registry: | | |
| | (a) on a Saturday, Sunday or public holiday (except the day after Easter Monday) | 394 | |
| | (b) on any other day: | | |
| | (i) before 9 am or after 4.30 pm | 394 | |
| | (ii) between 9 am and 9.30 am or 4 pm and 4.30 pm | 40 | |
| 2 | Making a copy of any document, per page | | |
| | (minimum fee | | |
| 3 | For the lodgment of an application under section 84 of the Act | | |
| 4 | For the lodgment of an application under section 108 of the Act | 561 | |

Industrial Relations (General) Regulation 2001

Schedule 2 Penalty notice offences

Schedule 2 Penalty notice offences

(Clauses 39 and 40)

| Column 1 | Column 2 | Column 3 | Column 4 | | |
|--------------------------------|----------|--|------------|--|--|
| Provision | IPB Code | Short description | Penalty \$ | | |
| Offences under the Act | | | | | |
| Section 67 (2) | 9439 | not preserve parental leave records/documents/notices | 220 | | |
| Section 78 (1) | 9440 | not retain part-time work agreement | 220 | | |
| Section 78 (2) | 9441 | not give copy of agreement to employee | 220 | | |
| Section 78 (3) | 9442 | not send copy of agreement to Industrial Registrar | 220 | | |
| Section 123 (1) | 9443 | fail to supply prescribed payment particulars | 220 | | |
| Section 129 (6) | 9444 | contravene Act/regulations re daily records | 220 | | |
| Section 341 (5) | 9445 | not return certificate for cancellation | 220 | | |
| Section 360 (1) | 9446 | advertise in contravention of industrial instrument | 220 | | |
| Section 360 (2) | 9447 | not disclose name and address of advertiser | 220 | | |
| Section 361 (1) | 9448 | not exhibit industrial instrument | 220 | | |
| Section 387 (2) | 9449 | not comply with requirement of inspector | 220 | | |
| Offences under this Regulation | | | | | |
| Clause 38 (1) | 8688 | not send particulars of proceedings to Director-General | 220 | | |

BY AUTHORITY